

AMENDMENT NO. _____ Calendar No. _____

Purpose: To improve the energy efficiency of appliances,
lighting, and buildings.

IN THE SENATE OF THE UNITED STATES—111th Cong., 2d Sess.

S. 1462

To promote clean energy technology development, enhanced energy efficiency, improved energy security, and energy innovation and workforce development, and for other purposes.

Referred to the Committee on _____ and
ordered to be printed

Ordered to lie on the table and to be printed

AMENDMENT intended to be proposed by _____

Viz:

1 On page 228, strike lines 7 through 12 and insert
2 the following:

3 **SEC. 233. ENERGY CONSERVATION STANDARDS.**

4 (a) DEFINITION OF ENERGY CONSERVATION STAND-
5 ARD.—Section 321 of the Energy Policy and Conservation
6 Act (42 U.S.C. 6291) (as amended by section 225(a)) is
7 amended—

8 (1) by striking paragraph (6) and inserting the
9 following:

1 “(6) ENERGY CONSERVATION STANDARD.—

2 “(A) IN GENERAL.—The term ‘energy con-
3 servation standard’ means 1 or more perform-
4 ance standards that—

5 “(i) for covered products (excluding
6 clothes washers, dishwashers, showerheads,
7 faucets, water closets, and urinals), pre-
8 scribe a minimum level of energy efficiency
9 or a maximum quantity of energy use, de-
10 termined in accordance with test proce-
11 dures prescribed under section 323;

12 “(ii) for showerheads, faucets, water
13 closets, and urinals, prescribe a minimum
14 level of water efficiency or a maximum
15 quantity of water use, determined in ac-
16 cordance with test procedures prescribed
17 under section 323; and

18 “(iii) for clothes washers and dish-
19 washers—

20 “(I) prescribe a minimum level of
21 energy efficiency or a maximum quan-
22 tity of energy use, determined in ac-
23 cordance with test procedures pre-
24 scribed under section 323; and

1 “(II) include a minimum level of
2 water efficiency or a maximum quan-
3 tity of water use, determined in ac-
4 cordance with those test procedures.

5 “(B) INCLUSIONS.—The term ‘energy con-
6 servation standard’ includes—

7 “(i) 1 or more design requirements, if
8 the requirements were established—

9 “(I) on or before the date of en-
10 actment of this subclause;

11 “(II) as part of a direct final rule
12 under section 325(p)(4); or

13 “(III) as part of a final rule pub-
14 lished on or after January 1, 2012;
15 and

16 “(ii) any other requirements that the
17 Secretary may prescribe under section
18 325(r).

19 “(C) EXCLUSION.—The term ‘energy con-
20 servation standard’ does not include a perform-
21 ance standard for a component of a finished
22 covered product, unless regulation of the com-
23 ponent is specifically authorized or established
24 pursuant to this title.”; and

25 (2) by adding at the end the following:

1 “(75) EER.—The term ‘EER’ means energy
2 efficiency ratio.

3 “(76) HSPF.—The term ‘HSPF’ means heat-
4 ing seasonal performance factor.”.

5 (b) EER AND HSPF TEST PROCEDURES.—Section
6 323(b) of the Energy Policy and Conservation Act (42
7 U.S.C. 6293(b)) (as amended by section 224(c)) is amend-
8 ed by adding at the end the following:

9 “(20) EER AND HSPF TEST PROCEDURES.—

10 “(A) IN GENERAL.—Subject to subpara-
11 graph (B), for purposes of residential central
12 air conditioner and heat pump standards that
13 take effect on or before January 1, 2015—

14 “(i) the EER shall be tested at an
15 outdoor test temperature of 95 degrees
16 Fahrenheit; and

17 “(ii) the HSPF shall be calculated
18 based on Region IV conditions.

19 “(B) REVISIONS.—The Secretary may re-
20 vise the EER outdoor test temperature and the
21 conditions for HSPF calculations as part of any
22 rulemaking to revise the central air conditioner
23 and heat pump test method.”.

24 (c) CENTRAL AIR CONDITIONERS AND HEAT
25 PUMPS.—Section 325(d) of the Energy Policy and Con-

1 servation Act (42 U.S.C. 6295(d)) is amended by adding
2 at the end the following:

3 “(4) CENTRAL AIR CONDITIONERS AND HEAT
4 PUMPS (EXCEPT THROUGH-THE-WALL CENTRAL AIR
5 CONDITIONERS, THROUGH-THE-WALL CENTRAL AIR
6 CONDITIONING HEAT PUMPS, AND SMALL DUCT,
7 HIGH VELOCITY SYSTEMS) MANUFACTURED ON OR
8 AFTER JANUARY 1, 2015.—

9 “(A) BASE NATIONAL STANDARDS.—

10 “(i) SEASONAL ENERGY EFFICIENCY
11 RATIO.—The seasonal energy efficiency
12 ratio of central air conditioners and central
13 air conditioning heat pumps manufactured
14 on or after January 1, 2015, shall not be
15 less than the following:

16 “(I) Split Systems: 13 for central
17 air conditioners and 14 for heat
18 pumps.

19 “(II) Single Package Systems:
20 14.

21 “(ii) HEATING SEASONAL PERFORM-
22 ANCE FACTOR.—The heating seasonal per-
23 formance factor of central air conditioning
24 heat pumps manufactured on or after Jan-

1 uary 1, 2015, shall not be less than the
2 following:

3 “(I) Split Systems: 8.2.

4 “(II) Single Package Systems:
5 8.0.

6 “(B) REGIONAL STANDARDS.—

7 “(i) SEASONAL ENERGY EFFICIENCY
8 RATIO.—The seasonal energy efficiency
9 ratio of central air conditioners and central
10 air conditioning heat pumps manufactured
11 on or after January 1, 2015, and installed
12 in States having historical average annual,
13 population weighted, heating degree days
14 less than 5,000 (specifically the States of
15 Alabama, Arizona, Arkansas, California,
16 Delaware, Florida, Georgia, Hawaii, Ken-
17 tucky, Louisiana, Maryland, Mississippi,
18 Nevada, New Mexico, North Carolina,
19 Oklahoma, South Carolina, Tennessee,
20 Texas, and Virginia) or in the District of
21 Columbia, the Commonwealth of Puerto
22 Rico, or any other territory or possession
23 of the United States shall not be less than
24 the following:

1 “(I) Split Systems: 14 for central
2 air conditioners and 14 for heat
3 pumps.

4 “(II) Single Package Systems:
5 14.

6 “(ii) ENERGY EFFICIENCY RATIO.—
7 The energy efficiency ratio of central air
8 conditioners (not including heat pumps)
9 manufactured on or after January 1, 2015,
10 and installed in the State of Arizona, Cali-
11 fornia, New Mexico, or Nevada shall be not
12 less than the following:

13 “(I) Split Systems: 12.2 for split
14 systems having a rated cooling capaci-
15 ty less than 45,000 BTU per hour
16 and 11.7 for products having a rated
17 cooling capacity equal to or greater
18 than 45,000 BTU per hour.

19 “(II) Single Package Systems:
20 11.0.

21 “(iii) APPLICATION OF SUBSECTION
22 (O)(6).—Subsection (o)(6) shall apply to
23 the regional standards set forth in this
24 subparagraph.

25 “(C) AMENDMENT OF STANDARDS.—

1 performance standards or efficiency cri-
2 teria in the forthcoming rule.

3 “(ii) RECOMMENDATION.—If, within 1
4 year of the initial convening of such a
5 forum, the Secretary receives a rec-
6 ommendation submitted jointly by such
7 representative interested persons to add 1
8 or more performance standards or effi-
9 ciency criteria, the Secretary shall incor-
10 porate the performance standards or effi-
11 ciency criteria in the rulemaking process,
12 and, if justified under the criteria estab-
13 lished in this section, incorporate such per-
14 formance standards or efficiency criteria in
15 the revised standard.

16 “(iii) NO RECOMMENDATION.—If no
17 such joint recommendation is made within
18 1 year of the initial convening of such a
19 forum, the Secretary may add additional
20 performance standards or efficiency cri-
21 teria if the Secretary finds that the bene-
22 fits substantially exceed the burdens of the
23 action.

24 “(E) NEW CONSTRUCTION LEVELS.—

1 “(i) IN GENERAL.—As part of any
2 final rule concerning central air condi-
3 tioner and heat pump standards published
4 after June 1, 2013, the Secretary shall de-
5 termine if the building code levels specified
6 in section 327(f)(3)(C) should be amended
7 subject to meeting the criteria of sub-
8 section (o) when applied specifically to new
9 construction.

10 “(ii) EFFECTIVE DATE.—Any amend-
11 ed levels shall not take effect before Janu-
12 ary 1, 2018.

13 “(iii) AMENDED LEVELS.—The final
14 rule shall contain the amended levels, if
15 any.”.

16 (d) THROUGH-THE-WALL CENTRAL AIR CONDI-
17 TIONERS, THROUGH-THE-WALL CENTRAL AIR CONDI-
18 TIONING HEAT PUMPS, AND SMALL DUCT, HIGH VELOC-
19 ITY SYSTEMS.—Section 325(d) of the Energy Policy and
20 Conservation Act (42 U.S.C. 6295(d)) (as amended by
21 subsection (c)) is amended by adding at the end the fol-
22 lowing:

23 “(5) STANDARDS FOR THROUGH-THE-WALL
24 CENTRAL AIR CONDITIONERS, THROUGH-THE-WALL

1 CENTRAL AIR CONDITIONING HEAT PUMPS, AND
2 SMALL DUCT, HIGH VELOCITY SYSTEMS.—

3 “(A) DEFINITIONS.—In this paragraph:

4 “(i) SMALL DUCT, HIGH VELOCITY
5 SYSTEM.—The term ‘small duct, high ve-
6 locity system’ means a heating and cooling
7 product that contains a blower and indoor
8 coil combination that—

9 “(I) is designed for, and pro-
10 duces, at least 1.2 inches of external
11 static pressure when operated at the
12 certified air volume rate of 220–350
13 CFM per rated ton of cooling; and

14 “(II) when applied in the field,
15 uses high velocity room outlets gen-
16 erally greater than 1,000 fpm that
17 have less than 6.0 square inches of
18 free area.

19 “(ii) THROUGH-THE-WALL CENTRAL
20 AIR CONDITIONER; THROUGH-THE-WALL
21 CENTRAL AIR CONDITIONING HEAT
22 PUMP.—The terms ‘through-the-wall cen-
23 tral air conditioner’ and ‘through-the-wall
24 central air conditioning heat pump’ mean a
25 central air conditioner or heat pump, re-

1 spectively, that is designed to be installed
2 totally or partially within a fixed-size open-
3 ing in an exterior wall, and—

4 “(I) is not weatherized;

5 “(II) is clearly and permanently
6 marked for installation only through
7 an exterior wall;

8 “(III) has a rated cooling capaci-
9 ty no greater than 30,000 Btu/hr;

10 “(IV) exchanges all of its outdoor
11 air across a single surface of the
12 equipment cabinet; and

13 “(V) has a combined outdoor air
14 exchange area of less than 800 square
15 inches (split systems) or less than
16 1,210 square inches (single packaged
17 systems) as measured on the surface
18 area described in subclause (IV).

19 “(iii) REVISION.—The Secretary may
20 revise the definitions contained in this sub-
21 paragraph through publication of a final
22 rule.

23 “(B) RULEMAKING.—

24 “(i) IN GENERAL.—Not later than
25 June 30, 2011, the Secretary shall publish

1 a final rule to determine whether stand-
2 ards for through-the-wall central air condi-
3 tioners, through-the-wall central air condi-
4 tioning heat pumps and small duct, high
5 velocity systems should be established or
6 amended.

7 “(ii) APPLICATION.—The rule shall
8 provide that any new or amended standard
9 shall apply to products manufactured on or
10 after June 30, 2016.”.

11 (e) FURNACES.—Section 325(f) of the Energy Policy
12 and Conservation Act (42 U.S.C. 6295(f)) is amended by
13 adding at the end the following:

14 “(5) NON-WEATHERIZED FURNACES (INCLUD-
15 ING MOBILE HOME FURNACES, BUT NOT INCLUDING
16 BOILERS) MANUFACTURED ON OR AFTER MAY 1,
17 2013, AND WEATHERIZED FURNACES MANUFAC-
18 TURED ON OR AFTER JANUARY 1, 2015.—

19 “(A) BASE NATIONAL STANDARDS.—

20 “(i) NON-WEATHERIZED FURNACES.—
21 The annual fuel utilization efficiency of
22 non-weatherized furnaces manufactured on
23 or after May 1, 2013, shall be not less
24 than the following:

25 “(I) Gas furnaces, 80 percent.

1 “(II) Oil furnaces, 83 percent.

2 “(ii) WEATHERIZED FURNACES.—The
3 annual fuel utilization efficiency of weath-
4 erized gas furnaces manufactured on or
5 after January 1, 2015, shall be not less
6 than 81 percent.

7 “(B) REGIONAL STANDARD.—

8 “(i) ANNUAL FUEL UTILIZATION EF-
9 FICIENCY.—The Secretary shall by May 1,
10 2011, establish a standard for the annual
11 fuel utilization efficiency of non-weather-
12 ized gas furnaces manufactured on or after
13 May 1, 2013, and installed in States hav-
14 ing historical average annual, population
15 weighted, heating degree days equal to or
16 greater than 5,000 (specifically the States
17 of Alaska, Colorado, Connecticut, Idaho,
18 Illinois, Indiana, Iowa, Kansas, Maine,
19 Massachusetts, Michigan, Minnesota, Mis-
20 souri, Montana, Nebraska, New Hamp-
21 shire, New Jersey, New York, North Da-
22 kota, Ohio, Oregon, Pennsylvania, Rhode
23 Island, South Dakota, Utah, Vermont,
24 Washington, West Virginia, Wisconsin, and
25 Wyoming).

1 whether the standard in effect for
2 weatherized furnaces should be
3 amended.

4 “(II) APPLICATION.—The rule
5 shall provide that any amendments
6 shall apply to products manufactured
7 on or after January 1, 2022.

8 “(D) NEW CONSTRUCTION LEVELS.—

9 “(i) IN GENERAL.—As part of any
10 final rule concerning furnace standards
11 published after June 1, 2013, the Sec-
12 retary shall determine if the building code
13 levels specified in section 327(f)(3)(C)
14 should be amended subject to meeting the
15 criteria of subsection (o) when applied spe-
16 cifically to new construction.

17 “(ii) EFFECTIVE DATE.—Any amend-
18 ed levels shall not take effect before Janu-
19 ary 1, 2018.

20 “(iii) AMENDED LEVELS.—The final
21 rule shall contain the amended levels, if
22 any.”.

