## RATING THE ENVIRONMENTAL IMPACTS OF MOTOR VEHICLES: ACEEE'S GREEN BOOK<sup>®</sup> METHODOLOGY, 2002 EDITION

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©American Council for an Energy-Efficient Economy 1001 Connecticut Avenue, NW, Suite 801, Washington, D.C. 20036 202-429-8873 phone, 202-429-2248 fax, www.aceee.org website DeCicco, John, and James Kliesch **Rating the Environmental Impacts of Motor Vehicles:**  *ACEEE's Green Book*<sup>®</sup> Methodology, 2002 Edition Washington, D.C.: American Council for an Energy-Efficient Economy, May 2002 Transportation Efficiency Program, ACEEE Report T023

ACEEE's Green Book<sup>®</sup>: The Environmental Guide to Cars and Trucks is a consumer-oriented publication that provides comprehensive environmental ratings for cars, vans, pickups, and sport utility vehicles, enabling consumers to comparison shop with the environment in mind. Each model is given a Green Score that accounts for both health-damaging air pollutants and climate-threatening greenhouse gas emissions. This technical report documents the methodology used to develop the ratings published in ACEEE's Green Book<sup>®</sup>: The Environmental Guide to Cars and Trucks—Model Year 2002.

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#### ABSTRACT

Consumer education and other market-oriented approaches to improving the environmental performance of automobiles require information that is easy to understand and readily accessible. Such information can influence both buyer decisions and manufacturers' technology and product planning activities. To provide such information, ACEEE publishes *ACEEE's Green Book®: The Environmental Guide to Cars and Trucks*, an annual consumer-oriented book providing environmental rating information for every new model in the U.S. light-duty vehicle market.

The environmental rating methodology for ACEEE's Green  $Book^{\textcircled{B}}$  is based on principles of life cycle assessment and environmental economics. The method is designed to be applicable given the limitations of data available by make and model in the U.S. market. The approach combines the impacts of traditionally regulated (criteria) pollutants with those of greenhouse gas emissions, covering both the vehicle life cycle and the fuel cycle, using a mass-based characterization of vehicle manufacturing impacts. This report covers the data issues, key assumptions, and analysis methods used to develop ACEEE's vehicle ratings. It summarizes the application of the methodology to the 2002 model year (MY), highlighting results for major classes and technology types, and identifies research needs for updating and refining the methodology. Appendices detail the parameters used to evaluate vehicles and document updates to the methodology since its original release in 1998.

#### **INTRODUCTION**

Public information and consumer education are important components of an overall strategy to address the environmental impacts of motor vehicles. Accessible information that rates car and light truck environmental performance can enable consumers to account for the environment in their purchasing decisions, help guide fleet programs and other market-creation initiatives, and assist automakers' efforts to market "greener" products.

To address these informational needs, since 1998 ACEEE has published an annual, consumer-oriented book, now titled ACEEE's Green  $Book^{\circledast}$ : The Environmental Guide to Cars and Trucks, providing model-specific environmental information for the U.S. automotive market. This report covers the data issues, key assumptions, and analysis methods used to develop the ratings used in ACEEE's Green  $Book^{\circledast}$ : The Environmental Guide to Cars and Trucks—Model Year 2002 (DeCicco and Kliesch 2002). It summarizes the application of the methodology to the 2002 model year, highlights results for major classes and technology types, and identifies research needs for updating and refining the methodology. For background on the original development of this rating system and its policy context, see DeCicco and Thomas (1999b).

#### **RATING DESIGN CONSIDERATIONS**

The production, use, and disposal of an automobile affect the environment in numerous ways. Impacts start with the extraction of raw materials that go into a vehicle and continue throughout materials conversion and fabrication processes, which involve many different industries. While a vehicle is in use, fuel consumption, driving, storage, and maintenance create air, water, and noise pollution as well as greenhouse gas (GHG) emissions. Disposal of worn parts (tires, batteries, motor oil, etc.) occurs throughout a vehicle's life. Finally the vehicle itself is discarded. Steel and other components can be, and increasingly are, reclaimed and recycled, but none of these processes are impact free. An ideal rating system would incorporate all environmental impacts over a vehicle's life cycle.

Life cycle assessment (LCA) techniques provide a framework for systematically considering environmental impacts and have been used for eco-labeling of other products (EPA 1993a, 1993b). Table 1 illustrates the range of environmental concerns to be considered over the phases of a vehicle's life cycle in the form of a product assessment matrix. Letter codes in the matrix cells show items covered in the methodology described here. Only the use phase is well covered because of the data limitations encountered when attempting to develop vehicle model-specific assessments.

Use phase energy- and air pollution-related effects do represent a substantial part of an automobile's life cycle impacts. Roughly 90% of an average vehicle's life cycle energy use occurs during its operation (Keoleian et al. 1997, Table 7.1). DeLuchi (1991) estimates that the full fuel cycle GHG emissions of a gasoline-powered automobile are 68% from fuel end use, 21% from fuel production and distribution, and 11% from vehicle materials and manufacturing processes. Thus, vehicle use accounts for 68% + 21% = 89%, closely matching the life cycle energy use share as expected. Use phase shares vary for other pollutants, being clearly high for carbon monoxide (CO) but lower for sulfur dioxide (SO<sub>2</sub>). Moreover, use phase energy and air

pollution impacts are the focus of the vehicle-oriented public policies that our rating system is intended to complement.

At present, only three types of relevant, independently verifiable data cover all makes and models: (1) vehicle emissions data, addressing most aspects of use phase air pollution; (2) vehicle fuel consumption data, addressing other aspects of use phase air pollution as well as energy use and GHG emissions; and (3) vehicle mass data, addressing materials production and manufacturing impacts. A rating system must integrate these data along with parameters for weighting the various items in order to provide a model-specific index of life cycle environmental impact.

#### Vehicle Emissions

Automotive emissions of criteria air pollutants and their precursors are an important cause of environmental damage. These emissions occur at the tailpipe and from fuel evaporation and leakage. In the United States, new vehicles are required to meet emissions standards that regulate CO, hydrocarbons (HC), nitrogen oxides ( $NO_x$ ), and particulate matter (PM). (To date, PM standards are enforced only for diesel vehicles, since gasoline vehicles have been considered to have negligible PM emissions.) Standardized emissions tests involve placing a vehicle on a chassis dynamometer and operating it over a simulated driving cycle while collecting samples of the exhaust. Tests are also made to detect fuel vapor leaks (evaporative emissions). Testing is the responsibility of automakers, who report the results to the U.S. Environmental Protection Agency (EPA) or the California Air Resources Board (CARB).

Standard emissions tests tend to significantly underpredict in-use emissions. Past data have revealed that lifetime average in-use emissions are two to four times higher than the nominal emissions standard levels in grams per mile (g/mi) to which the vehicles are certified (Calvert et al. 1993; Ross et al. 1995). The reliability of its emissions control system (ECS), including engine operation which affects ECS performance, is a key determinant of a vehicle's lifetime real-world emissions. EPA's mobile source emissions models incorporate degradation factors and other parameters to predict average emissions rates over vehicle lifetimes. For the 2002 model year, tailpipe emissions estimates for gasoline and diesel vehicles were adopted based on information developed for EPA's MOBILE 6 model (EPA 1999a; Koupal 2001a). These tailpipe emissions estimates are broadly consistent with lifetime average predictions noted by independent analysts such as Ross and Wenzel (1998). Nevertheless, substantial uncertainties remain, which is why Table 1 shows a "B" status for use phase air pollution.

In the Fall of 2000, EPA posted its own "Green Vehicle Guide" providing car and light truck emissions ratings information on the web (www.epa.gov/greenvehicles). While the *Green Book* ratings are derived from the same source data as EPA's ratings, there are a number of technical differences. Our approach is life cycle-based; EPA's is based separately on tailpipe emissions and fuel economy, which are dominant aspects of a vehicle's life cycle impact. The *Green Book* ratings weight various regulated pollutants using factors tied to public health epidemiological findings, while EPA explicitly includes only HC and NO<sub>x</sub>, and combines these as a simple sum. *Green Book* ratings are adjusted for in-use emissions performance, while EPA's are not. (This issue particularly affects the relative rankings of alternative vehicles, as when comparing a compressed natural gas ultra-low emission vehicle [CNG ULEV] to a

gasoline ULEV.) There are a number of other technical differences, such as our inclusion of heavier light trucks (8,500–10,000 lb. gross vehicle weight [GVW]), as well as a different approach to presentation of results.

#### **Fuel Consumption**

Vehicle fuel consumption and the fuel supply cycle produce emissions of both GHGs and criteria pollutants. These impacts are essentially proportional to the quantity of fuel consumed. Estimates of fuel economy (miles per gallon, or MPG) are derived from the same simulated driving tests as used for meeting emissions standards. Vehicles are labeled for fuel economy (separately for typical city and highway driving) based on these results. Procedures also exist to rate vehicles powered by electricity or other alternative fuels, which are labeled for fuel consumption as well as emissions (FTC 1996).

A vehicle's rate of fuel consumption drives its fuel cycle impacts, which vary depending on the fuel and its source. For example, grid-connected electric vehicles, which may have zero vehicle emissions, entail a variety of powerplant emissions and other impacts depending on how the electricity is generated. Emissions factors (e.g., in grams of pollutant per British thermal unit [Btu] of fuel consumed) for GHG and criteria emissions are fairly well known, based on national statistics. Thus, given fuel economy data, estimating a vehicle's fuel cycle impacts is straightforward and reliable for accurately discriminating among different models.

#### **Manufacturing Impacts**

Manufacturing impacts depend on materials use, where and how a vehicle and its components are built, and the environmental standards followed at each stage of the process. Automobile manufacturing involves a complex and fluid global supply chain, making it difficult to track the environmental pedigree of parts and materials. Impacts also depend on recycled content, since increasing the use of recycled materials can decrease impacts associated with virgin materials processing and product disposal. Data on manufacturing impacts and recycled content are not systematically available and the environmental reporting needed to provide meaningful estimates by make and model is largely undeveloped.

Given these data limitations, environmental impacts of the materials production and manufacturing phases of vehicle life are best estimated in proportion to vehicle mass. Vehicle mass also is probably a good surrogate for end-of-life impacts, although we did not attempt to incorporate environmental statistics from this final phase of the life cycle. Developing better methods for rating vehicles according to environment impacts from assembly, parts production, and materials use remains an area for future work.

#### INTEGRATING METHODOLOGY

In essence, our rating system is based on performing a limited LCA for each car and light truck on the market. To formalize it and reduce the results to a single metric applicable to any vehicle, we define an *environmental damage index* (EDX). We define this index as a sum of

damage functions, each based on attributes associated with the life cycle of the vehicle and its fuel:

$$EDX = \sum_{i} Damage(Impact_{i})$$

In principle, impacts could include any of those listed in Table 1. A valuation based on environmental economics would use monetized damage functions so that the EDX expresses an expected life cycle environmental cost of the vehicle. We have adopted such a framework while noting its limitations. Dollar-based damage functions can never capture the full value to society of human life, health, and quality of life; ecological effects; and the moral dimensions of environmental harms.

That being said, and restricting the damages considered to GHG and criteria pollution emissions during the vehicle's life cycle and associated fuel cycle, a monetized environmental damage function reduces to:

$$EDX = \sum d_{ii}e_{ii}$$

where i is an index over emission species (air pollutants, including greenhouse gases), j is an index over locations of emissions,  $d_{ij}$  is an environmental damage cost (e.g., dollars per kilogram [\$/kg]), and  $e_{ij}$  is the quantity of emissions averaged over a vehicle's operational life (e.g., grams per mile [g/mi]). The damage index so defined represents environmental impacts averaged over vehicle lifetime travel distance and the units can be given in cents per vehicle mile (¢/mi).

#### CHARACTERIZATION OF IMPACTS

Given the data availability as noted above, the above relation can be calculated on the basis of vehicle emissions, fuel cycle emissions, and emissions factors based on vehicle mass (for embodied energy and environmental impacts).

#### VEHICLE EMISSIONS

Some vehicle emissions are regulated and others are not. We estimate both. Regulated emissions include CO, non-methane organic gases (NMOG), HC, NO<sub>x</sub> and particulate matter smaller than 10 microns (PM<sub>10</sub>). These emissions depend largely on the emissions standard to which a vehicle is certified and its fuel. Hydrocarbon vapors, also termed volatile organic compounds (VOC), are regulated according to particular definitions, such as NMOG defined in terms of photochemical reactivity. We model evaporative HC emissions as a function of both fuel consumption and emissions certification level. We estimate unregulated pollutants as a function of fuel type and consumption rate, independently of the emissions standard. The pollutants that are not directly regulated for motor vehicles but are incorporated in our rating system are SO<sub>2</sub>, methane (CH<sub>4</sub>), nitrous oxide (N<sub>2</sub>O), and carbon dioxide (CO<sub>2</sub>).