23 (f) EXCEPTION FOR CERTAIN BUILDING CODE RE-
24 QUIREMENTS.—Section 327(f) of the Energy Policy and
25 Conservation Act (42 U.S.C. 6297(f)) is amended—

1 (1) in paragraph (3), by striking subparagraphs
2 (B) through (F) and inserting the following:

3 “(B) The code does not contain a manda-
4 tory requirement that, under all code compli-
5 ance paths, requires that the covered product
6 have an energy efficiency exceeding 1 of the fol-
7 lowing levels:

8 “(i) The applicable energy conserva-
9 tion standard established in or prescribed
10 under section 325.

11 “(ii) The level required by a regula-
12 tion of the State for which the Secretary
13 has issued a rule granting a waiver under
14 subsection (d).

15 “(C) If the energy consumption or con-
16 servation objective in the code is determined
17 using covered products, including any baseline
18 building designs against which all submitted
19 building designs are to be evaluated, the objec-
20 tive is based on the use of covered products
21 having efficiencies not exceeding—

22 “(i) for residential furnaces, central
23 air conditioners, and heat pumps, effective
24 not earlier than January 1, 2013, and

1 until such time as a level takes effect for
2 the product under clause (ii)—

3 “(I) for the States described in
4 section 325(f)(5)(B)(i)—

5 “(aa) 92 percent AFUE for
6 gas furnaces; and

7 “(bb) 14 SEER for central
8 air conditioners (not including
9 heat pumps);

10 “(II) for the States and other lo-
11 calities described in section
12 325(d)(4)(B)(i) (except for the States
13 of Arizona, California, Nevada, and
14 New Mexico)—

15 “(aa) 90 percent AFUE for
16 gas furnaces; and

17 “(bb) 15 SEER for central
18 air conditioners;

19 “(III) for the States of Arizona,
20 California, Nevada, and New Mex-
21 ico—

22 “(aa) 92 percent AFUE for
23 gas furnaces;

24 “(bb) 15 SEER for central
25 air conditioners;

1 “(cc) an EER of 12.5 for
2 air conditioners (not including
3 heat pumps) with cooling capac-
4 ity less than 45,000 Btu per
5 hour; and

6 “(dd) an EER of 12.0 for
7 air conditioners (not including
8 heat pumps) with cooling capac-
9 ity of 45,000 Btu per hour or
10 more; and

11 “(IV) for all States—

12 “(aa) 85 percent AFUE for
13 oil furnaces; and

14 “(bb) 15 SEER and 8.5
15 HSPF for heat pumps;

16 “(ii) the building code levels estab-
17 lished pursuant to section 325; or

18 “(iii) the applicable standards or lev-
19 els specified in subparagraph (B).

20 “(D) The credit to the energy consumption
21 or conservation objective allowed by the code for
22 installing a covered product having an energy
23 efficiency exceeding the applicable standard or
24 level specified in subparagraph (C) is on a 1-
25 for-1 equivalent energy use or equivalent energy

1 cost basis, which may take into account the typ-
2 ical lifetimes of the products and building fea-
3 tures, using lifetimes for covered products
4 based on information published by the Depart-
5 ment of Energy or the American Society of
6 Heating, Refrigerating and Air-Conditioning
7 Engineers.

8 “(E) If the code sets forth 1 or more com-
9 binations of items that meet the energy con-
10 sumption or conservation objective, and if 1 or
11 more combinations specify an efficiency level for
12 a covered product that exceeds the applicable
13 standards and levels specified in subparagraph
14 (B)—

15 “(i) there is at least 1 combination
16 that includes such covered products having
17 efficiencies not exceeding 1 of the stand-
18 ards or levels specified in subparagraph
19 (B); and

20 “(ii) if 1 or more combinations of
21 items specify an efficiency level for a fur-
22 nace, central air conditioner, or heat pump
23 that exceeds the applicable standards and
24 levels specified in subparagraph (B), there
25 is at least 1 combination that the State

1 has found to be reasonably achievable
2 using commercially available technologies
3 that includes such products having effi-
4 ciencies at the applicable levels specified in
5 subparagraph (C), except that no combina-
6 tion need include a product having an effi-
7 ciency less than the level specified in sub-
8 paragraph (B)(ii).

9 “(F) The energy consumption or conserva-
10 tion objective is specified in terms of an esti-
11 mated total consumption of energy (which may
12 be specified in units of energy or its equivalent
13 cost).”;

14 (2) in paragraph (4)(B)—

15 (A) by inserting after “building code” the
16 first place it appears the following: “contains a
17 mandatory requirement that, under all code
18 compliance paths,”; and

19 (B) by striking “unless the” and all that
20 follows through “subsection (d)”;

21 (3) by adding at the end the following:

22 “(5) REPLACEMENT OF COVERED PRODUCT.—
23 Paragraph (3) shall not apply to the replacement of
24 a covered product serving an existing building unless

1 the replacement results in an increase in capacity
2 greater than—

3 “(A) 12,000 Btu per hour for residential
4 air conditioners and heat pumps; or

5 “(B) 20 percent for other covered prod-
6 ucts.”.

7 **SEC. 234. ENERGY CONSERVATION STANDARDS FOR HEAT**
8 **PUMP POOL HEATERS.**

9 (a) DEFINITIONS.—

10 (1) EFFICIENCY DESCRIPTOR.—Section
11 321(22) of the Energy Policy and Conservation Act
12 (42 U.S.C. 6291(22)) is amended—

13 (A) in subparagraph (E), by inserting
14 “gas-fired” before “pool heaters”; and

15 (B) by adding at the end the following:

16 “(F) For heat pump pool heaters, coeffi-
17 cient of performance of heat pump pool heat-
18 ers.”.

19 (2) COEFFICIENT OF PERFORMANCE OF HEAT
20 PUMP POOL HEATERS.—Section 321 of the Energy
21 Policy and Conservation Act (42 U.S.C. 6291)) is
22 amended by inserting after paragraph (25) the fol-
23 lowing:

24 “(25A) COEFFICIENT OF PERFORMANCE OF
25 HEAT PUMP POOL HEATERS.—The term ‘coefficient

1 of performance of heat pump pool heaters' means
2 the ratio of the capacity to power input value ob-
3 tained at the following rating conditions: 50.0 °F db/
4 44.2 °F wb outdoor air and 80.0 °F entering water
5 temperatures, according to AHRI Standard 1160.”.

6 (3) THERMAL EFFICIENCY OF GAS-FIRED POOL
7 HEATERS.—Section 321(26) of the Energy Policy
8 and Conservation Act (42 U.S.C. 6291(26)) by in-
9 serting “gas-fired” before “pool heaters”.

10 (b) STANDARDS FOR POOL HEATERS.—Section
11 325(e)(2) of the Energy Policy and Conservation Act (42
12 U.S.C. 6295(e)(2)) is amended—

13 (1) by striking “(2) The thermal efficiency of
14 pool heaters” and inserting the following:

15 “(2) POOL HEATERS.—

16 “(A) GAS-FIRED POOL HEATERS.—The
17 thermal efficiency of gas-fired pool heaters”;
18 and

19 (2) by adding at the end the following:

20 “(B) HEAT PUMP POOL HEATERS.—Heat
21 pump pool heaters manufactured on or after
22 the date of enactment of this subparagraph
23 shall have a minimum coefficient of perform-
24 ance of 4.0.”.

1 **SEC. 235. EFFICIENCY STANDARDS FOR BOTTLE-TYPE**
2 **WATER DISPENSERS, COMMERCIAL HOT**
3 **FOOD HOLDING CABINETS, AND PORTABLE**
4 **ELECTRIC SPAS.**

5 (a) DEFINITIONS.—Section 321 of the Energy Policy
6 and Conservation Act (42 U.S.C. 6291) (as amended by
7 section 233(a)(2)) is amended by adding at the end the
8 following:

9 “(77) BOTTLE-TYPE WATER DISPENSER.—The
10 term ‘bottle-type water dispenser’ means a drinking
11 water dispenser that is—

12 “(A) designed for dispensing hot and cold
13 water; and

14 “(B) uses a removable bottle or container
15 as the source of potable water.

16 “(78) COMMERCIAL HOT FOOD HOLDING CABI-
17 NET.—

18 “(A) IN GENERAL.—The term ‘commercial
19 hot food holding cabinet’ means a heated, fully-
20 enclosed compartment that—

21 “(i) is designed to maintain the tem-
22 perature of hot food that has been cooked
23 in a separate appliance;

24 “(ii) has 1 or more solid or glass
25 doors; and

1 “(iii) has an interior volume of 8
2 cubic feet or more.

3 “(B) EXCLUSIONS.—The term ‘commercial
4 hot food holding cabinet’ does not include—

5 “(i) a heated glass merchandising cab-
6 inet;

7 “(ii) a drawer warmer;

8 “(iii) a cook-and-hold appliance; or

9 “(iv) a mobile serving cart with both
10 hot and cold compartments.

11 “(79) COMPARTMENT BOTTLE-TYPE WATER
12 DISPENSER.—The term ‘compartment bottle-type
13 water dispenser’ means a drinking water dispenser
14 that—

15 “(A) is designed for dispensing hot and
16 cold water;

17 “(B) uses a removable bottle or container
18 as the source of potable water; and

19 “(C) includes a refrigerated compartment
20 with or without provisions for making ice.

21 “(80) PORTABLE ELECTRIC SPA.—

22 “(A) IN GENERAL.—The term ‘portable
23 electric spa’ means a factory-built electric spa
24 or hot tub that—

1 “(i) is intended for the immersion of
2 persons in heated water circulated in a
3 closed system; and

4 “(ii) is not intended to be drained and
5 filled with each use.

6 “(B) INCLUSIONS.—The term ‘portable
7 electric spa’ includes—

8 “(i) a filter;

9 “(ii) a heater (including an electric,
10 solar, or gas heater);

11 “(iii) a pump;

12 “(iv) a control; and

13 “(v) other equipment, such as a light,
14 a blower, and water sanitizing equipment.

15 “(C) EXCLUSIONS.—The term ‘portable
16 electric spa’ does not include—

17 “(i) a permanently installed spa that,
18 once installed, cannot be moved; or

19 “(ii) a spa that is specifically designed
20 and exclusively marketed for medical treat-
21 ment or physical therapy purposes.

22 “(81) WATER DISPENSER.—The term ‘water
23 dispenser’ means a factory-made assembly that—

24 “(A) mechanically cools and heats potable
25 water; and

1 “(B) dispenses the cooled or heated water
2 by integral or remote means.”.

3 (b) COVERAGE.—Section 322(a) of the Energy Policy
4 and Conservation Act (42 U.S.C. 6292(a)) (as amended
5 by section 224(b)(1)) is amended—

6 (1) by redesignating paragraph (21) as para-
7 graph (24); and

8 (2) by inserting after paragraph (20) the fol-
9 lowing:

10 “(21) Bottle-type water dispensers and com-
11 partment bottle-type water dispensers.

12 “(22) Commercial hot food holding cabinets.

13 “(23) Portable electric spas.”.

14 (c) TEST PROCEDURES.—Section 323(b) of the En-
15 ergy Policy and Conservation Act (42 U.S.C. 6293(b)) (as
16 amended by section 233(b)) is amended by adding at the
17 end the following:

18 “(21) BOTTLE-TYPE WATER DISPENSERS.—

19 “(A) IN GENERAL.—Test procedures for
20 bottle-type water dispensers and compartment
21 bottle-type water dispensers shall be based on
22 the document ‘Energy Star Program Require-
23 ments for Bottled Water Coolers version 1.1’
24 published by the Environmental Protection
25 Agency.

1 “(B) INTEGRAL, AUTOMATIC TIMERS.—A
2 unit with an integral, automatic timer shall not
3 be tested under this paragraph using section
4 4D of the test criteria (relating to Timer
5 Usage).

6 “(22) COMMERCIAL HOT FOOD HOLDING CABI-
7 NETS.—

8 “(A) IN GENERAL.—Test procedures for
9 commercial hot food holding cabinets shall be
10 based on the test procedures described in
11 ANSI/ASTM F2140–01 (Test for idle energy
12 rate-dry test).

13 “(B) INTERIOR VOLUME.—Interior volume
14 shall be based under this paragraph on the
15 method demonstrated in the document ‘Energy
16 Star Program Requirements for Commercial
17 Hot Food Holding Cabinets’ of the Environ-
18 mental Protection Agency, as in effect on Au-
19 gust 15, 2003.

20 “(23) PORTABLE ELECTRIC SPAS.—

21 “(A) IN GENERAL.—Test procedures for
22 portable electric spas shall be based on the test
23 method for portable electric spas described in
24 section 1604 of title 20, California Code of
25 Regulations, as amended on December 3, 2008.

1 “(ll) COMMERCIAL HOT FOOD HOLDING CABI-
2 NETS.—Effective beginning January 1, 2012, a commer-
3 cial hot food holding cabinet shall have a maximum idle
4 energy rate of 40 watts per cubic foot of interior volume.

5 “(mm) PORTABLE ELECTRIC SPAS.—Effective begin-
6 ning January 1, 2012, a portable electric spa shall not
7 have a normalized standby power rate of greater than 5
8 ($V^{2/3}$) Watts (in which ‘V’ equals the fill volume (in gal-
9 lons)).

10 “(nn) REVISIONS.—

11 “(1) IN GENERAL.—Not later than January 1,
12 2013, the Secretary shall—

13 “(A) consider in accordance with sub-
14 section (o) revisions to the standards estab-
15 lished under subsections (kk), (ll), and (mm);
16 and

17 “(B)(i) publish a final rule establishing the
18 revised standards; or

19 “(ii) make a finding that no revisions are
20 technically feasible and economically justified.

21 “(2) EFFECTIVE DATE.—Any revised standards
22 under this subsection take effect on January 1,
23 2016.”.

24 “(e) PREEMPTION.—Section 327 of the Energy Policy
25 and Conservation Act (42 U.S.C. 6297) is amended—

31

1 (1) in subsection (b)—

2 (A) in paragraph (6), by striking “or”
3 after the semicolon at the end;

4 (B) in paragraph (7), by striking the pe-
5 riod at the end and inserting “; or”; and

6 (C) by adding at the end the following:

7 “(8) is a regulation that—

8 “(A) establishes efficiency standards for
9 bottle-type water dispensers, compartment bot-
10 tle-type water dispensers, commercial hot food
11 holding cabinets, or portable electric spas; and

12 “(B) is in effect on or before the date of
13 enactment of this paragraph.”; and

14 (2) in subsection (c)—

15 (A) in paragraph (8)(B), by striking “and”
16 after the semicolon at the end;

17 (B) in paragraph (9)—

18 (i) by striking “except that—” and all
19 that follows through “if the Secretary” and
20 inserting “except that if the Secretary”;

21 (ii) by redesignating clauses (i) and
22 (ii) as subparagraphs (A) and (B), respec-
23 tively, and indenting appropriately; and

1 (iii) in subparagraph (B) (as so reded-
2 ignated), by striking the period at the end
3 and inserting “; or”; and

4 (C) by adding at the end the following:

5 “(10) is a regulation that—

6 “(A) establishes efficiency standards for
7 bottle-type water dispensers, compartment bot-
8 tle-type water dispensers, commercial hot food
9 holding cabinets, or portable electric spas; and

10 “(B) is adopted by the California Energy
11 Commission on or before January 1, 2013.”.