#### Regulated In-Use Emissions from Vehicles up to 8,500 lb. GVW

Tailpipe and evaporative emissions are regulated for cars and light trucks under both Federal and California vehicle emissions programs. We treat regulated tailpipe emissions as depending only on the emissions standard level to which a vehicle is certified, rather than on the particular test values submitted for certification. Emissions certification is designed as a pass/fail test, and manufacturers do not have legal requirements to maintain the test values they submit, only the standard levels to which they certify. Substantial variability can exist among test results for the same model vehicle and the number of tests on each model is very low. Therefore, we do not use certification test values as a basis for our estimates. Rather, we derive estimates based on published analyses of in-use emissions data, which are not model-specific. Emissions standard levels for each pollutant (CO, HC, NO<sub>x</sub>, and PM<sub>10</sub>) are adjusted to reflect expected in-use performance over a vehicle lifetime (not just the specified mileage durability requirements over the simulated test cycles that are required for certification). The resulting in-use estimates are illustrated in Figure 1 for the principal standards in effect in 2002. The detailed assumptions for estimated in-use emissions for each emissions standard are presented in a multi-part table (Appendix Table A1).

**Supplemental Federal Test Procedure.** The Supplemental Federal Test Procedure (SFTP) was introduced in 2001 to address shortcomings with the preexisting Federal Test Procedure (FTP), including a lack of (among other driving patterns) high speed/acceleration, rapid speed fluctuation, and air conditioning use (Federal Register 1996). Incorporation of the SFTP into the *Green Book* methodology necessitated modest reductions of our in-use tailpipe emissions factors for gasoline vehicles. Although the SFTP program follows a legislated phase-in schedule, it is impractical for *ACEEE's Green Book*<sup>®</sup> to identify specifically which vehicles are SFTP-compliant and which are not. Therefore, all MY2002 vehicles are treated as SFTP-compliant. The EPA emissions modeling tool we currently use (Koupal 2001a) incorporates SFTP functionality, reflecting reductions of HC and NO<sub>x</sub> in-use emissions expected by control improvements motivated by the SFTP.

SFTP-related reductions in CO emissions estimates were determined in consultation with members of EPA's MOBILE 6 modeling staff (Koupal 2000; Koupal 2001b). Using preliminary MOBILE 6-based adjustment estimates of both Tier 1 off-cycle benefits and class-specific LEV off-cycle benefits (used here on LEVs and ULEVs), MY2000 off-cycle CO estimates were adjusted to yield new, MY2002 SFTP-based off-cycle estimates. The adjustment factors account for both control improvement-related emissions reductions and a modest CO increase due to air conditioning loading, as noted in Appendix Table A1.

For diesel vehicles, the SFTP final rule provided only a US06 off-cycle control requirement for LDV and LDT1 and exempted LDT2-4 light trucks for lack of data. The SFTP final rule also exempted diesels from the supplemental air conditioning test. While acknowledging the large uncertainty regarding light-duty diesel in-use emissions, the absence of data suggests caution. Therefore, we leave all diesel emissions factors unchanged from our MY2000 estimates, as described below under *Diesel Emissions*.

Addressing gasoline characteristics. The two most significant gasoline characteristics impacting tailpipe emissions are gasoline sulfur content and the use of reformulated gasoline.

*Sulfur level.* The characterization of  $NO_x$  emissions in terms of fuel sulfur is among the more critical judgements made for our methodology, since  $NO_x$  is weighted much more heavily than HC and CO in determining a vehicle's EDX (see Tables 4 and 5 for emissions damage cost estimates). The  $NO_x$ -sulfur sensitivity depends on the particular catalyst formulations, which

vary with make and model. Public data are not available to discriminate by catalyst, so consistent with our overall approach, we estimate emissions based only on the standard to which a vehicle is certified.

California certified vehicles (LEVs, etc.) are designed to run on low sulfur fuel, ideally California Phase 2 reformulated gasoline (RFG), which is restricted to 30 parts per million (ppm) or less of sulfur. However, LEVs available nationwide are run on different fuels, including fuels with much higher sulfur levels. As of 1999, the average sulfur level in national fuel was about 330 ppm. The Tier 2 rule will greatly reduce sulfur content in gasoline, targeting a national average of 30 ppm by 2006 (Federal Register 2000). Since we characterize emissions over the entire vehicle lifetime, it is appropriate to evaluate vehicles at some expected average sulfur level.

As a result of the National Low-Emission Vehicle (NLEV) program introduced in 2001, many LEV- and TLEV-certified vehicles are currently available for sale nationwide, consuming fuel with national average sulfur levels. In order to account for this fact, we evaluated MY2002 LEV- and TLEV-certified vehicles at the national average sulfur level. Most ULEV- and SULEV-certified vehicles are still principally available in limited areas of the country that have adopted the California emissions control program (California, Maine, Massachusetts, New York, and Vermont in MY2002). These states have lower sulfur fuel than is typically available nationwide. Thus, we characterize ULEVs and SULEVs at the expected lifetime sulfur level in these "clean car states," and we characterize Federally-certified Tier 1 vehicles at the expected lifetime average sulfur level in the remaining states.

In order to determine lifetime averages for the states adopting the California emissions control program as well as the remaining states, we first calculate a national average fuel sulfur level over the vehicle lifetime. Estimated sulfur projections were determined using 1998 American Automobile Manufacturers' Association survey data, and average annual miles per vehicle by age (ORNL 1997). Sulfur phase-in assumptions were made in line with EPA's Tier 2 modeling (EPA 1999b). For each year, we multiply the sulfur level by the fraction of lifetime vehicle miles driven that year. When summing over an assumed 120,000-mile vehicle lifetime, this approach yields a national average fuel sulfur level of 50 ppm to be experienced by MY2002 vehicles.

Estimation of the average sulfur level for the five "clean car states" necessitated the use of region-specific sulfur estimates (AAMA 1997). We found a lifetime average for each of these five regions, and weighted them by state vehicle miles traveled (VMT) (DOT 2000). In MY2002, the low-emission-mandated states accounted for 18.6% of the national VMT share, while the remaining states account for 81.4%. Based on these statistics, we estimate a LEV-state composite sulfur level of 30 ppm and a composite sulfur level of 50 ppm for the remaining states; all figures rounded to the nearest 10 ppm.