12 **SEC. 236. UNIFORM EFFICIENCY DESCRIPTOR FOR COV-**
13 **ERED WATER HEATERS.**

14 Section 325(e) of the Energy Policy and Conservation
15 Act (42 U.S.C. 6295(e)) is amended by adding at the end
16 the following:

17 “(5) UNIFORM EFFICIENCY DESCRIPTOR FOR
18 COVERED WATER HEATERS.—

19 “(A) DEFINITIONS.—In this paragraph:

20 “(i) COVERED WATER HEATER.—The
21 term ‘covered water heater’ means—

22 “(I) a water heater; and

23 “(II) a storage water heater, in-
24 stantaneous water heater, and unfired

1 water storage tank (as defined in sec-
2 tion 340).

3 “(ii) FINAL RULE.—The term ‘final
4 rule’ means the final rule published under
5 this paragraph.

6 “(B) PUBLICATION OF FINAL RULE.—Not
7 later than 180 days after the date of enactment
8 of this paragraph, the Secretary shall publish a
9 final rule that establishes a uniform efficiency
10 descriptor and accompanying test methods for
11 covered water heaters.

12 “(C) PURPOSE.—The purpose of the final
13 rule shall be to replace with a uniform effi-
14 ciency descriptor—

15 “(i) the energy factor descriptor for
16 water heaters established under this sub-
17 section; and

18 “(ii) the thermal efficiency and stand-
19 by loss descriptors for storage water heat-
20 ers, instantaneous water heaters, and
21 unfired water storage tanks established
22 under section 342(a)(5).

23 “(D) EFFECT OF FINAL RULE.—

24 “(i) IN GENERAL.—Notwithstanding
25 any other provision of this title, effective

1 beginning on the effective date of the final
2 rule, the efficiency standard for covered
3 water heaters shall be denominated accord-
4 ing to the efficiency descriptor established
5 by the final rule.

6 “(ii) EFFECTIVE DATE.—The final
7 rule shall take effect 1 year after the date
8 of publication of the final rule under sub-
9 paragraph (B).

10 “(E) CONVERSION FACTOR.—

11 “(i) IN GENERAL.—The Secretary
12 shall develop a mathematical conversion
13 factor for converting the measurement of
14 efficiency for covered water heaters from
15 the test procedures in effect on the date of
16 enactment of this paragraph to the new
17 energy descriptor established under the
18 final rule.

19 “(ii) APPLICATION.—The conversion
20 factor shall apply to models of covered
21 water heaters affected by the final rule and
22 tested prior to the effective date of the
23 final rule.

24 “(iii) EFFECT ON EFFICIENCY RE-
25 QUIREMENTS.—The conversion factor shall

1 not affect the minimum efficiency require-
2 ments for covered water heaters otherwise
3 established under this title.

4 “(iv) USE.—During the period de-
5 scribed in clause (v), a manufacturer may
6 apply the conversion factor established by
7 the Secretary to rerate existing models of
8 covered water heaters that are in existence
9 prior to the effective date of the rule de-
10 scribed in clause (v)(II) to comply with the
11 new efficiency descriptor.

12 “(v) PERIOD.—Subclause (E) shall
13 apply during the period—

14 “(I) beginning on the date of
15 publication of the conversion factor in
16 the Federal Register; and

17 “(II) ending on April 16, 2015.

18 “(F) EXCLUSIONS.—The final rule may
19 exclude a specific category of covered water
20 heaters from the uniform efficiency descriptor
21 established under this paragraph if the Sec-
22 retary determines that the category of water
23 heaters—

1 “(i) does not have a residential use
2 and can be clearly described in the final
3 rule; and

4 “(ii) are effectively rated using the
5 thermal efficiency and standby loss
6 descriptors applied (as of the date of en-
7 actment of this paragraph) to the category
8 under section 342(a)(5).

9 “(G) OPTIONS.—The descriptor set by the
10 final rule may be—

11 “(i) a revised version of the energy
12 factor descriptor in use as of the date of
13 enactment of this paragraph;

14 “(ii) the thermal efficiency and stand-
15 by loss descriptors in use as of that date;

16 “(iii) a revised version of the thermal
17 efficiency and standby loss descriptors;

18 “(iv) a hybrid of descriptors; or

19 “(v) a new approach.

20 “(H) APPLICATION.—The efficiency
21 descriptor and accompanying test method estab-
22 lished under the final rule shall apply, to the
23 maximum extent practicable, to all water heat-
24 ing technologies in use as of the date of enact-

1 ment of this paragraph and to future water
2 heating technologies.

3 “(I) PARTICIPATION.—The Secretary shall
4 invite interested stakeholders to participate in
5 the rulemaking process used to establish the
6 final rule.

7 “(J) TESTING OF ALTERNATIVE
8 DESCRIPTORS.—In establishing the final rule,
9 the Secretary shall contract with the National
10 Institute of Standards and Technology, as nec-
11 essary, to conduct testing and simulation of al-
12 ternative descriptors identified for consider-
13 ation.

14 “(K) EXISTING COVERED WATER HEAT-
15 ERS.—A covered water heater shall be consid-
16 ered to comply with the final rule on and after
17 the effective date of the final rule and with any
18 revised labeling requirements established by the
19 Federal Trade Commission to carry out the
20 final rule if the covered water heater—

21 “(i) was manufactured prior to the ef-
22 fective date of the final rule; and

23 “(ii) complied with the efficiency
24 standards and labeling requirements in ef-
25 fect prior to the final rule.”.

1 **SEC. 237. EFFICIENCY STANDARDS FOR CLASS A EXTERNAL**
2 **POWER SUPPLIES.**

3 Section 325(u)(3) of the Energy Policy and Con-
4 servation Act (42 U.S.C. 6295(u)(3)) is amended—

5 (1) in subparagraph (A), by striking “(D)” and
6 inserting “(E)”; and

7 (2) by adding at the end the following:

8 “(E) NONAPPLICATION OF NO-LOAD MODE
9 ENERGY EFFICIENCY STANDARDS TO EXTERNAL
10 POWER SUPPLIES FOR CERTAIN SECURITY OR
11 LIFE SAFETY ALARMS OR SURVEILLANCE SYS-
12 TEMS.—

13 “(i) DEFINITION OF SECURITY OR
14 LIFE SAFETY ALARM OR SURVEILLANCE
15 SYSTEM.—In this subparagraph:

16 “(I) IN GENERAL.—The term ‘se-
17 curity or life safety alarm or surveil-
18 lance system’ means equipment de-
19 signed and marketed to perform any
20 of the following functions (on a con-
21 tinuous basis):

22 “(aa) Monitor, detect,
23 record, or provide notification of
24 intrusion or access to real prop-
25 erty or physical assets or notifi-
26 cation of threats to life safety.

1 lished by this paragraph shall not apply to
2 an external power supply manufactured be-
3 fore July 1, 2017, that—

4 “(I) is an AC-to-AC external
5 power supply;

6 “(II) has a nameplate output of
7 20 watts or more;

8 “(III) is certified to the Sec-
9 retary as being designed to be con-
10 nected to a security or life safety
11 alarm or surveillance system compo-
12 nent; and

13 “(IV) on establishment within
14 the External Power Supply Inter-
15 national Efficiency Marking Protocol,
16 as referenced in the ‘Energy Star Pro-
17 gram Requirements for Single Voltage
18 External Ac–Dc and Ac–Ac Power
19 Supplies’, published by the Environ-
20 mental Protection Agency, of a distin-
21 guishing mark for products described
22 in this clause, is permanently marked
23 with the distinguishing mark.

1 (2) by striking paragraph (5) and inserting the
2 following:

3 “(5) for any manufacturer (or representative of
4 a manufacturer), distributor, retailer, or private la-
5 beler—

6 “(A) to offer for sale or distribute in com-
7 merce any new covered product that is not in
8 conformity with an applicable energy conserva-
9 tion standard established in or prescribed under
10 this part; or

11 “(B) if the standard is a regional standard
12 that is more stringent than the base national
13 standard, to offer for sale or distribute in com-
14 merce any new covered product having knowl-
15 edge (consistent with the definition of ‘know-
16 ingly’ in section 333(b)) that the product will
17 be installed at a location covered by a regional
18 standard established in or prescribed under this
19 part and will not be in conformity with the
20 standard;”;

21 (3) in paragraph (6) (as added by section
22 306(b)(2) of Public Law 110–140 (121 Stat.
23 1559)), by striking the period at the end and insert-
24 ing “; and”;

1 (4) by redesignating paragraph (6) (as added
2 by section 321(e)(3) of Public Law 110–140 (121
3 Stat. 1586)) as paragraph (7); and

4 (5) in paragraph (7) (as so redesignated), by
5 striking “for any manufacturer, distributor, retailer,
6 or private labeler to distribute” and inserting “for
7 any manufacturer (or representative of a manufac-
8 turer), distributor, retailer, or private labeler to offer
9 for sale or distribute”.

10 **SEC. 239. OUTDOOR LIGHTING.**

11 (a) DEFINITIONS.—

12 (1) COVERED EQUIPMENT.—Section 340(1) of
13 the Energy Policy and Conservation Act (42 U.S.C.
14 6311(1)) is amended—

15 (A) by redesignating subparagraph (L) as
16 subparagraph (O); and

17 (B) by inserting after subparagraph (K)
18 the following:

19 “(L) Pole-mounted outdoor luminaires.

20 “(M) High light output double-ended
21 quartz halogen lamps.

22 “(N) General purpose mercury vapor
23 lamps.”.

1 (2) INDUSTRIAL EQUIPMENT.—Section
2 340(2)(B) of the Energy Policy and Conservation
3 Act (42 U.S.C. 6311(2)(B)) is amended—

4 (A) by striking “and” before “unfired hot
5 water”; and

6 (B) by inserting after “tanks” the fol-
7 lowing: “, pole-mounted outdoor luminaires,
8 high light output double-ended quartz halogen
9 lamps, and general purpose mercury vapor
10 lamps”.

11 (3) NEW DEFINITIONS.—Section 340 of the
12 Energy Policy and Conservation Act (42 U.S.C.
13 6311) is amended—

14 (A) by redesignating paragraphs (22) and
15 (23) (as amended by sections 312(a)(2) and
16 314(a) of the Energy Independence and Secu-
17 rity Act of 2007 (121 Stat. 1564, 1569)) as
18 paragraphs (23) and (24), respectively; and

19 (B) by adding at the end the following:

20 “(25) AREA LUMINAIRE.—The term ‘area lumi-
21 naire’ means a luminaire intended for lighting park-
22 ing lots and general areas that—

23 “(A) is designed to mount on a pole using
24 an arm, pendant, or vertical tenon;

1 “(B) has an opaque top or sides, but may
2 contain a transmissive ornamental element;

3 “(C) has an optical aperture that is open
4 or enclosed with a flat, sag, or drop lens;

5 “(D) is mounted in a fixed position with
6 the optical aperture near horizontal, or tilted
7 up; and

8 “(E) has photometric output measured
9 using Type C photometry per IESNA LM-75-
10 01.

11 “(26) DECORATIVE POSTTOP LUMINAIRE.—The
12 term ‘decorative posttop luminaire’ means a lumi-
13 naire with—

14 “(A) open or transmissive sides that is de-
15 signed to be mounted directly over a pole using
16 a vertical tenon or by fitting the luminaire di-
17 rectly into the pole; and

18 “(B) photometric output measured using
19 Type C photometry per IESNA LM-75-01.

20 “(27) DUSK-TO-DAWN LUMINAIRE.—The term
21 ‘dusk-to-dawn luminaire’ means a fluorescent, induc-
22 tion, or high intensity discharge luminaire that—

23 “(A) is designed to be mounted on a hori-
24 zontal or horizontally slanted tenon or arm;

1 “(B) has an optical assembly that is co-
2 axial with the axis of symmetry of the light
3 source;

4 “(C) has an optical assembly that is—

5 “(i) a reflector or lamp enclosure that
6 surrounds the light source with an open
7 lower aperture; or

8 “(ii) a refractive optical assembly sur-
9 rounding the light source with an open or
10 closed lower aperture;

11 “(D) contains a receptacle for a
12 photocontrol that enables the operation of the
13 light source and is either coaxial with both the
14 axis of symmetry of the light source and the op-
15 tical assembly or offset toward the mounting
16 bracket by less than 3 inches, or contains an in-
17 tegral photocontrol; and

18 “(E) has photometric output measured
19 using Type C photometry per IESNA LM-75-
20 01.

21 “(28) FLOODLIGHT LUMINAIRE.—The term
22 ‘floodlight luminaire’ means an outdoor luminaire
23 designed with a yoke, knuckle, or other mechanism
24 allowing the luminaire to be aimed 40 degrees or
25 more with its photometric distributions established

1 with only Type B photometry in accordance with
2 IESNA LM-75, revised 2001.

3 “(29) GENERAL PURPOSE MERCURY VAPOR
4 LAMP.—The term ‘general purpose mercury vapor
5 lamp’ means a mercury vapor lamp (as defined in
6 section 321) that—

7 “(A) has a screw base;

8 “(B) is designed for use in general lighting
9 applications (as defined in section 321);

10 “(C) is not a specialty application mercury
11 vapor lamp; and

12 “(D) is designed to operate on a mercury
13 vapor lamp ballast (as defined in section 321)
14 or is a self- ballasted lamp.

15 “(30) HIGH LIGHT OUTPUT DOUBLE-ENDED
16 QUARTZ HALOGEN LAMP.—The term ‘high light out-
17 put double-ended quartz halogen lamp’ means a
18 lamp that—

19 “(A) is designed for general outdoor light-
20 ing purposes;

21 “(B) contains a tungsten filament;

22 “(C) has a rated initial lumen value of
23 greater than 6,000 and less than 40,000
24 lumens;

1 “(D) has at each end a recessed single
2 contact, R7s base;

3 “(E) has a maximum overall length (MOL)
4 between 4 and 11 inches;

5 “(F) has a nominal diameter less than $\frac{3}{4}$
6 inch (T6);

7 “(G) is designed to be operated at a volt-
8 age not less than 110 volts and not greater
9 than 200 volts or is designed to be operated at
10 a voltage between 235 volts and 300 volts;

11 “(H) is not a tubular quartz infrared heat
12 lamp; and

13 “(I) is not a lamp marked and marketed
14 as a Stage and Studio lamp with a rated life of
15 500 hours or less.

16 “(31) MEAN RATED LAMP LUMENS.—The term
17 ‘mean rated lamp lumens’ means the rated lumens
18 at—

19 “(A) 40 percent of rated lamp life for
20 metal halide, induction, and fluorescent lamps;
21 or

22 “(B) 50 percent of rated lamp life for high
23 pressure sodium lamps.

24 “(32) OUTDOOR LUMINAIRE.—The term ‘out-
25 door luminaire’ means a luminaire that—

1 “(A) is intended for outdoor use and suit-
2 able for wet locations; and

3 “(B) may be shipped with or without a
4 lamp.

5 “(33) POLE-MOUNTED OUTDOOR LUMINAIRE.—

6 “(A) IN GENERAL.—The term ‘pole-mount-
7 ed outdoor luminaire’ means an outdoor lumi-
8 naire that is designed to be mounted on an out-
9 door pole and is—

10 “(i) an area luminaire;

11 “(ii) a roadway and highmast lumi-
12 naire;

13 “(iii) a decorative posttop luminaire;
14 or

15 “(iv) a dusk-to-dawn luminaire.

16 “(B) EXCLUSIONS.—The term ‘pole-
17 mounted outdoor luminaire’ does not include—

18 “(i) a portable luminaire designed for
19 use at construction sites;

20 “(ii) a luminaire designed to be used
21 in emergency conditions that—

22 “(I) incorporates a means of
23 storing energy and a device to switch
24 the stored energy supply to emergency

1 lighting loads automatically on failure
2 of the normal power supply; and

3 “(II) is listed and labeled as
4 Emergency Lighting Equipment;

5 “(iii) a decorative gas lighting system;

6 “(iv) a luminaire designed explicitly
7 for lighting for theatrical purposes, includ-
8 ing performance, stage, film production,
9 and video production;

10 “(v) a luminaire designed as theme
11 elements in theme or amusement parks
12 and that cannot be used in most general
13 lighting applications;

14 “(vi) a luminaire designed explicitly
15 for hazardous locations meeting the re-
16 quirements of Underwriters Laboratories
17 Standard 844—2006, ‘Luminaires for Use
18 in Hazardous (Classified) Locations’;

19 “(vii) a residential pole-mounted lumi-
20 naire that is not rated for commercial use
21 utilizing 1 or more lamps meeting the en-
22 ergy conservation standards established
23 under section 325(i) and mounted on a
24 post or pole not taller than 10.5 feet above

1 ground and not rated for a power draw of
2 more than 145 watts;

3 “(viii) a floodlight luminaire;

4 “(ix) an outdoor luminaire designed
5 for sports and recreational area use in ac-
6 cordance with IESNA RP-6 and utilizing
7 an 875 watt or greater metal halide lamp;

8 “(x) a decorative posttop luminaire
9 designed for using high intensity discharge
10 lamps with total lamp wattage of 150 or
11 less, or designed for using other lamp
12 types with total lamp wattage of 50 watts
13 or less;

14 “(xi) an area luminaire, roadway and
15 highmast luminaire, or dusk-to-dawn lumi-
16 naire designed for using high intensity dis-
17 charge lamps or pin-based compact fluores-
18 cent lamps with total lamp wattage of 100
19 or less, or other lamp types with total lamp
20 wattage of 50 watts or less; and

21 “(xii) an area luminaire, roadway and
22 highmast luminaire, or dusk-to-dawn lumi-
23 naire with a backlight rating less than 2
24 and with the maximum of the uplight or
25 glare rating 3 or less.

1 “(34) ROADWAY AND HIGHMAST LUMINAIRE.—

2 The term ‘roadway and highmast luminaire’ means
3 a luminaire intended for lighting streets and road-
4 ways that—

5 “(A) is designed to mount on a pole by
6 clamping onto the exterior of a horizontal or
7 horizontally slanted, circular cross-section pipe
8 tenon;

9 “(B) has opaque tops or sides;

10 “(C) has an optical aperture that is open
11 or enclosed with a flat, sag or drop lens;

12 “(D) is mounted in a fixed position with
13 the optical aperture near horizontal, or tilted
14 up; and

15 “(E) has photometric output measured
16 using Type C photometry per IESNA LM-75-
17 01.

18 “(35) SPECIALTY APPLICATION MERCURY
19 VAPOR LAMP.—The term ‘specialty application mer-
20 cury vapor lamp’ means a mercury vapor lamp (as
21 defined in section 321) that is—

22 “(A) designed only to operate on a spe-
23 cialty application mercury vapor lamp ballast
24 (as defined in section 321); and

1 “(B) is marked and marketed for specialty
2 applications only.

3 “(36) TARGET EFFICACY RATING.—The term
4 ‘target efficacy rating’ means a measure of luminous
5 efficacy of a luminaire (as defined in NEMA LE-6-
6 2009).

7 “(37) TUBULAR QUARTZ INFRARED HEAT
8 LAMP.—The term ‘tubular quartz infrared heat
9 lamp’ means a double-ended quartz halogen lamp
10 that—

11 “(A) is marked and marketed as an infra-
12 red heat lamp; and

13 “(B) radiates predominately in the infra-
14 red radiation range and in which the visible ra-
15 diation is not of principle interest.”.

16 (b) STANDARDS.—Section 342 of the Energy Policy
17 and Conservation Act (42 U.S.C. 6313) is amended by
18 adding at the end the following:

19 “(g) POLE-MOUNTED OUTDOOR LUMINAIRES.—

20 “(1) TARGET EFFICACY RATING, LUMEN MAIN-
21 TENANCE AND POWER FACTOR REQUIREMENTS.—

22 “(A) DEFINITION OF MAXIMUM OF
23 UPLIGHT OR GLARE RATING.—In this para-
24 graph, the term ‘maximum of uplight or glare
25 rating’ means, for any specific outdoor lumi-

1 naire, the higher of the upright rating or glare
2 rating of the luminaire.

3 “(B) REQUIREMENTS.—Each pole-mount-
4 ed outdoor luminaire manufactured on or after
5 the date that is 3 years after the date of enact-
6 ment of this subsection shall—

7 “(i) meet or exceed the target efficacy
8 ratings in the following table when tested
9 at full system input watts:

“Area, Roadway or Highmast luminaires

Backlight Rating	Maximum of Uplight or Glare rating		
	0 or 1	2 or 3	4 or 5
0 or 1	38	38	38
2 or 3	38	38	42
4 or 5	38	42	43

“Decorative Posttop or Dusk-to-Dawn luminaires

Backlight Rating	Maximum of Uplight or Glare rating		
	0 or 1	2 or 3	4 or 5
0 or 1	25	25	25
2 or 3	25	25	28
4 or 5	25	28	28;

10 “(ii) use lamps that have a minimum
11 of 0.6 lumen maintenance, as determined
12 in accordance with IESNA LM-80 for
13 Solid State Lighting sources or calculated
14 as mean rated lamp lumens divided by ini-
15 tial rated lamp lumens for other light
16 sources; and

1 “(iii) have a power factor equal to or
2 greater than 0.9 at ballast full power, ex-
3 cept in the case of pole-mounted outdoor
4 luminaires designed for using high inten-
5 sity discharge lamps with a total rated
6 lamp wattage of 150 watts or less, which
7 shall have no power factor requirement.

8 “(2) CONTROL REQUIREMENTS.—

9 “(A) IN GENERAL.—Except as provided in
10 subparagraph (B), each area luminaire manu-
11 factured on or after the date that is 3 years
12 after the date of enactment of this subsection
13 shall be sold—

14 “(i) with integral controls that shall
15 have the capability of operating the lumi-
16 naire at full power and a minimum of 1 re-
17 duced power level plus off, in which case
18 the power reduction shall be at least 30
19 percent of the rated lamp power; or

20 “(ii) with internal electronics and con-
21 nective wiring or hardware (including wire
22 leads, pigtails, inserts for wires, pin bases,
23 or the equivalent) that—

24 “(I) collectively enable the area
25 luminaire, if properly connected to an

1 appropriate control system, to operate
2 at full power and a minimum of 1 re-
3 duced power level plus off, in which
4 case the reduced power level shall be
5 at least 30 percent lower than the
6 rated lamp power in response to sig-
7 nals sent by controls not integral to
8 the luminaire as sold, that may be
9 connected in the field; and

10 “(II) have connections from the
11 components that are easily accessible
12 in the luminaire housing and have in-
13 structions applicable to appropriate
14 control system connections that are
15 included with the luminaire.

16 “(B) NONAPPLICATION.—The control re-
17 quirements of this paragraph shall not apply
18 to—

19 “(i) pole-mounted outdoor luminaires
20 utilizing probe-start metal halide lamps
21 with rated lamp power greater than 500
22 watts operating in non-base-up positions;
23 or

24 “(ii) pole-mounted outdoor luminaires
25 utilizing induction lamps.

1 “(C) INTEGRAL PHOTSENSORS.—Each
2 pole-mounted outdoor luminaire sold with an in-
3 tegral photosensor shall use an electronic-type
4 photocell.

5 “(3) RULEMAKING COMMENCING NOT LATER
6 THAN 60 DAYS AFTER THE DATE OF ENACTMENT.—

7 “(A) IN GENERAL.—Not later than 60
8 days after the date of enactment of this sub-
9 section, the Secretary shall initiate a rule-
10 making procedure to determine whether the
11 standards in effect for pole-mounted outdoor
12 luminaires should be amended.

13 “(B) FINAL RULE.—

14 “(i) PUBLICATION.—The Secretary
15 shall publish a final rule containing the
16 amendments, if any, not later than Janu-
17 ary 1, 2013, or the date that is 33 months
18 after the date of enactment of this sub-
19 section, whichever is later.

20 “(ii) APPLICATION.—Any amend-
21 ments shall apply to products manufac-
22 tured on or after January 1, 2016, or the
23 date that is 3 years after the final rule is
24 published in the Federal Register, which-
25 ever is later.

1 “(C) REVIEW.—

2 “(i) IN GENERAL.—As part of the
3 rulemaking required under this paragraph,
4 the Secretary shall review and may amend
5 the definitions, exclusions, test procedures,
6 power factor standards, lumen mainte-
7 nance requirements, labeling requirements,
8 and additional control requirements, in-
9 cluding dimming functionality, for all pole-
10 mounted outdoor luminaires.

11 “(ii) FACTORS.—The review of the
12 Secretary shall include consideration of—

13 “(I) obstacles to compliance and
14 whether compliance is evaded by sub-
15 stitution of nonregulated luminaires
16 for regulated luminaires or allowing
17 luminaires to comply with the stand-
18 ards established under this part based
19 on use of non-standard lamps, as pro-
20 vided for in section
21 343(a)(10)(D)(i)(II);

22 “(II) statistical data relating to
23 pole-mounted outdoor luminaires
24 that—

1 “(aa) the Secretary shall re-
2 quest not later than 120 days
3 after the date of enactment of
4 this subsection from all identifi-
5 able manufacturers of pole-
6 mounted outdoor luminaires, di-
7 rectly from manufacturers of
8 pole-mounted outdoor luminaires
9 or, in the case of members of the
10 National Electrical Manufactur-
11 ers Association, from the Na-
12 tional Electrical Manufacturers
13 Association;

14 “(bb) is considered nec-
15 essary for the rulemaking; and

16 “(cc) shall be made publicly
17 available in a manner that does
18 not reveal manufacturer identity
19 or confidential business informa-
20 tion, in a timely manner for dis-
21 cussion at any public proceeding
22 at which comment is solicited
23 from the public in connection
24 with the rulemaking, except that
25 nothing in this subclause restricts

1 the Secretary from seeking addi-
2 tional information during the
3 course of the rulemaking; and

4 “(III) phased-in effective dates
5 for different types of pole-mounted
6 outdoor luminaires that are submitted
7 to the Secretary in the manner pro-
8 vided for in section 325(p)(4), except
9 that the phased-in effective dates shall
10 not be subject to subparagraphs (A)
11 and (B) of this paragraph.

12 “(4) RULEMAKING BEFORE FEBRUARY 1,
13 2015.—

14 “(A) IN GENERAL.—Not later than Feb-
15 ruary 1, 2015, the Secretary shall initiate a
16 rulemaking procedure to determine whether the
17 standards in effect for pole-mounted outdoor
18 luminaires should be amended.

19 “(B) FINAL RULE.—

20 “(i) PUBLICATION.—The Secretary
21 shall publish a final rule containing the
22 amendments, if any, not later than Janu-
23 ary 1, 2018.

1 “(ii) APPLICATION.—Any amend-
2 ments shall apply to products manufac-
3 tured on or after January 1, 2021.

4 “(C) REVIEW.—

5 “(i) IN GENERAL.—As part of the
6 rulemaking required under this paragraph,
7 the Secretary shall review and may amend
8 the definitions, exclusions, test procedures,
9 power factor standards, lumen mainte-
10 nance requirements, labeling requirements,
11 and additional control requirements, in-
12 cluding dimming functionality, for all pole-
13 mounted outdoor luminaires.

14 “(ii) FACTORS.—The review of the
15 Secretary shall include consideration of—

16 “(I) obstacles to compliance and
17 whether compliance is evaded by sub-
18 stitution of nonregulated luminaires
19 for regulated luminaires or allowing
20 luminaires to comply with the stand-
21 ards established under this part based
22 on use of nonstandard lamps, as pro-
23 vided for in section
24 343(a)(10)(D)(i)(II);

1 “(II) statistical data relating to
2 pole-mounted outdoor luminaires
3 that—

4 “(aa) the Secretary con-
5 siders necessary for the rule-
6 making and requests not later
7 than June 1, 2015, from all iden-
8 tifiable manufacturers of pole-
9 mounted outdoor luminaires, di-
10 rectly from manufacturers of
11 pole-mounted outdoor luminaires
12 and, in the case of members of
13 the National Electrical Manufac-
14 turers Association, from the Na-
15 tional Electrical Manufacturers
16 Association; and

17 “(bb) shall be made publicly
18 available in a manner that does
19 not reveal manufacturer identity
20 or confidential business informa-
21 tion, in a timely manner for dis-
22 cussion at any public proceeding
23 at which comment is solicited
24 from the public in connection
25 with the rulemaking, except that

1 nothing in this subclause restricts
2 the Secretary from seeking addi-
3 tional information during the
4 course of the rulemaking; and

5 “(III) phased-in effective dates
6 for different types of pole-mounted
7 outdoor luminaires that are submitted
8 to the Secretary in the manner pro-
9 vided for in section 325(p)(4), except
10 that the phased-in effective dates shall
11 not be subject to subparagraphs (A)
12 and (B) of this paragraph.

13 “(h) HIGH LIGHT OUTPUT DOUBLE-ENDED QUARTZ
14 HALOGEN LAMPS.—A high light output double-ended
15 quartz halogen lamp manufactured on or after January
16 1, 2016, shall have a minimum efficiency of—

17 “(1) 27 LPW for lamps with a minimum rated
18 initial lumen value greater than 6,000 and a max-
19 imum initial lumen value of 15,000; and

20 “(2) 34 LPW for lamps with a rated initial
21 lumen value greater than 15,000 and less than
22 40,000.