*Reformulated gasoline.* This year, we again modeled HC and  $NO_x$  emissions estimates with software developed at EPA. The spreadsheet model created at EPA (Koupal 2001a) has proved to be a useful tool for estimating these emissions, reflecting fuel effects and the other in-use effects for each vehicle class and standard. This model, which we refer to here as "FER," is a working analysis tool used in the preparation of Tier 2 and sulfur standards. Its assumptions are

intended to be consistent with draft MOBILE 6, with the caveat that the MOBILE 6 methodology was not final at the time we had to finalize our estimation procedures for MY2002.

In calculating HC and  $NO_x$  tailpipe emissions, the FER model allows the user to model the use of either industry average or RFG; the latter is expected to account for 33% of gasoline consumption for all years between 2000 and 2020 (DOE 1999). In order to approximate a national emissions estimate, we weight RFG and conventional gasoline tailpipe emissions by this percentage. We calculate both RFG and conventional gasoline emission rates, and then weight these values to approximate national consumption levels of RFG and conventional gasoline.

**HC and NO<sub>x</sub> emissions characterization.** FER accepts the following input variables: vehicle weight class, emissions standard, Inspection and Maintenance (I/M) requirements, sulfur content, RFG/conventional gasoline, and SFTP compliance. In order to estimate emissions rates for MY2002 vehicles, we selected the following input parameters (see also "Sulfur Level," above):

I/M:	No
Sulfur:	30 ppm, lifetime average, for ULEV- and SULEV- certified vehicles
	50 ppm, lifetime average, for TLEV- and LEV-certified vehicles
	50 ppm, lifetime average, for Federal (Tier 1) vehicles
Fuel:	RFG, conventional (weighted average)
SFTP:	Yes

When running FER, vehicle classes and standards are selected to determine emission rates for the various vehicle configurations. While the model does not explicitly allow for a ULEV input standard, we simulate these standards by adjusting one of the model's parameters (the 50K-mile standard). We judge that an OBD-only scenario best characterizes national average performance, so no I/M was selected. SFTP was included in our analysis to account for its introduction in 2001 (see "Supplemental Federal Test Procedure," above).

As in EPA's models, emission rates for each pollutant are calculated as a function of a zero-mile rate, two deterioration rates, and a "flex" point, or point of inflection between the two deterioration rates.

$$e(m) = \begin{cases} e_0 + d_1 m & 0 \le m < m_1 \\ e_0 + d_1 m_1 & m = m_1 \\ e_1 + d_2 (m - m_1) & m > m_1 \end{cases}$$
(1)

where

e(m) = vehicle emission rate as a function of mileage and deterioration rates

 $e_0 =$  zero-mile emission rate (g/mi)

 $e_1$  = emission rate at flex point (g/mi)

 $d_1$  = deterioration rate 1 (g/mi per 10,000 mi)

 $d_2$  = deterioration rate 2 (g/mi per 10,000 mi)

 $m_1$  = mileage at flex point (10,000 mi)

Integrating this relationship enables us to determine a lifetime average emission rate,  $\overline{e}$ , assuming an average vehicle lifetime ( $m_2$ ) of 120,000 miles for all vehicles.

$$\bar{e} = e_0 + \frac{1}{2}(d_2m_2) - (d_2 - d_1)(1 - \frac{m_1}{2m_2})m_1$$
<sup>(2)</sup>

This function is used to estimate the lifetime average emission rate for all three species (CO, HC, and NO<sub>x</sub>), for each standard (Tier 1, LEV, etc.), and for each vehicle class (LDV, LDT1-4, etc.) as applicable.

The relevant output parameters of the FER model are the emission rate coefficients needed for Equation 2 above:  $e_0$ ,  $d_1$ ,  $d_2$ , and  $m_1$ . From these coefficients, we determine lifetime average emission rates.

**CO** emissions characterization. FTP-based, base CO emission rates for Tier 1, LEV, and ULEV cars and trucks are provided by EPA (1999a). While this report contained relevant information for use in our ratings (specifically, the "OBD-only" case) in chart form, it did not contain the numeric data from which the charts were based. EPA personnel provided us with the data (including zero-mile levels), and we performed further analysis to estimate deterioration rates from which our lifetime averages are calculated. Examining the data, we were able to determine the point of inflection (flex) between two deterioration rates. Specifically,

$$d_{1} = \frac{(e_{1} - e_{0})}{m_{1}}$$
$$d_{2} = \frac{(e_{2} - e_{1})}{(m_{2} - m_{1})}$$

and

where  $e_2$  = emissions rate at data endpoint (g/mi)  $m_2$  = mileage at data endpoint (10,000 mi)

Lifetime average CO emission rates were then calculated using Equation 2. This estimation addressed emissions in four categories:

(1) Tier 1 and LEV LDVs and LDT1s
 (2) Tier 1 and LEV LDT2s and LDT3s
 (3) ULEV LDVs and LDT1s
 (4) ULEV LDT2s and LDT3s

The unavailability of LDT4 CO emission rates necessitated that we devise an approximate value based on available data. To determine CO emission rates for LDT4s, we multiplied the ratio of the LDT4 and LDT3 CO emission standards (5.0 g/mi:4.4 g/mi) by the

LDT3 emission rate. Since LDT3 and 4 emission standards are the same for Tier 1, LEV, and ULEVs, the same ratio (5.0/4.4) was used to determine LDT4 emission rates for each of these three emission categories.

Our determination of gasoline vehicle CO emission rates accounts for FTP (on-cycle) only. Therefore, an off-cycle correction factor that accounts for SFTP-based adjustments is added to the base emission rates. As stated earlier, these adjustment factors account for control improvement-related emissions reductions, as well as a modest CO increase due to air conditioning loading. Assumptions behind the CO off-cycle emissions adjustments are documented in Appendix Table A1; results are located in Tables A1a-e.

**Particulate emissions.** In spite of the now-established concern about the adverse health effects of fine particulate matter emissions, few data are available to characterize the impacts of motor vehicle PM at the make and model level. Most data, as used for example to develop PM emission inventories, are highly aggregate. Established inventory models, such as EPA's PART model, generally characterize  $PM_{10}$ , while the greatest health concern is for much finer particles, typically in the sub-micron range. Characterization of PM, particularly ultrafine PM through particle count rather than mass-based measurements techniques, is an active area of research. At this point, PM emissions characterizations for motor vehicles remain highly uncertain and remain based on  $PM_{10}$  data.

Delucchi (1997b) estimates Tier 1 gasoline light-duty vehicle (LDV)  $PM_{10}$  emissions of 0.042 g/mi, based on a review of previously reported measurements. But recent data on vehicles with 3-way catalysts reveals significantly lower  $PM_{10}$  emission rates (Durbin et al. 1997; Mark and Morey 1999). Nevertheless, such mass-based data is likely to underestimate the impacts of ultrafine PM, for which real-world data are not available. Therefore, we assumed an emissions rate for LDVs that is one-half that of the Delucchi (1997b) estimate, yielding a value of 0.02 g/mi for Tier 1 LDVs.  $PM_{10}$  emissions rates for light-duty trucks (LDTs) and for California-certified vehicles are scaled from this Tier 1 LDV rate as shown in Tables A1b-e.

**Diesel emissions.** Real-world data on diesel tailpipe emissions are even more limited than for gasoline vehicles. The SFTP final rule (Federal Register 1996) contains data on diesel  $NO_x$  emissions from a few manufacturers' test results for passenger cars only. The SFTP regulates off-cycle emissions by requiring the testing of vehicles over the US06 driving cycle, which includes more episodes of high power driving than the standard FTP cycle. To divide these emissions into on-cycle and off-cycle components, we adopt the SFTP estimates of 28% off-cycle and 72% on-cycle.

The MOBILE 6 emission factors under development for light-duty diesel vehicles were reflected in EPA (1999c). From this document and conversations with EPA staff, we learned that basic zero-mile rates and deterioration rates will be unchanged from MOBILE 5 and that these rates do not include off-cycle (non-FTP) driving patterns. Moreover, MOBILE 5 does not have separate estimates for each of the four light-duty truck classes, instead giving a single rate labeled "Light-Duty Diesel Trucks." MOBILE 6 light-duty diesel off-cycle emissions factors were not finalized in time for our MY2002 Methodology, therefore we retain the estimation procedure we developed in previous years.

We modify EPA (1999c) diesel estimates by adding an off-cycle component and separately estimating emissions for each of the four light-duty truck weight classes. As stated above, we use data reported in the SFTP Final Rule (Federal Register 1996) to incorporate the effects of off-cycle emissions. Zero-mile level and deterioration rate estimates were used to interpolate a 60,000-mile emissions estimate (the midpoint of a 120,000-mile vehicle lifetime), and then broken into on- and off-cycle components using the 72/28% on-cycle/off-cycle apportionment used in the SFTP Final Rule. For example, since the 60,000-mile LDV on-cycle NO<sub>x</sub> estimate of 1.05 g/mi comprises 72% of combined on- and off-cycle emissions, a resulting 0.41 g/mi accounts for the off-cycle portion of total emissions.

To arrive at estimates for each of the LDT weight classes, we assume that the EPA (1999c) estimates apply to LDT2s (the most common weight class). For the remaining LDT weight classes, we scale the on-cycle portion of emissions by the ratio of each weight class's emission standard to the LDT2 standard. Diesel emissions estimates are located in Table A1f.

**Compressed natural gas vehicles.** A set of emission factors was also developed for compressed natural gas vehicles. Bi-fuel vehicles are not specifically covered in *ACEEE's Green Book*<sup>®</sup>. Automakers' dedicated CNG vehicles all meet California's ULEV or SULEV emission standards. Estimates of real-world tailpipe emissions for the vehicles are drawn from both the updated GREET model (Delucchi 1997b; Wang 1999), as detailed in Tables Alg-h. These estimates imply CNG vehicle in-use emissions, particularly of NO<sub>x</sub> and HC, that are lower than those of gasoline vehicles certified to an identical standard. This result is consistent with third-party tests of CNG vehicles such as those reported by Weaver and Chan (1997).

Methodology for TLEV-certified vehicles. Improvements in emissions controls have reduced the number of TLEV-certified vehicles on the market, as availability of LEV and ULEV vehicles increased. In MY2002, fewer than 5% of the *ACEEE's Green Book*<sup>®</sup> listings were TLEV-certified vehicles. The FER model (Koupal 2001a) does not have estimates for TLEV emissions. CO and NO<sub>x</sub> emissions standards for TLEV-certified vehicles are the same as Tier 1 standards. For CO, we use the Tier 1 estimates. For NO<sub>x</sub>, we follow the same estimation procedure used for Tier 1 vehicles, but evaluate emissions at the national average sulfur level of 50 ppm. For HC, TLEV standards are lower than Tier 1. Consistent with our approach implemented in model years 2000 and 2001, a TLEV HC emission level midway between the Tier 1 and LEV rate was assumed for MY2002. TLEV emissions estimates are shown in Appendix Table A1b.

#### **Methodology for Heavier Light Trucks**

Vehicles between 8,500 and 10,000 lb. GVW (Class 2b trucks) are not subject to the same emissions and fuel economy regulations or data reporting and labeling regulations as LDVs and LDTs. An increasing number of these vehicles, however, are variants of LDTs and are sold as personal vehicles. We refer to these vehicles, having a GVW greater than 8,500 lb. and up to 10,000 lb., as heavier light trucks (HLTs). Inclusion of these vehicles in our ratings required the development of a procedure for estimating the vehicles' lifetime average real-world emissions in a manner consistent with vehicles subject to light-duty regulations.

Since HLTs are exempt from Corporate Average Fuel Economy (CAFE) standards, EPA does not collect fuel economy data. We mailed letters to manufacturers of HLTs requesting fuel

economy data and related specifications for their HLTs. No automakers provided us with such data. Therefore, we developed a procedure for estimating HLT fuel economy and emissions by scaling from an LDT model of which the HLT is a variant. For example, if a version of a large, 2WD pickup truck is classified as an LDT4, fuel economy and emissions certification information data for it are available in an EPA database. A 4WD variant (with the same engine), however, may weigh more than 8,500 lb. GVW, and thus is not listed in the EPA database. Therefore, we estimate the 4WD version's fuel economy and emissions by scaling the estimates for a 2WD (LDT4) version that contains the same engine.

**Fuel economy estimation.** To estimate HLT fuel economy, we scaled from the corresponding vehicle's LDT fuel economy as described below. This scaling was done using mass sensitivity coefficients derived from the An and Ross (1993) fuel economy model. We used a coefficient of -0.27 for city fuel economy and -0.23 for highway. Given the small mass differences, a linear approximation was used; in the city cycle case, for example:

$$MPG_2 = (1 - 0.27) [(m_2 - m_1)/m_1] MPG_1$$

where "m" designates mass (vehicle curb weight), MPG is fuel economy, and subscripts refer to (1) the base LDT for which fuel economy is known and (2) the HLT variant for which fuel economy needs to be estimated. These coefficients assume that other key vehicle parameters are constant; in particular, engine displacement is constant because we address only HLTs matched by engine and transmission type to a given LDT. Parameters that would also affect fuel economy, but for which we did not adjust, include gear ratios, the n/v (rpm per mph) ratio, and driveline friction, among others. For example, higher n/v and higher driveline friction in an HLT variant would push its actual fuel economy lower than what we estimate; however, these data were not readily available. Ideally we would like to have HLT fuel economy data from the standard LDV/LDT test cycles.