23 “(i) GENERAL PURPOSE MERCURY VAPOR LAMPS.—
24 A general purpose mercury vapor lamp shall not be manu-
25 factured on or after January 1, 2016.”.

1 (c) TEST METHODS.—Section 343(a) of the Energy
2 Policy and Conservation Act (42 U.S.C. 6314(a)) is
3 amended by adding at the end the following:

4 “(10) POLE-MOUNTED OUTDOOR
5 LUMINAIRES.—

6 “(A) IN GENERAL.—With respect to pole-
7 mounted outdoor luminaires to which standards
8 are applicable under section 342, the test meth-
9 ods shall be those described in this paragraph.

10 “(B) PHOTOMETRIC TEST METHODS.—For
11 photometric test methods, the methods shall be
12 those specified in—

13 “(i) IES LM-10-96—Approved
14 Method for Photometric Testing of Out-
15 door Fluorescent Luminaires;

16 “(ii) IES LM-31-95—Photometric
17 Testing of Roadway Luminaires Using In-
18 candescent Filament and High Intensity
19 Discharge Lamps;

20 “(iii) IES LM-79-08—Electrical and
21 Photometric Measurements of Solid-State
22 Lighting Products;

23 “(iv) IES LM-80-08—Measuring
24 Lumen Maintenance of LED Light
25 Sources;

1 “(v) IES LM-40-01—Life testing of
2 Fluorescent Lamps;

3 “(vi) IES LM-47-01—Life testing of
4 High Intensity Discharge (HID) Lamps;

5 “(vii) IES LM-49-01—Life testing of
6 Incandescent Filament Lamps;

7 “(viii) IES LM-60-01—Life testing
8 of Low Pressure Sodium Lamps; and

9 “(ix) IES LM-65-01—Life testing of
10 Compact Fluorescent Lamps.

11 “(C) OUTDOOR BACKLIGHT, UPLIGHT, AND
12 GLARE RATINGS.—For determining outdoor
13 backlight, uplight, and glare ratings, the classi-
14 fications shall be those specified in IES TM-
15 15-07—Luminaire Classification System for
16 Outdoor Luminaires with Addendum A.

17 “(D) TARGET EFFICACY RATING.—For de-
18 termining the target efficacy rating, the proce-
19 dures shall be those specified in NEMA LE-6-
20 2009—‘Procedure for Determining Target Effi-
21 cacy Ratings (TER) for Commercial, Industrial
22 and Residential Luminaires,’ and all of the fol-
23 lowing additional criteria (as applicable):

24 “(i) The target efficacy rating shall be
25 calculated based on the initial rated lamp

1 lumen and rated watt value equivalent to
2 the lamp with which the luminaire is
3 shipped, or, if not shipped with a lamp, the
4 target efficacy rating shall be calculated
5 based on—

6 “(I) the applicable standard lamp
7 as established by subparagraph (E);
8 or

9 “(II) a lamp that has a rated
10 wattage and rated initial lamp lumens
11 that are the same as the maximum
12 lamp watts and minimum lamp
13 lumens labeled on the luminaire, in
14 accordance with section 344(f).

15 “(ii) If the luminaire is designed to
16 operate at more than 1 nominal input volt-
17 age, the ballast input watts used in the
18 target efficacy rating calculation shall be
19 the highest value for any nominal input
20 voltage for which the ballast is designed to
21 operate.

22 “(iii) If the luminaire is a pole-mount-
23 ed outdoor luminaire that contains a bal-
24 last that is labeled to operate lamps of
25 more than 1 wattage, the luminaire shall—

1 “(I) meet or exceed the target ef-
2 ficacy rating in the table in section
3 342(g)(1)(B) calculated in accordance
4 with clause (i) for all lamp wattages
5 that the ballast is labeled to operate;

6 “(II) be constructed such that
7 the luminaire is only capable of ac-
8 cepting lamp wattages that produce
9 target efficacy ratings that meet or
10 exceed the values in the table in sec-
11 tion 342(g)(1)(B) calculated in ac-
12 cordance with clause (i); or

13 “(III) be rated and prominently
14 labeled for a maximum lamp wattage
15 that results in the luminaire meeting
16 or exceeding the target efficacy rating
17 in the table in section 342(g)(1)(B)
18 when calculated and labeled in accord-
19 ance with clause (i).

20 “(iv) If the luminaire is a pole-mount-
21 ed outdoor luminaire that is constructed
22 such that the luminaire will only accept an
23 ANSI Type-O lamp, the luminaire shall
24 meet or exceed the target efficacy rating in

1 the table in section 342(g)(1)(B) when
2 tested with an ANSI Type-O lamp.

3 “(v) If the luminaire is a pole-mount-
4 ed outdoor luminaire that is marketed to
5 use a coated lamp, the luminaire shall
6 meet or exceed the target efficacy rating in
7 the table in section 342(g)(1)(B) when
8 tested with a coated lamp.

9 “(vi) If the luminaire is a solid state
10 lighting pole-mounted outdoor luminaire,
11 the luminaire shall have its target efficacy
12 rating calculated based on the combination
13 of absolute luminaire lumen values and
14 input wattages that results in the lowest
15 possible target efficacy rating for any light
16 source, including ranges of correlated color
17 temperature and color rendering index val-
18 ues, for which the luminaire is marketed
19 by the luminaire manufacturer.

20 “(vii) If the luminaire is a high inten-
21 sity discharge pole-mounted outdoor lumi-
22 naire using a ballast that has a ballast fac-
23 tor different than 1, the target efficacy
24 rating of the luminaire shall be calculated
25 by using the input watts needed to operate

1 the lamp at full rated power, or by using
2 the actual ballast factor of the ballast.

3 “(E) TABLE OF STANDARD LAMP TYPES.—

4 “(i) IN GENERAL.—The National
5 Electrical Manufacturers Association shall
6 develop and publish not later than 1 year
7 after the date of enactment of this para-
8 graph and thereafter maintain and regu-
9 larly update on a publicly available website
10 a table including standard lamp types by
11 wattage, ANSI code, initial lamp lumen
12 value, lamp orientation, and lamp finish.

13 “(ii) INITIAL LAMP LUMEN VALUES.—

14 The initial lamp lumen values shall—

15 “(I) be determined according to a
16 uniform rating method and tested ac-
17 cording to accepted industry practice
18 for each lamp that is considered for
19 inclusion in the table; and

20 “(II) in each case contained in
21 the table, be the lowest known initial
22 lamp lumen value that approximates
23 typical performance in representative
24 general outdoor lighting applications.

1 “(iii) ACTIONS.—On completion of the
2 table required by this subparagraph and
3 any updates to the table—

4 “(I) the National Electrical Man-
5 ufacturers Association shall submit
6 the table and any updates to the Sec-
7 retary; and

8 “(II) the Secretary shall—

9 “(aa) publish the table and
10 any comments that are included
11 with the table in the Federal
12 Register;

13 “(bb) solicit public comment
14 on the table; and

15 “(cc) not later than 180
16 days after date of receipt of the
17 table, after considering the fac-
18 tors described in clause (iv),
19 adopt the table for purposes of
20 this part.

21 “(iv) REBUTTABLE PRESUMPTION.—

22 “(I) IN GENERAL.—There shall
23 be a rebuttable presumption that the
24 table and any updates to the table
25 transmitted by the National Electrical

1 Manufacturers Association to the Sec-
2 retary meets the requirements of this
3 subparagraph, which may be rebutted
4 only if the Secretary finds by clear
5 and substantial evidence that—

6 “(aa) data have been in-
7 cluded that were not the result of
8 having applied applicable indus-
9 try standards; or

10 “(bb) lamps have been in-
11 cluded in the table that are not
12 representative of general outdoor
13 lighting applications.

14 “(II) CONFORMING CHANGES.—
15 If subclause (I) applies, the National
16 Electrical Manufacturers Association
17 shall conform the published table of
18 the Association to the table adopted
19 by the Secretary.

20 “(v) NONTRANSMISSION OF TABLE.—
21 If the National Electrical Manufacturers
22 Association has not submitted the table to
23 the Secretary within 1 year after the date
24 of enactment of this paragraph, the Sec-
25 retary shall develop, publish, and adopt the

1 table not later than 18 months after the
2 date of enactment of this paragraph and
3 update the table regularly.

4 “(F) AMENDMENT OF TEST METHODS.—

5 The Secretary may, by rule, adopt new or addi-
6 tional test methods for pole-mounted outdoor
7 luminaires in accordance with this section.”.

8 (d) LABELING.—Section 344 of the Energy Policy
9 and Conservation Act (42 U.S.C. 6315) is amended—

10 (1) in subsections (d) and (e), by striking “(h)”
11 each place it appears and inserting “(i)”;

12 (2) by redesignating subsections (f) through (k)
13 as subsections (g) through (l), respectively; and

14 (3) by inserting after subsection (e) the fol-
15 lowing:

16 “(f) LABELING RULES FOR POLE-MOUNTED OUT-
17 DOOR LUMINAIRES.—

18 “(1) IN GENERAL.—Subject to subsection (i),
19 not later than 1 year after the date of enactment of
20 this paragraph, the Secretary shall establish labeling
21 rules under this part for pole-mounted outdoor
22 luminaires manufactured on or after the date on
23 which standards established under section 342(g)
24 take effect.

25 “(2) RULES.—The rules shall require—

1 “(A) for pole-mounted outdoor luminaires,
2 that the luminaire, be marked with a capital
3 letter ‘P’ printed within a circle in a con-
4 spicuous location on both the pole-mounted lu-
5 minaire and its packaging to indicate that the
6 pole-mounted outdoor luminaire conforms to the
7 energy conservation standards established in
8 section 342(g); and

9 “(B) for pole-mounted outdoor luminaires
10 that do not contain a lamp in the same ship-
11 ment with the luminaire and are tested with a
12 lamp with a lumen rating exceeding the stand-
13 ard lumen value specified in the table estab-
14 lished under section 343(a)(10)(E), that the lu-
15 minaire—

16 “(i) be labeled to identify the min-
17 imum rated initial lamp lumens and max-
18 imum rated lamp watts required to con-
19 form to the energy conservation standards
20 established in section 342(g); and

21 “(ii) bear a statement on the label
22 that states: ‘Product violates Federal law
23 when installed with a standard lamp. Use
24 only a lamp that meets the minimum

1 lumens and maximum watts provided on
2 this label.’”.

3 (e) PREEMPTION.—Section 345 of the Energy Policy
4 and Conservation Act (42 U.S.C. 6316) is amended—

5 (1) in the first sentence of subsection (a), by
6 striking “The” and inserting “Except as otherwise
7 provided in this section, the”; and

8 (2) by adding at the end the following:

9 “(i) POLE-MOUNTED OUTDOOR LUMINAIRES AND
10 HIGH LIGHT OUTPUT DOUBLE-ENDED QUARTZ HALO-
11 GEN LAMPS.—

12 “(1) IN GENERAL.—Except as provided in para-
13 graph (2), section 327 shall apply to pole-mounted
14 outdoor luminaires and high light output double-
15 ended quartz halogen lamps to the same extent and
16 in the same manner as the section applies under
17 part B.

18 “(2) STATE ENERGY CONSERVATION STAND-
19 ARDS.—Any State energy conservation standard that
20 is adopted on or before January 1, 2015, pursuant
21 to a statutory requirement to adopt efficiency stand-
22 ard for reducing outdoor lighting energy use enacted
23 prior to January 31, 2008, shall not be preempted.”.

1 **SEC. 240. ENERGY EFFICIENCY PROVISIONS.**

2 (a) **DIRECT FINAL RULE.**—Section 323(b)(1) of the
3 Energy Policy and Conservation Act (42 U.S.C.
4 6293(b)(1)) (as amended by section 221(a)(2)) is amend-
5 ed by adding at the end the following:

6 “(C) **TEST PROCEDURES.**—The Secretary
7 may, in accordance with the requirements of
8 this subsection, prescribe test procedures for
9 any consumer product classified as a covered
10 product under section 322(b).

11 “(D) **NEW OR AMENDED TEST PROCE-**
12 **DURES.**—The Secretary shall direct the Na-
13 tional Bureau of Standards to assist in devel-
14 oping new or amended test procedures.

15 “(E) **DIRECT FINAL RULE.**—The Secretary
16 may adopt a consensus test procedure in ac-
17 cordance with the direct final rule procedure es-
18 tablished under section 325(p)(4).”.

19 (b) **CRITERIA FOR PRESCRIBING NEW OR AMENDED**
20 **STANDARDS.**—Section 325(o) of the Energy Policy and
21 Conservation Act (42 U.S.C. 6295(o)) is amended—

22 (1) in paragraph (2)(B)—

23 (A) in clause (i)—

24 (i) in subclause (III), by adding before
25 the semicolon “and the estimated impact
26 on average energy prices”;

1 (ii) in subclause (VI), by striking “;
2 and” and inserting a semicolon;

3 (iii) by redesignating subclause (VII)
4 as subclause (VIII); and

5 (iv) by inserting after subclause (VI)
6 the following:

7 “(VII) the net energy, environ-
8 mental, and economic impacts due to
9 smart grid technologies or capabilities
10 in a covered product that enable de-
11 mand response or response to time-de-
12 pendent energy pricing, taking into
13 consideration the rate of use of the
14 smart grid technologies or capabilities
15 over the life of the product that is
16 likely to result from the imposition of
17 the standard; and”; and

18 (B) in clause (iii)—

19 (i) by striking “(iii) If the Secretary
20 finds” and inserting the following:

21 “(iii) REBUTTABLE PRESUMPTION.—

22 “(I) IN GENERAL.—Subject to
23 subclause (II), if the Secretary finds”;

1 (ii) in subclause (I) (as designated by
2 clause (i)), by striking “three” and insert-
3 ing “4”; and

4 (iii) by striking the second sentence
5 and inserting the following:

6 “(II) MULTIPLIER FOR CERTAIN
7 PRODUCTS.—For any product with an
8 average expected useful life of less
9 than 4 years, the rebuttable presump-
10 tion described in subclause (I) shall be
11 determined using 75 percent of the
12 average expected useful life of the
13 product as a multiplier instead of 4.

14 “(III) REQUIREMENT FOR RE-
15 BUTTAL OF PRESUMPTION.—A pre-
16 sumption described in subclause (I)
17 may be rebutted only if the Secretary
18 finds, based on clear and substantial
19 evidence, that—

20 “(aa) the standard level
21 would cause substantial hardship
22 to the average consumer of the
23 product, or to manufacturers
24 supplying a significant portion of
25 the market for the product, in

1 terms of manufacturing or prod-
2 uct cost or loss of product utility
3 or features, the aggregate of
4 which outweighs the benefits of
5 the standard level;

6 “(bb) the standard and im-
7 plementing regulations cannot
8 reasonably be designed to avoid
9 or mitigate any hardship de-
10 scribed in item (aa) (including
11 through the adoption of regional
12 standards for the products identi-
13 fied in, and consistent with, para-
14 graph (6) or other reasonable
15 means consistent with this part)
16 and the hardship cannot be
17 avoided or mitigated through the
18 procedures described in section
19 504 of the Department of Energy
20 Organization Act (42 U.S.C.
21 7194); and

22 “(cc) the same or a substan-
23 tially similar hardship with re-
24 spect to a hardship described in
25 item (aa) would not occur under

1 a standard adopted in the ab-
2 sence of the presumption, but
3 that otherwise meets the require-
4 ments of this section.

5 “(IV) PROHIBITED FACTORS FOR
6 DETERMINATION.—

7 “(aa) IN GENERAL.—Except
8 as provided in item (bb), a deter-
9 mination by the Secretary that
10 the criteria triggering a presump-
11 tion described in subclause (I)
12 are not met, or that the criterion
13 for rebutting the presumption are
14 met, shall not be taken into con-
15 sideration by the Secretary in de-
16 termining whether a standard is
17 economically justified.

18 “(bb) EXCEPTION.—Evi-
19 dence presented regarding the
20 presumption may be considered
21 by the Secretary in making a de-
22 termination described in item
23 (aa).”; and

24 (2) by adding at the end the following:

1 “(7) INCORPORATION OF SMART GRID TECH-
2 NOLOGIES.—

3 “(A) IN GENERAL.—The Secretary, after
4 consultation with the Director of the National
5 Institute of Standards and Technology, may in-
6 corporate smart grid technologies or capabilities
7 into standards described in subparagraph (B).

8 “(B) STANDARDS.—Standards referred to
9 in subparagraph (A) shall meet the require-
10 ments of this section, including through incor-
11 poration of—

12 “(i) standards that provide credit for
13 smart grid technologies or capabilities, if
14 the smart grid technologies or capabilities
15 provide net benefits substantially equiva-
16 lent to benefits of products that meet the
17 standards without smart grid technologies
18 or capabilities, taking into consideration
19 energy, economic, and environmental im-
20 pacts (including emissions reductions from
21 electrical generation); and

22 “(ii) 1 or more performance standards
23 or design requirements, if the required
24 smart grid technologies or capabilities are
25 technologically feasible and provide net

1 benefits, taking into consideration energy,
2 economic, and environmental impacts (in-
3 cluding emissions reductions from elec-
4 trical generation).”.

5 (c) OBTAINMENT OF APPLIANCE INFORMATION
6 FROM MANUFACTURERS.—Section 326 of the Energy Pol-
7 icy and Conservation Act (42 U.S.C. 6296) is amended
8 by striking subsection (d) and inserting the following:

9 “(d) INFORMATION REQUIREMENTS.—

10 “(1) IN GENERAL.—For purposes of carrying
11 out this part, the Secretary shall promulgate pro-
12 posed regulations not later than 1 year after the
13 date of enactment of the National Energy Efficiency
14 Enhancement Act of 2010, and after receiving public
15 comment, final regulations not later than 18 months
16 after the date of enactment of that Act, under this
17 part or other provision of law administered by the
18 Secretary, that shall require each manufacturer of a
19 covered product, on a product specific basis, to sub-
20 mit information or reports to the Secretary—

21 “(A) in such form as the Secretary may
22 adopt; and

23 “(B)(i) on an annual basis; or

24 “(ii) at longer-than-annual intervals, but
25 not less frequently than once every 3 years.

1 “(2) FORM AND CONTENT OF REPORTS.—The
2 form and content of each report required by a man-
3 ufacturer of a covered product under paragraph
4 (1)—

5 “(A) may vary by product type, as deter-
6 mined by the Secretary; and

7 “(B) shall include information or data re-
8 garding—

9 “(i) the annual shipments by the man-
10 ufacturer of each class or category of cov-
11 ered products, subdivided, to the extent
12 practicable, by—

13 “(I) energy efficiency, energy
14 use, and, in the case of products with
15 water use standards, water use;

16 “(II) the presence or absence of
17 such efficiency related or energy con-
18 suming operational characteristics or
19 components that are or may be re-
20 quired as part of a standard as the
21 Secretary determines to be relevant
22 for the purposes of carrying out this
23 part; and

24 “(III) for covered products for
25 which the Secretary may adopt re-

1 regional standards, shipments to Cali-
2 fornia and regional location of sale;
3 and

4 “(ii) such other categories of informa-
5 tion that the Secretary determines to be
6 relevant to carry out this part, including
7 such other information that may be nec-
8 essary—

9 “(I) to establish and revise—

10 “(aa) test procedures;

11 “(bb) labeling rules; and

12 “(cc) energy conservation
13 standards;

14 “(II) to ensure compliance with
15 the requirements of this part; and

16 “(III) to estimate the impacts on
17 consumers and manufacturers of en-
18 ergy conservation standards in effect
19 as of the reporting date.

20 “(3) REQUIREMENTS OF SECRETARY IN PRO-
21 MULGATING REGULATIONS.—

22 “(A) IN GENERAL.—In promulgating regu-
23 lations under paragraph (1), the Secretary shall
24 consider—

1 “(i) existing public sources of infor-
2 mation, including nationally recognized
3 certification or verification programs of
4 trade associations and States; and

5 “(ii)(I) whether some or all of the in-
6 formation described in paragraph (2) is
7 submitted to another Federal agency; and

8 “(II) the means by which to minimize
9 any duplication of requests for information
10 by Federal agencies.

11 “(B) COORDINATION WITH TRADE ASSO-
12 CIATIONS AND STATES.—In carrying out sub-
13 paragraph (A)(i), the Secretary shall, to the ex-
14 tent practicable, coordinate with trade associa-
15 tions and States—

16 “(i) to ensure the uniformity of the
17 reporting requirements; and

18 “(ii) to mitigate reporting burdens.

19 “(4) MINIMIZATION OF BURDENS ON MANUFAC-
20 TURERS.—In carrying out this subsection, the Sec-
21 retary shall exercise the authority of the Secretary
22 under this subsection in a manner designed to mini-
23 mize burdens on the manufacturers of covered prod-
24 ucts.

25 “(5) REPORTING OF ENERGY INFORMATION.—

1 “(A) IN GENERAL.—Section 11(d) of the
2 Energy Supply and Environmental Coordina-
3 tion Act of 1974 (15 U.S.C. 796(d)) shall apply
4 with respect to information obtained under this
5 subsection to the same extent and in the same
6 manner as section 11(d) of that Act applies
7 with respect to energy information obtained
8 under section 11 of that Act.

9 “(B) DISCLOSURE OF INDUSTRY AGGRE-
10 GATED SHIPMENT DATA.—To protect individual
11 company shipment information from public dis-
12 closure, the Secretary shall, to the maximum
13 extent practicable, disclose to the public the in-
14 formation required under clauses (i) and (ii) of
15 paragraph (2)(B) in a form that has been ag-
16 gregated by industry associations that are au-
17 thorized by manufacturers to report the aggre-
18 gated information for public disclosure on be-
19 half of the manufacturers.

20 “(6) LIMITATIONS.—Nothing in this subsection
21 limits—

22 “(A) the ability of any State to collect in-
23 formation and data from manufacturers, indus-
24 try or trade associations, or other entities, pur-

1 suant to the statutory or regulatory authority
2 of the State;

3 “(B) the application of section 327(a) to
4 any State law (including regulations); or

5 “(C) the authority of the Secretary to re-
6 quire each manufacturer of a covered product
7 to submit information or reports regarding the
8 compliance by the manufacturer with the re-
9 quirements of this part.

10 “(7) PERIODIC REVISIONS.—In accordance with
11 each procedure and criteria required under para-
12 graph (1), the Secretary may periodically revise the
13 reporting requirements adopted under this sub-
14 section.”.

15 (d) WAIVER OF FEDERAL PREEMPTION.—Section
16 327(d)(1) of the Energy Policy and Conservation Act (42
17 U.S.C. 6297(d)(1)) is amended—

18 (1) in subparagraph (B)—

19 (A) by inserting “(i)” before “Subject to
20 paragraphs”; and

21 (B) by adding at the end the following:

22 “(ii) In making a finding under clause (i), the Sec-
23 retary may not reject a petition for failure of the peti-
24 tioning State or river basin commission to produce con-
25 fidential information maintained by any manufacturer or

1 distributor, or group or association of manufacturers or
 2 distributors, that the petitioning party has requested and
 3 not received.”; and

4 (2) in the matter following subparagraph
 5 (C)(ii), by adding at the end the following: “Not-
 6 withstanding the preceding sentence, the Secretary
 7 may approve a waiver petition submitted by a State
 8 that does not have an energy plan and forecast if
 9 the waiver petition concerns a State regulation
 10 adopted pursuant to a notice and comment rule-
 11 making proceeding.”.

12 (e) PERMITTING STATES TO SEEK INJUNCTIVE EN-
 13 FORCEMENT.—Section 334 of the Energy Policy and Con-
 14 servation Act (42 U.S.C. 6304) is amended to read as fol-
 15 lows:

16 **“SEC. 334. PERMITTING STATES TO SEEK INJUNCTIVE EN-
 17 FORCEMENT.**

18 “(a) JURISDICTION.—The United States district
 19 courts shall have original jurisdiction of a civil action seek-
 20 ing an injunction to restrain—

21 “(1) any violation of section 332; and

22 “(2) any person from distributing in commerce
 23 any covered product that does not comply with an
 24 applicable rule under section 324 or 325.

25 “(b) AUTHORITY.—

1 “(1) IN GENERAL.—Except as provided in para-
2 graph (2), an action under subsection (a) shall be
3 brought by—

4 “(A) the Commission; or

5 “(B) the attorney general of a State in the
6 name of the State.

7 “(2) EXCEPTIONS.—

8 “(A) IN GENERAL.—Notwithstanding para-
9 graph (1), only the Secretary may bring an ac-
10 tion under this section to restrain—

11 “(i) a violation of section 332(a)(3)
12 relating to a requirement prescribed by the
13 Secretary; or

14 “(ii) a violation of section 332(a)(4)
15 relating to a request by the Secretary
16 under section 326(b)(2).

17 “(B) OTHER PROHIBITED ACTS.—An ac-
18 tion under this section regarding a violation of
19 paragraph (5) or (7) of section 332(a) shall be
20 brought by—

21 “(i) the Secretary; or

22 “(ii) the attorney general of a State in
23 the name of the State.

24 “(c) LIMITATION.—If an action under this section is
25 brought by the attorney general of a State—

1 “(1) not less than 30 days before the date of
2 commencement of the action, the State shall—

3 “(A) provide written notice to the Sec-
4 retary and the Commission; and

5 “(B) provide the Secretary and the Com-
6 mission with a copy of the complaint;

7 “(2) the Secretary and the Commission—

8 “(A) may intervene in the suit or action;

9 “(B) upon intervening, shall be heard on
10 all matters arising from the suit or action; and

11 “(C) may file petitions for appeal;

12 “(3) no separate action may be brought under
13 this section if, at the time written notice is provided
14 under paragraph (1), the same alleged violation or
15 failure to comply is the subject of a pending action,
16 or a final judicial judgment or decree, by the United
17 States under this Act; and

18 “(4) the action shall not be construed—

19 “(A) as to prevent the attorney general of
20 a State, or other authorized officer of the State,
21 from exercising the powers conferred on the at-
22 torney general, or other authorized officer of
23 the State, by the laws of the State (including
24 regulations); or

1 “(B) as to prohibit the attorney general of
2 a State, or other authorized officer of the State,
3 from proceeding in a Federal or State court on
4 the basis of an alleged violation of any civil or
5 criminal statute of the State.

6 “(d) VENUE; SERVICE OF PROCESS.—

7 “(1) VENUE.—An action under this section
8 may be brought in the United States district court
9 for—

10 “(A) the district in which the act, omis-
11 sion, or transaction constituting the applicable
12 violation occurred; or

13 “(B) the district in which the defendant—

14 “(i) resides; or

15 “(ii) transacts business.

16 “(2) SERVICE OF PROCESS.—In an action
17 under this section, process may be served on a de-
18 fendant in any district in which the defendant re-
19 sides or is otherwise located.”.

20 (f) TREATMENT OF APPLIANCES WITHIN BUILDING
21 CODES.—Section 327 of the Energy Policy and Conserva-
22 tion Act (42 U.S.C. 6297) is amended by adding at the
23 end the following:

24 “(h) RECOGNITION OF ALTERNATIVE REFRIGERANT
25 USES.—With respect to State or local laws (including reg-

1 ulations) prohibiting, limiting, or restricting the use of al-
2 ternative refrigerants for specific end uses approved by the
3 Administrator of the Environmental Protection Agency
4 pursuant to the Significant New Alternatives Program
5 under section 612 of the Clean Air Act (42 U.S.C. 7671k)
6 for use in a covered product under section 322(a)(1) con-
7 sidered on or after the date of enactment of this sub-
8 section, notice shall be provided to the Administrator be-
9 fore or during any State or local public comment period
10 to provide to the Administrator an opportunity to com-
11 ment.”.

12 **SEC. 240A. TECHNICAL CORRECTIONS.**

13 (a) TITLE III OF ENERGY INDEPENDENCE AND SE-
14 CURITY ACT OF 2007—ENERGY SAVINGS THROUGH IM-
15 PROVED STANDARDS FOR APPLIANCES AND LIGHTING.—

16 (1) Section 325(u) of the Energy Policy and
17 Conservation Act (42 U.S.C. 6295(u)) (as amended
18 by section 301(c) of the Energy Independence and
19 Security Act of 2007 (121 Stat. 1550)) is amend-
20 ed—

21 (A) by redesignating paragraph (7) as
22 paragraph (4); and

23 (B) in paragraph (4) (as so redesignated),
24 by striking “supplies is” and inserting “supply
25 is”.

1 (2) Section 302 of the Energy Independence
2 and Security Act of 2007 (121 Stat. 1551)) is
3 amended—

4 (A) in subsection (a), by striking “end of
5 the paragraph” and inserting “end of subpara-
6 graph (A)”; and

7 (B) in subsection (b), by striking
8 “6313(a)” and inserting “6314(a)”.