Emissions estimation. To estimate tailpipe emissions, we assumed that HLT emission characteristics are not much higher than those of corresponding LDT versions. This assumption is based on discussions with EPA staff and other experts, who confirmed that emissions control technology is similar for both HLT and LDT versions of most light trucks, including, most notably, the use of 3-way catalysts for gasoline vehicles. We adopt, therefore, a method of scaling up emissions factors from LDT4 emission factors. A review of HLT vehicle specifications yielded an average HLT's inertial test weight (ITW) to be approximately 300 lb. heavier than its LDT4 counterpart. Thus, we use a load-based scaling in which we multiply LDT4 emission factors by the ratio of average HLT ITW to average LDT4 ITW. These loadscaling factors are shown in Table A1, and the resulting emission factors are shown in Table A1a for gasoline Tier 1 vehicles, Table A1c for gasoline LEV vehicles, and Table A1f for diesels. Before settling on an approach, we investigated approaches similar to our method for LDTs of scaling up from LDV emission rates based on the ratio of HLT to LDV standards. HLT standards are so lax, however, that this approach produced unreasonably high results, given that the vehicles carry ECS technology similar to that of LDVs.

#### **Evaporative Emissions from Vehicles**

Hydrocarbon vapors leak from fuel tanks, lines, and other fuel system components of a vehicle. These evaporative emissions are regulated by EPA and CARB by means of a test wherein stationary vehicles are placed in controlled chambers and subjected to a range of temperatures for a set amount of time. The mass of fuel evaporated is measured, giving results in grams of HC per test. For consistency with other aspects of our methodology, rather than grams/test levels, we use evaporative emissions factors in grams/gallon (g/gal), derived from Delucchi (1997b). Federal and California-certified vehicles meet the same gram/test standard. However, the California test procedure is more stringent, so we adjust the estimates downward for California-certified vehicles based on Newell (1997). Following Delucchi (1997b), we assume negligible evaporative emissions for diesel and CNG vehicles, as well as for gasoline vehicles meeting the PZEV zero-evaporative emissions standard. Evaporative hydrocarbon emissions in gasoline SULEV vehicles are determined by multiplying our estimate of gasoline ULEV evaporative emissions, as noted in Delucchi (1997b), by the ratio of LEV to LEV II 3-day diurnal tests (0.5/2.0 g/test). Details of our estimates for LDV evaporative emissions are provided in Table A2a.

To estimate evaporative emissions for HLTs, we scaled the LDV rates (see below) by the ratio of HLT to LDV evaporative standards (3.0/2.0 g/test). This method is similar to the one used by EPA in the MOBILE 5a model (EPA 1996) and is based on the fact that evaporative emissions test procedures are the same and that control equipment is largely the same for both HLTs and LDTs. See Table A2a for details.

#### **Unregulated In-Use Vehicle Emissions**

Tailpipe emissions of SO<sub>2</sub>, N<sub>2</sub>O, CH<sub>4</sub>, and CO<sub>2</sub> are not regulated by vehicle, although SO<sub>2</sub> emissions are linked to restrictions on fuel sulfur content. These emissions do not depend on a vehicle's certification level but are related to the amount of fuel consumed depending on fuel type. Delucchi (1997b) estimates these emissions on a grams-per-mile basis, which we convert to a grams-per-gallon value using his assumed average fuel economy (MPG). Since Delucchi does not estimate light-duty diesel emissions, but the fuel is the same for all vehicles, we use the heavy-duty diesel vehicle grams per mile estimates and convert them to grams per gallon values. Of these emissions, SO<sub>2</sub> makes a significant contribution to health damages; N<sub>2</sub>O, CH<sub>4</sub>, and CO<sub>2</sub> are greenhouse gases. Estimation details are given in Table A2a.

#### **FUEL CYCLE EMISSIONS**

Pollution occurs throughout the fuel production cycle, from the well head to the fuel pump for gasoline or from the coal mine to the wall plug for electricity, for example. HC emissions associated with refueling are included as part of these fuel cycle emissions, but those that occur once fuel is in a vehicle are included under "Evaporative Emissions," above. Delucchi (1997b) models full fuel cycle emissions of CO, HC, NO<sub>x</sub>, PM<sub>10</sub>, SO<sub>2</sub>, CH<sub>4</sub>, N<sub>2</sub>O and CO<sub>2</sub> for gasoline, diesel, CNG, electricity, and other alternative fuels. His results are expressed in grams per million British thermal units (grams per 10<sup>6</sup> Btu) and those relevant to our analysis are detailed in Table A2b. We then computed grams per mile estimates from each vehicle's estimated in-use fuel economy, which is estimated as described below. The difference between in-use and upstream emissions for gasoline-, diesel-, and CNG-powered vehicles is illustrated in Tables A2a and A2b.

#### **Fuel Economy and Shortfall**

Though not perfect, the certainty level for fuel economy is much better than that for vehicle emissions. Simulated driving tests overestimate fuel economy—MPG is higher on the test cycles than in real-world driving—but the bias is fairly well known. Since 1984, EPA has adjusted city MPG downward by 10% and highway MPG downward by 22% for labeling purposes. These adjustments imply a "shortfall" of roughly 15% compared to the composite 55% city, 45% highway MPG used for CAFE compliance purposes. Changing traffic conditions appear to have increased the shortfall, and available evidence suggests that it varies with vehicle class, being worse for many light trucks (Mintz, Vyas, and Conley 1993). Therefore we adjusted the composite (CAFE-compliance, rather than label) fuel economy downward by 18.7% for cars and 20% for light trucks. The error remaining after such adjustments is probably less than 10%. This modest uncertainty in fuel consumption rates is a marked contrast to the situation for vehicle emissions rates, where residual errors are quite large and only crudely quantifiable (e.g., within a factor of 2 or more).