9 (3) Section 342(a)(6) of the Energy Policy and
10 Conservation Act (42 U.S.C. 6313(a)(6)) (as amend-
11 ed by section 305(b)(2) of the Energy Independence
12 and Security Act of 2007 (121 Stat. 1554)) is
13 amended—

14 (A) in subparagraph (B)—

15 (i) by striking “If the Secretary” and
16 inserting the following:

17 “(i) IN GENERAL.—If the Secretary”;

18 (ii) by striking “clause (ii)(II)” and
19 inserting “subparagraph (A)(ii)(II)”;

20 (iii) by striking “clause (i)” and in-
21 sserting “subparagraph (A)(i)”;

22 (iv) by adding at the end the fol-
23 lowing:

24 “(ii) FACTORS.—In determining
25 whether a standard is economically justi-

1 fied for the purposes of subparagraph
2 (A)(ii)(II), the Secretary shall, after receiv-
3 ing views and comments furnished with re-
4 spect to the proposed standard, determine
5 whether the benefits of the standard ex-
6 ceed the burden of the proposed standard
7 by, to the maximum extent practicable,
8 considering—

9 “(I) the economic impact of the
10 standard on the manufacturers and
11 on the consumers of the products sub-
12 ject to the standard;

13 “(II) the savings in operating
14 costs throughout the estimated aver-
15 age life of the product in the type (or
16 class) compared to any increase in the
17 price of, or in the initial charges for,
18 or maintenance expenses of, the prod-
19 ucts that are likely to result from the
20 imposition of the standard;

21 “(III) the total projected quan-
22 tity of energy savings likely to result
23 directly from the imposition of the
24 standard;

1 “(IV) any lessening of the utility
2 or the performance of the products
3 likely to result from the imposition of
4 the standard;

5 “(V) the impact of any lessening
6 of competition, as determined in writ-
7 ing by the Attorney General, that is
8 likely to result from the imposition of
9 the standard;

10 “(VI) the need for national en-
11 ergy conservation; and

12 “(VII) other factors the Sec-
13 retary considers relevant.

14 “(iii) ADMINISTRATION.—

15 “(I) ENERGY USE AND EFFI-
16 CIENCY.—The Secretary may not pre-
17 scribe any amended standard under
18 this paragraph that increases the
19 maximum allowable energy use, or de-
20 creases the minimum required energy
21 efficiency, of a covered product.

22 “(II) UNAVAILABILITY.—

23 “(aa) IN GENERAL.—The
24 Secretary may not prescribe an
25 amended standard under this

1 subparagraph if the Secretary
2 finds (and publishes the finding)
3 that interested persons have es-
4 tablished by a preponderance of
5 the evidence that a standard is
6 likely to result in the unavail-
7 ability in the United States in
8 any product type (or class) of
9 performance characteristics (in-
10 cluding reliability, features, sizes,
11 capacities, and volumes) that are
12 substantially the same as those
13 generally available in the United
14 States at the time of the finding
15 of the Secretary.

16 “(bb) OTHER TYPES OR
17 CLASSES.—The failure of some
18 types (or classes) to meet the cri-
19 terion established under this sub-
20 clause shall not affect the deter-
21 mination of the Secretary on
22 whether to prescribe a standard
23 for the other types or classes.”;
24 and

1 (B) in subparagraph (C)(iv), by striking
2 “An amendment prescribed under this sub-
3 section” and inserting “Notwithstanding sub-
4 paragraph (D), an amendment prescribed under
5 this subparagraph”.

6 (4) Section 342(a)(6)(B)(iii) of the Energy Pol-
7 icy and Conservation Act (as added by section
8 306(c) of the Energy Independence and Security Act
9 of 2007 (121 Stat. 1559)) is transferred and reded-
10 igned as clause (vi) of section 342(a)(6)(C) of the
11 Energy Policy and Conservation Act (as amended by
12 section 305(b)(2) of the Energy Independence and
13 Security Act of 2007 (121 Stat. 1554)).

14 (5) Section 345 of the Energy Policy and Con-
15 servation Act (42 U.S.C. 6316) (as amended by sec-
16 tion 312(e) of the Energy Independence and Secu-
17 rity Act of 2007 (121 Stat. 1567)) is amended—

18 (A) by striking “subparagraphs (B)
19 through (G)” each place it appears and insert-
20 ing “subparagraphs (B), (C), (D), (I), (J), and
21 (K)”;

22 (B) by striking “part A” each place it ap-
23 pears and inserting “part B”; and

1 (C) in subsection (h)(3), by striking “sec-
2 tion 342(f)(3)” and inserting “section
3 342(f)(4)”.

4 (6) Section 340(13) of the Energy Policy and
5 Conservation Act (42 U.S.C. 6311(13)) (as amended
6 by section 313(a) of the Energy Independence and
7 Security Act of 2007 (121 Stat. 1568)) is amend-
8 ed—

9 (A) by striking subparagraphs (A) and (B)
10 and inserting the following:

11 “(A) IN GENERAL.—The term ‘electric
12 motor’ means any of the following:

13 “(i) A motor that is a general purpose
14 T-frame, single-speed, foot-mounting, poly-
15 phase squirrel-cage induction motor of the
16 National Electrical Manufacturers Associa-
17 tion, Design A and B, continuous rated,
18 operating on 230/460 volts and constant
19 60 Hertz line power as defined in NEMA
20 Standards Publication MG1–1987.

21 “(ii) A motor incorporating the design
22 elements described in clause (i), but is con-
23 figured to incorporate 1 or more of the fol-
24 lowing variations:

25 “(I) U-frame motor.

- 1 “(II) NEMA Design C motor.
- 2 “(III) Close-coupled pump motor.
- 3 “(IV) Footless motor.
- 4 “(V) Vertical solid shaft normal
- 5 thrust motor (as tested in a horizontal
- 6 configuration).
- 7 “(VI) 8-pole motor.
- 8 “(VII) Poly-phase motor with a
- 9 voltage rating of not more than 600
- 10 volts (other than 230 volts or 460
- 11 volts, or both, or can be operated on
- 12 230 volts or 460 volts, or both).”; and

13 (B) by redesignating subparagraphs (C)

14 through (I) as subparagraphs (B) through (H),

15 respectively.

16 (7)(A) Section 342(b) of the Energy Policy and

17 Conservation Act (42 U.S.C. 6313(b)) is amended—

18 (i) in paragraph (1), by striking “para-

19 graph (2)” and inserting “paragraph (3)”;

20 (ii) by redesignating paragraphs (2) and

21 (3) as paragraphs (3) and (4);

22 (iii) by inserting after paragraph (1) the

23 following:

24 “(2) STANDARDS EFFECTIVE BEGINNING DE-

25 CEMBER 19, 2010.—

1 “(A) IN GENERAL.—Except for definite
2 purpose motors, special purpose motors, and
3 those motors exempted by the Secretary under
4 paragraph (3) and except as provided for in
5 subparagraphs (B), (C), and (D), each electric
6 motor manufactured with power ratings from 1
7 to 200 horsepower (alone or as a component of
8 another piece of equipment) on or after Decem-
9 ber 19, 2010, shall have a nominal full load ef-
10 ficiency of not less than the nominal full load
11 efficiency described in NEMA MG–1 (2006)
12 Table 12–12.

13 “(B) FIRE PUMP ELECTRIC MOTORS.—Ex-
14 cept for those motors exempted by the Sec-
15 retary under paragraph (3), each fire pump
16 electric motor manufactured with power ratings
17 from 1 to 200 horsepower (alone or as a compo-
18 nent of another piece of equipment) on or after
19 December 19, 2010, shall have a nominal full
20 load efficiency that is not less than the nominal
21 full load efficiency described in NEMA MG–1
22 (2006) Table 12–11.

23 “(C) NEMA DESIGN B ELECTRIC MO-
24 TORS.—Except for those motors exempted by
25 the Secretary under paragraph (3), each

1 NEMA Design B electric motor with power rat-
2 ings of more than 200 horsepower, but not
3 greater than 500 horsepower, manufactured
4 (alone or as a component of another piece of
5 equipment) on or after December 19, 2010,
6 shall have a nominal full load efficiency of not
7 less than the nominal full load efficiency de-
8 scribed in NEMA MG-1 (2006) Table 12-11.

9 “(D) MOTORS INCORPORATING CERTAIN
10 DESIGN ELEMENTS.—Except for those motors
11 exempted by the Secretary under paragraph
12 (3), each electric motor described in section
13 340(13)(A)(ii) manufactured with power rat-
14 ings from 1 to 200 horsepower (alone or as a
15 component of another piece of equipment) on or
16 after December 19, 2010, shall have a nominal
17 full load efficiency of not less than the nominal
18 full load efficiency described in NEMA MG-1
19 (2006) Table 12-11.”; and

20 (iv) in paragraph (3) (as redesignated by
21 clause (ii)), by striking “paragraph (1)” each
22 place it appears in subparagraphs (A) and (D)
23 and inserting “paragraphs (1) and (2)”.

1 (B) Section 313 of the Energy Independence
2 and Security Act of 2007 (121 Stat. 1568) is re-
3 pealed.

4 (C) The amendments made by—

5 (i) subparagraph (A) take effect on De-
6 cember 19, 2010; and

7 (ii) subparagraph (B) take effect on De-
8 cember 19, 2007.

9 (8) Section 321(30)(D)(i)(III) of the Energy
10 Policy and Conservation Act (42 U.S.C.
11 6291(30)(D)(i)(III)) (as amended by section
12 321(a)(1)(A) of the Energy Independence and Secu-
13 rity Act of 2007 (121 Stat. 1574)) is amended by
14 inserting before the semicolon the following: “or, in
15 the case of a modified spectrum lamp, not less than
16 232 lumens and not more than 1,950 lumens”.

17 (9) Section 321(30)(T) of the Energy Policy
18 and Conservation Act (42 U.S.C. 6291(30)(T) (as
19 amended by section 321(a)(1)(B) of the Energy
20 Independence and Security Act of 2007 (121 Stat.
21 1574)) is amended—

22 (A) in clause (i)—

23 (i) by striking the comma after
24 “household appliance” and inserting
25 “and”; and

1 (ii) by striking “and is sold at retail,”;

2 and

3 (B) in clause (ii), by inserting “when sold
4 at retail,” before “is designated”.

5 (10) Section 325(i) of the Energy Policy and
6 Conservation Act (42 U.S.C. 6295(i)) (as amended
7 by sections 321(a)(3)(A) and 322(b) of the Energy
8 Independence and Security Act of 2007 (121 Stat.
9 1577, 1588)) is amended by striking the subsection
10 designation and all that follows through the end of
11 paragraph (8) and inserting the following:

12 “(i) GENERAL SERVICE FLUORESCENT LAMPS, GEN-
13 ERAL SERVICE INCANDESCENT LAMPS, INTERMEDIATE
14 BASE INCANDESCENT LAMPS, CANDELABRA BASE INCAN-
15 DESCENT LAMPS, AND INCANDESCENT REFLECTOR
16 LAMPS.—

17 “(1) ENERGY EFFICIENCY STANDARDS.—

18 “(A) IN GENERAL.—Each of the following
19 general service fluorescent lamps, general serv-
20 ice incandescent lamps, intermediate base in-
21 candescent lamps, candelabra base incandescent
22 lamps, and incandescent reflector lamps manu-
23 factured after the effective date specified in the
24 tables listed in this subparagraph shall meet or

1 exceed the standards established in the fol-
 2 lowing tables:

“FLUORESCENT LAMPS

Lamp Type	Nominal Lamp Wattage	Minimum CRI	Minimum Average Lamp Efficacy (LPW)	Effective Date (Period of Months)
4-foot medium bi-pin	>35 W	69	75.0	36
.....	≤35 W	45	75.0	36
2-foot U-shaped	>35 W	69	68.0	36
.....	≤35 W	45	64.0	36
8-foot slimline	>65 W	69	80.0	18
.....	≤65 W	45	80.0	18
8-foot high output	>100 W	69	80.0	18
.....	≤100 W	45	80.0	18

“INCANDESCENT REFLECTOR LAMPS

Nominal Lamp Wattage	Minimum Average Lamp Efficacy (LPW)	Effective Date (Period of Months)
40–50	10.5	36
51–66	11.0	36
67–85	12.5	36
86–115	14.0	36
116–155	14.5	36
156–205	15.0	36

“GENERAL SERVICE INCANDESCENT LAMPS

Rated Lumen Ranges	Maximum Rated Wattage	Minimum Rated Life-time	Effective Date
1490–2600	72	1,000 hrs	1/1/2012
1050–1489	53	1,000 hrs	1/1/2013
750–1049	43	1,000 hrs	1/1/2014
310–749	29	1,000 hrs	1/1/2014

“MODIFIED SPECTRUM GENERAL SERVICE INCANDESCENT LAMPS

Rated Lumen Ranges	Maximum Rated Wattage	Minimum Rated Life-time	Effective Date
1118–1950	72	1,000 hrs	1/1/2012
788–1117	53	1,000 hrs	1/1/2013
563–787	43	1,000 hrs	1/1/2014
232–562	29	1,000 hrs	1/1/2014

3 “(B) APPLICATION.—

1 “(i) APPLICATION CRITERIA.—This
2 subparagraph applies to each lamp that—

3 “(I) is intended for a general
4 service or general illumination applica-
5 tion (whether incandescent or not);

6 “(II) has a medium screw base
7 or any other screw base not defined in
8 ANSI C81.61–2006;

9 “(III) is capable of being oper-
10 ated at a voltage at least partially
11 within the range of 110 to 130 volts;
12 and

13 “(IV) is manufactured or im-
14 ported after December 31, 2011.

15 “(ii) REQUIREMENT.—For purposes
16 of this paragraph, each lamp described in
17 clause (i) shall have a color rendering
18 index that is greater than or equal to—

19 “(I) 80 for nonmodified spectrum
20 lamps; or

21 “(II) 75 for modified spectrum
22 lamps.

23 “(C) CANDELABRA INCANDESCENT LAMPS
24 AND INTERMEDIATE BASE INCANDESCENT
25 LAMPS.—

1 “(i) CANDELABRA BASE INCANDES-
2 CENT LAMPS.—Effective beginning Janu-
3 ary 1, 2012, a candelabra base incandes-
4 cent lamp shall not exceed 60 rated watts.

5 “(ii) INTERMEDIATE BASE INCANDES-
6 CENT LAMPS.—Effective beginning Janu-
7 ary 1, 2012, an intermediate base incan-
8 descent lamp shall not exceed 40 rated
9 watts.

10 “(D) EXEMPTIONS.—

11 “(i) STATUTORY EXEMPTIONS.—The
12 standards specified in subparagraph (A)
13 shall not apply to the following types of in-
14 candescent reflector lamps:

15 “(I) Lamps rated at 50 watts or
16 less that are ER30, BR30, BR40, or
17 ER40 lamps.

18 “(II) Lamps rated at 65 watts
19 that are BR30, BR40, or ER40
20 lamps.

21 “(III) R20 incandescent reflector
22 lamps rated 45 watts or less.

23 “(ii) ADMINISTRATIVE EXEMP-
24 TIONS.—

1 “(I) PETITION.—Any person may
2 petition the Secretary for an exemp-
3 tion for a type of general service lamp
4 from the requirements of this sub-
5 section.

6 “(II) CRITERIA.—The Secretary
7 may grant an exemption under sub-
8 clause (I) only to the extent that the
9 Secretary finds, after a hearing and
10 opportunity for public comment, that
11 it is not technically feasible to serve a
12 specialized lighting application (such
13 as a military, medical, public safety,
14 or certified historic lighting applica-
15 tion) using a lamp that meets the re-
16 quirements of this subsection.