All emissions associated with charging an electric vehicle (EV) fall under the fuel cycle category. We use power consumption (kilowatt-hours per mile) data supplied directly by automakers for their electric vehicles; we list these data as an efficiency rating (miles per kilowatt-hour) in our tables. Electricity losses during recharging are included in the EV efficiency rating, so the fuel cycle emissions factors reflect electricity generation and distribution losses, but not the losses associated with end-use charging equipment. We use DOE (1997) estimates for a national average power generation mix as detailed in Table A2c. Our valuation assumptions for health effects treat power plant emissions differently than vehicle emissions; as discussed below, this issue (related to differences in exposed population) is more important than the geographic differences in electricity generation mix.

#### MANUFACTURING EMISSIONS

Energy is consumed in the assembly of vehicles and to an even greater extent is embodied in the production of raw materials. We characterize the associated impacts by averaging aggregate automobile manufacturing sector statistics over an assumed 100,000 mile vehicle lifetime.

For GHGs, we start with the DeLuchi (1991) estimate of  $CO_2$ -equivalent emissions associated with vehicle manufacturing as 55.9 g/mi for a 2187 lb. car, implying an mass-based emissions factor of 0.056 g/mi per kg of vehicle.

For  $NO_x$ ,  $SO_2$ , and  $PM_{10}$ , we estimate the emissions associated with energy use for materials production and manufacturing, also assuming proportionality to mass. This procedure involves three principal inputs:

- 1. Mass fractions of major materials (metals, plastics, rubber, glass, etc.) in an average vehicle;
- 2. Energy use by fuel (electricity, coal, oil, or natural gas) for producing each material (e.g., joules per kilogram of material); and
- 3. Manufacturing and electric power generation emissions factors by pollutant for each fuel (e.g., grams of  $NO_x$ ,  $SO_2$ , and  $PM_{10}$  per joule of fuel consumption).

These calculations are detailed in Table A3a-c. The resulting emission factors in grams per mile per kilogram of vehicle mass are shown in Table 2.

In order to account for the environmental impacts beyond those associated with manufacturing-phase energy consumption, we include the impacts of toxic pollutant releases and transfers as determined from Toxic Releases Inventory (TRI) data. EPA provides toxic transfer and release information associated with the motor vehicle portion of the Transportation Equipment sector, denoted as Standard Industrial Classification (SIC) 371. Releases associated with SIC 371 (which includes SICs 3711, 3713, 3714, 3715, and 3716) are found in Tables 14-1 and 14-3 of EPA (1998). Additionally, in order to account for facilities that reported multiple codes on their TRI forms, we also included the relevant releases and transfers from Table 14-2.

Our determination of a summary estimate of TRI releases and transfers (on a per vehicle basis) is consistent with the methodology utilized by Keoleian et al. (1997), as applied in DeCicco and Thomas (1999a). We calculate summary estimates of 6.4 lb. of TRI releases and 13.9 lb. of TRI transfers per vehicle using 1996 TRI data and 1996 U.S. production figures of 11.7 million vehicles (Automotive News 1999). We assume that the damage associated with transfers is one order of magnitude less than that of releases. The total toxic release-equivalent is then 6.4 + 1.39 = 7.8 lb. (or 3.5 kg) per vehicle. Using the 1996 average light vehicle curb weight of 1460 kg implies 2.42 g/kg (i.e., 2.42 grams of toxic emissions per kilogram of vehicle), representing embodied TRI impacts. Updating to 1996 from our earlier use of 1993 TRI data proved to have minimal impact (1% or less) on lowering an average vehicle's EDX.

#### **Electric Vehicle Battery Replacement**

Total vehicle weight is used in the calculation of embodied  $NO_x$ ,  $SO_x$ ,  $PM_{10}$ , toxic, and GHG emissions. Similarly, we choose to evaluate the total life cycle mass of an electric vehicle by including the mass of batteries used over its lifetime. To perform this calculation, information about each EV's battery type and mass must be acquired from its respective automaker. Since automakers' claims regarding battery lifetimes are highly variable, it was decided to use battery lifetime approximations, based on the battery type. Consultation with EV battery researchers in both industry and government during the MY2000 methodological update led us to develop lifetime approximations of 2.5-year, 5-year, and 6-year lifetimes for lead-acid, lithium-ion, and nickel-metal-hydride EV batteries respectively (although all EVs produced in quantity as MY2002 vehicles contained either NiMH or PbA batteries). A 10-year lifetime was assumed for all vehicles.

The following formula is used to calculate the adjusted vehicle mass,  $m_v$ :

$$m_{\nu}' = (m_{\nu} - m_b) + (\frac{l_{\nu}}{l_b}) * m_b$$

where  $m_v =$  adjusted vehicle mass

 $m_v$  = base vehicle mass (curb weight, including original battery)

 $m_b =$  battery mass

 $l_v =$  vehicle lifetime (years)

 $l_b =$  battery lifetime (years)

#### **IMPACT VALUATION AND RESULTS**

For characterizing the environmental damage of various emissions over the vehicle life cycle, we adopt an approach based on environmental economics. Our environmental damage index weights the relative impacts of the pollutants using factors derived from damage cost estimates. It also involves a non-economic judgment that assigns a monetary value to greenhouse gases relative to the economically derived values for conventionally regulated pollutants.

In economic terms, most environmental impacts are considered externalities, that is, effects on others that are not accounted for in market transactions by the parties causing the effects. Delucchi (1997b) places the human health externalities of air pollution from U.S. motor vehicle use at \$24–450 billion per year (1991\$). These estimates correspond to a per-vehicle external cost of \$140–2,500 per year. The large range reflects the uncertainty inherent in such estimates; nevertheless, the evidence is quite strong that the costs are non-zero.

#### **ENVIRONMENTAL DAMAGE COSTS**

Among the common approaches for estimating environmental externalities are use of control costs and use of damage costs. Control costs are based on observations of the costs incurred to reduce pollution such as the cost of clean-up devices. Damage costs are based on observations of the harm caused by pollution, derived, for example, from epidemiological studies. We use damage costs, which avoid incorrect valuation due to: (1) market, regulatory, and implementation imperfections that lead to control costs being different than damage costs; and (2) the fact that existing pollution controls already internalize some of the costs. Examples of such internalization are the higher cost of a car due to its emissions control system and the higher cost of gasoline due to reformulation requirements.