17 “(III) ADDITIONAL CRITERION.—
18 To grant an exemption for a product
19 under this clause , the Secretary shall
20 include, as an additional criterion,
21 that the exempted product is unlikely
22 to be used in a general service lighting
23 application.

24 “(E) EXTENSION OF COVERAGE.—

1 “(i) PETITION.—Any person may peti-
2 tion the Secretary to establish standards
3 for lamp shapes or bases that are excluded
4 from the definition of general service
5 lamps.

6 “(ii) INCREASED SALES OF EXEMPT-
7 ED LAMPS.—The petition shall include evi-
8 dence that the availability or sales of ex-
9 empted incandescent lamps have increased
10 significantly since the date on which the
11 standards on general service incandescent
12 lamps were established.

13 “(iii) CRITERIA.—The Secretary shall
14 grant a petition under clause (i) if the Sec-
15 retary finds that—

16 “(I) the petition presents evi-
17 dence that demonstrates that commer-
18 cial availability or sales of exempted
19 incandescent lamp types have in-
20 creased significantly since the stand-
21 ards on general service lamps were es-
22 tablished and likely are being widely
23 used in general lighting applications;
24 and

1 “(II) significant energy savings
2 could be achieved by covering exempt-
3 ed products, as determined by the
4 Secretary based in part on sales data
5 provided to the Secretary from manu-
6 facturers and importers.

7 “(iv) NO PRESUMPTION.—The grant
8 of a petition under this subparagraph shall
9 create no presumption with respect to the
10 determination of the Secretary with respect
11 to any criteria under a rulemaking con-
12 ducted under this section.

13 “(v) EXPEDITED PROCEEDING.—If
14 the Secretary grants a petition for a lamp
15 shape or base under this subparagraph,
16 the Secretary shall—

17 “(I) conduct a rulemaking to de-
18 termine standards for the exempted
19 lamp shape or base; and

20 “(II) complete the rulemaking
21 not later than 18 months after the
22 date on which notice is provided
23 granting the petition.

24 “(F) EFFECTIVE DATES.—

1 “(i) IN GENERAL.—In this paragraph,
2 except as otherwise provided in a table
3 contained in subparagraph (A) or in clause
4 (ii), the term ‘effective date’ means the last
5 day of the month specified in the table
6 that follows October 24, 1992.

7 “(ii) SPECIAL EFFECTIVE DATES.—

8 “(I) ER, BR, AND BPAR
9 LAMPS.—The standards specified in
10 subparagraph (A) shall apply with re-
11 spect to ER incandescent reflector
12 lamps, BR incandescent reflector
13 lamps, BPAR incandescent reflector
14 lamps, and similar bulb shapes on and
15 after January 1, 2008, or the date
16 that is 180 days after the date of en-
17 actment of the Energy Independence
18 and Security Act of 2007.

19 “(II) LAMPS BETWEEN 2.25–2.75
20 INCHES IN DIAMETER.—The stand-
21 ards specified in subparagraph (A)
22 shall apply with respect to incandes-
23 cent reflector lamps with a diameter
24 of more than 2.25 inches, but not
25 more than 2.75 inches, on and after

1 the later of January 1, 2008, or the
2 date that is 180 days after the date of
3 enactment of the Energy Independ-
4 ence and Security Act of 2007.

5 “(2) COMPLIANCE WITH EXISTING LAW.—Not-
6 withstanding section 332(a)(5) and section 332(b),
7 it shall not be unlawful for a manufacturer to sell
8 a lamp that is in compliance with the law at the
9 time the lamp was manufactured.

10 “(3) RULEMAKING BEFORE OCTOBER 24,
11 1995.—

12 “(A) IN GENERAL.—Not later than 36
13 months after October 24, 1992, the Secretary
14 shall initiate a rulemaking procedure and shall
15 publish a final rule not later than the end of
16 the 54-month period beginning on October 24,
17 1992, to determine whether the standards es-
18 tablished under paragraph (1) should be
19 amended.

20 “(B) ADMINISTRATION.—The rule shall
21 contain the amendment, if any, and provide
22 that the amendment shall apply to products
23 manufactured on or after the 36-month period
24 beginning on the date on which the final rule is
25 published.

1 lamps should be amended so that the
2 standards would be applicable to additional
3 general service fluorescent lamps; and

4 “(ii) publish, not later than 18
5 months after initiating the rulemaking, a
6 final rule including the amended stand-
7 ards, if any.

8 “(B) ADMINISTRATION.—The rule shall
9 provide that the amendment shall apply to
10 products manufactured after a date which is 36
11 months after the date on which the rule is pub-
12 lished.

13 “(6) STANDARDS FOR GENERAL SERVICE
14 LAMPS.—

15 “(A) RULEMAKING BEFORE JANUARY 1,
16 2014.—

17 “(i) IN GENERAL.—Not later than
18 January 1, 2014, the Secretary shall ini-
19 tiate a rulemaking procedure to determine
20 whether—

21 “(I) standards in effect for gen-
22 eral service lamps should be amended;
23 and

24 “(II) the exclusions for certain
25 incandescent lamps should be main-

1 tained or discontinued based, in part,
2 on excluded lamp sales collected by
3 the Secretary from manufacturers.

4 “(ii) SCOPE.—The rulemaking—

5 “ (I) shall not be limited to incan-
6 descent lamp technologies; and

7 “ (II) shall include consideration
8 of a minimum standard of 45 lumens
9 per watt for general service lamps.

10 “(iii) AMENDED STANDARDS.—If the
11 Secretary determines that the standards in
12 effect for general service lamps should be
13 amended, the Secretary shall publish a
14 final rule not later than January 1, 2017,
15 with an effective date that is not earlier
16 than 3 years after the date on which the
17 final rule is published.

18 “(iv) PHASED-IN EFFECTIVE
19 DATES.—The Secretary shall consider
20 phased-in effective dates under this sub-
21 paragraph after considering—

22 “ (I) the impact of any amend-
23 ment on manufacturers, retiring and
24 repurposing existing equipment,

1 stranded investments, labor contracts,
2 workers, and raw materials; and

3 “(II) the time needed to work
4 with retailers and lighting designers
5 to revise sales and marketing strate-
6 gies.

7 “(v) BACKSTOP REQUIREMENT.—If
8 the Secretary fails to complete a rule-
9 making in accordance with clauses (i)
10 through (iv) or if the final rule does not
11 produce savings that are greater than or
12 equal to the savings from a minimum effi-
13 cacy standard of 45 lumens per watt, effec-
14 tive beginning January 1, 2020, the Sec-
15 retary shall prohibit the manufacture of
16 any general service lamp that does not
17 meet a minimum efficacy standard of 45
18 lumens per watt.

19 “(vi) STATE PREEMPTION.—Neither
20 section 327 nor any other provision of law
21 shall preclude California or Nevada from
22 adopting, effective beginning on or after
23 January 1, 2018—

1 “(I) a final rule adopted by the
2 Secretary in accordance with clauses
3 (i) through (iv);

4 “(II) if a final rule described in
5 subclause (I) has not been adopted,
6 the backstop requirement under
7 clause (v); or

8 “(III) in the case of California, if
9 a final rule described in subclause (I)
10 has not been adopted, any California
11 regulations relating to these covered
12 products adopted pursuant to State
13 statute in effect as of the date of en-
14 actment of the Energy Independence
15 and Security Act of 2007.

16 “(B) RULEMAKING BEFORE JANUARY 1,
17 2020.—

18 “(i) IN GENERAL.—Not later than
19 January 1, 2020, the Secretary shall ini-
20 tiate a rulemaking procedure to determine
21 whether—

22 “(I) standards in effect for gen-
23 eral service lamps should be amended;
24 and

1 “(II) the exclusions for certain
2 incandescent lamps should be main-
3 tained or discontinued based, in part,
4 on excluded lamp sales data collected
5 by the Secretary from manufacturers.

6 “(ii) SCOPE.—The rulemaking shall
7 not be limited to incandescent lamp tech-
8 nologies.

9 “(iii) AMENDED STANDARDS.—If the
10 Secretary determines that the standards in
11 effect for general service lamps should be
12 amended, the Secretary shall publish a
13 final rule not later than January 1, 2022,
14 with an effective date that is not earlier
15 than 3 years after the date on which the
16 final rule is published.

17 “(iv) PHASED-IN EFFECTIVE
18 DATES.—The Secretary shall consider
19 phased-in effective dates under this sub-
20 paragraph after considering—

21 “(I) the impact of any amend-
22 ment on manufacturers, retiring and
23 repurposing existing equipment,
24 stranded investments, labor contracts,
25 workers, and raw materials; and

1 “(II) the time needed to work
2 with retailers and lighting designers
3 to revise sales and marketing strate-
4 gies.

5 “(7) FEDERAL ACTIONS.—

6 “(A) COMMENTS OF SECRETARY.—

7 “(i) IN GENERAL.—With respect to
8 any lamp to which standards are applicable
9 under this subsection or any lamp specified
10 in section 346, the Secretary shall inform
11 any Federal entity proposing actions that
12 would adversely impact the energy con-
13 sumption or energy efficiency of the lamp
14 of the energy conservation consequences of
15 the action.

16 “(ii) CONSIDERATION.—The Federal
17 entity shall carefully consider the com-
18 ments of the Secretary.

19 “(B) AMENDMENT OF STANDARDS.—Not-
20 withstanding section 325(n)(1), the Secretary
21 shall not be prohibited from amending any
22 standard, by rule, to permit increased energy
23 use or to decrease the minimum required en-
24 ergy efficiency of any lamp to which standards
25 are applicable under this subsection if the ac-

1 tion is warranted as a result of other Federal
2 action (including restrictions on materials or
3 processes) that would have the effect of either
4 increasing the energy use or decreasing the en-
5 ergy efficiency of the product.

6 “(8) COMPLIANCE.—

7 “(A) IN GENERAL.—Not later than the
8 date on which standards established pursuant
9 to this subsection become effective, or, with re-
10 spect to high-intensity discharge lamps covered
11 under section 346, the effective date of stand-
12 ards established pursuant to that section, each
13 manufacturer of a product to which the stand-
14 ards are applicable shall file with the Secretary
15 a laboratory report certifying compliance with
16 the applicable standard for each lamp type.

17 “(B) CONTENTS.—The report shall include
18 the lumen output and wattage consumption for
19 each lamp type as an average of measurements
20 taken over the preceding 12-month period.

21 “(C) OTHER LAMP TYPES.—With respect
22 to lamp types that are not manufactured during
23 the 12-month period preceding the date on
24 which the standards become effective, the re-
25 port shall—

1 “(i) be filed with the Secretary not
2 later than the date that is 12 months after
3 the date on which manufacturing is com-
4 menced; and

5 “(ii) include the lumen output and
6 wattage consumption for each such lamp
7 type as an average of measurements taken
8 during the 12-month period.”.

9 (11) Section 325(l)(4)(A) of the Energy Policy
10 and Conservation Act (42 U.S.C. 6295(l)(4)(A)) (as
11 amended by section 321(a)(3)(B) of the Energy
12 Independence and Security Act of 2007 (121 Stat.
13 1581)) is amended by striking “only”.

14 (12) Section 327(b)(1)(B) of the Energy Policy
15 and Conservation Act (42 U.S.C. 6297(b)(1)(B)) (as
16 amended by section 321(d)(3) of the Energy Inde-
17 pendence and Security Act of 2007 (121 Stat. 1585)
18 and section 240(d)) is amended—

19 (A) in clause (i), by inserting “and” after
20 the semicolon at the end;

21 (B) in clause (ii), by striking “; and” and
22 inserting a period; and

23 (C) by striking clause (iii).

1 (13) Section 321(e) of the Energy Independ-
2 ence and Security Act of 2007 (121 Stat. 1586) is
3 amended—

4 (A) in the matter preceding paragraph (1),
5 by striking “is amended” and inserting “(as
6 amended by section 306(b)) is amended”; and

7 (B) by striking paragraphs (1) and (2) and
8 inserting the following:

9 “(1) in paragraph (5), by striking ‘or’ after the
10 semicolon at the end;

11 “(2) in paragraph (6), by striking the period at
12 the end and inserting ‘; or’; and”.

13 (14) Section 321(30)(C)(ii) of the Energy Pol-
14 icy and Conservation Act (42 U.S.C.
15 6291(30)(C)(ii)) (as amended by section
16 322(a)(1)(B) of the Energy Independence and Secu-
17 rity Act of 2007 (121 Stat. 1587)) is amended by
18 inserting a period after “40 watts or higher”.

19 (15) Section 322(b) of the Energy Independ-
20 ence and Security Act of 2007 (121 Stat. 1588)) is
21 amended by striking “6995(i)” and inserting
22 “6295(i)”.

23 (16) Section 327(c) of the Energy Policy and
24 Conservation Act (42 U.S.C. 6297(c)) (as amended
25 by sections 324(f) of the Energy Independence and

1 Security Act of 2007 (121 Stat. 1594) and section
2 235(e)(2)) is amended—

3 (A) in paragraph (6), by striking “or”
4 after the semicolon at the end;

5 (B) in paragraph (9)(B), by striking “or”
6 at the end;

7 (C) in paragraph (10), by striking the pe-
8 riod at the end and inserting a semicolon;

9 (D) by adding at the end the following:

10 “(11) is a regulation for general service lamps
11 that conforms with Federal standards and effective
12 dates; or

13 “(12) is an energy efficiency standard for gen-
14 eral service lamps enacted into law by the State of
15 Nevada prior to December 19, 2007, if the State has
16 not adopted the Federal standards and effective
17 dates pursuant to subsection (b)(1)(B)(ii).”.

18 (17) Section 325(b) of the Energy Independ-
19 ence and Security Act of 2007 (121 Stat. 1596)) is
20 amended by striking “6924(c)” and inserting
21 “6294(c)”.

22 (18) This subsection and the amendments made
23 by this subsection take effect as if included in the
24 Energy Independence and Security Act of 2007
25 (Public Law 110–140; 121 Stat. 1492).

1 (b) ENERGY POLICY ACT OF 2005.—

2 (1) Section 325(g)(8)(C)(ii) of the Energy Pol-
3 icy and Conservation Act (42 U.S.C.
4 6295(g)(8)(C)(ii)) (as added by section 135(c)(2)(B)
5 of the Energy Policy Act of 2005) is amended by
6 striking “20°F” and inserting “–20°F”.

7 (2) This subsection and the amendment made
8 by this subsection take effect as if included in the
9 Energy Policy Act of 2005 (Public Law 109–58; 119
10 Stat. 594).

11 (c) ENERGY POLICY AND CONSERVATION ACT.—Sec-
12 tion 343(a) of the Energy Policy and Conservation Act
13 (42 U.S.C. 6314(a)) is amended by striking “Air-Cond-
14 itioning and Refrigeration Institute” each place it appears
15 in paragraphs (4)(A) and (7) and inserting “Air-Cond-
16 itioning, Heating, and Refrigeration Institute”.