The harm caused by air pollution depends on where it is emitted relative to exposed populations and other subjects of concern. Transported pollutants are subject to dilution and transformation. The impact of, say, one gram of PM emitted from a vehicle tailpipe differs substantially from the impact of one gram of PM emitted from a power plant. Thus, a single damage cost value should not be used for a given pollutant independently of where it is emitted. Delucchi and McCubbin (1996) examined this issue in some depth for the major pollutants associated with motor vehicles and their supporting infrastructures (including manufacturing plants, petroleum refineries, electric utilities, etc.). They simulated the fraction of a pollutant, emitted from a given source, which would reach exposed subjects in various locations. Their simulation results were normalized relative to exposures to light-duty vehicle PM emissions, yielding what might be called damage cost reduction factors. Reviewing the wide range of resulting factors, we selected a factor of ten for reducing the damage cost of pollutants from electric utilities relative to those from vehicles. We selected a reduction factor of five for factories and refineries, which entail relatively higher worker and community exposures.

For base damage costs-those representing the impacts of pollutants directly emitted from motor vehicles-we adopted the geometric means of the low and high health cost estimates of Delucchi (1997a, Table 1-A1). The resulting estimates for major pollutants by location are shown in Table 3. These estimates place a relatively high value on reduction of fine PM and its precursors (particularly SO<sub>2</sub> and NO<sub>x</sub>). In contrast, earlier estimates (e.g., as in the review by Wang and Santini 1995) emphasized reduction of ozone and its precursors, resulting in a relatively high value for avoided HC emissions. Established vehicle regulations place a high premium on ozone reduction, with a strong emphasis on reducing HC. California's smog index (CARB 1996) matches the type of valuation implied, for example, by Wang and Santini (1995) estimates, in which the damage cost ( $\frac{k}{kg}$ ) of HC is about 50% of that of NO<sub>x</sub>. By contrast, the damage cost of HC is only 8% of that of NO<sub>x</sub> for the Delucchi (1997a) estimates that we adopt Thus, our valuations imply relatively small differences among current California here. standards, which are strongly oriented to HC reduction and cut NO<sub>x</sub> by only a factor of two from the Federal level. Our valuations would reflect a significantly greater benefit for the LEV II standards, which would cut nominal NO<sub>x</sub> emissions by a factor of eight from the current Federal level (CARB 1997).

Since the average U.S. electricity generation mix includes a significant share (19%) of nuclear power, it is necessary to include the environmental damage associated with the nuclear fuel cycle. Its environmental impacts fall largely outside of the criteria air pollutant and GHG impacts on which we base our damage cost estimates for fossil fuels and their products. External costs of nuclear power have been extensively investigated for electric sector studies. Population exposures to radiation occur during uranium extraction and processing to produce nuclear fuel, during normal reactor functioning, and during radioactive waste disposal and plant decommissioning. Many of these latter impacts are highly uncertain because these end-phases of the nuclear fuel cycle are far from fully addressed. The most problematic cost is that associated with accidents, which can be disastrous, but are rare and unpredictable and so are very poorly amenable to statistical characterization.

Ottinger (1991, 34) provides summary external cost estimates of 0.11 ¢/kWh for routine operations, 0.50 ¢/kWh for decommissioning, and 2.3 ¢/kWh for accidents. The accident portion is based largely on allocating the damage estimates associated with the Chernobyl disaster over the operating history base of nuclear power. (Impacts of the worst U.S. accident, at Three Mile Island, are nearly negligible in comparison to Chernobyl.) Given the relatively safe history of U.S. nuclear operations, and the high uncertainty associated with accident estimates, we use only the two non-accident costs, implying an external cost of 0.61 ¢/kWh for nuclear power as part of the U.S. average electricity generation mix. As shown in Table A2c, prorating this estimate by the 18.6% share of nuclear power in the mix adds 0.11 ¢/kWh (about 16%) to the overall external cost of electricity, which we estimate at 0.68 ¢/kWh. This value is used to calculate the environmental damage from electric vehicle use and electricity used in vehicle manufacturing.

Damage cost estimates for toxics are not readily available. TRI includes an extensive list of substances, many of which are hydrocarbons, but their control concerns are as much for toxicity as for ozone formation, and some are metallic compounds, including carcinogens, mutagens, etc. We treat these TRI-based emissions as if they were  $PM_{10}$  released at manufacturing sites. For our EDX calculations, we add the toxics estimate to the PM emissions embodied in manufacturing energy use. Multiplying the resulting PM emission factor (2.83 g/kg) by the damages cost factor for manufacturing PM emissions (\$7.22/kg) implies a cost of \$20.43/tonne of vehicle.

It is extremely difficult, if not impossible, to estimate meaningful damage costs for GHG emissions. Published estimates tend to be relatively small in magnitude. For example, based on a literature review of GHG damage estimates, Delucchi places aggregate global warming externalities from U.S. motor vehicle use at over a factor of 30 lower than air pollution health externalities (Delucchi 1997a, Table 1-9A). A number of analysts have examined GHG control (mitigation) costs and the span is quite wide. For example, costs of carbon sequestration through reforestation range from  $2/T_c^1$  for plantations in Central America to  $200/T_c$  for plantations in North America (Ottinger 1991, 165-185). Global warming, like other issues of sustainability, transcends traditional analyses. Concern is well established in the scientific community (IPCC 1995). The Kyoto Protocol adopted in December 1997 commits developed nations to net reductions of their GHG emissions over the next 10 to 15 years.

In light of these considerations, we treat GHG emissions as being equally important as traditionally regulated air pollutants in determining the rating of an average vehicle. In our original edition, a quasi-damage cost for  $CO_2$ -equivalent GHG emissions was calculated so that, for an average vehicle, one-half of the EDX would be GHG-related and the other half would be equal to the sum of the health damage costs from other pollutants (the total estimated health effects of PM, NO<sub>x</sub>, VOC, etc.). This year, we retained the same quasi-damage cost ( $63/T_c$ , or 0.0171/kg on a  $CO_2$ -equivalent mass basis), effectively increasing our GHG weighting slightly to reflect the progress being made on tailpipe pollutant reductions and the lack of improvements being made in vehicle greenhouse gas reductions.

#### SUMMARY OF LIFE CYCLE ESTIMATES

We compiled a database of all new light-duty vehicles on the U.S. market in 2002 and carried out the rating analysis for each configuration of every make and model (1,039 in all). Figure 2 shows the resulting EDX distribution: (a) for the overall light-duty fleet and (b) separately for cars and light trucks. These results are not sales-weighted and so represent the "menu" of vehicles offered to the market, as opposed to market outcome. The 2002 EDX results range from  $0.84 \notin$ /mi (a SULEV-certified small hybrid-electric vehicle) to  $4.05 \notin$ /mi (a large, 4-wheel drive pickup truck). The median is  $2.27 \notin$ /mi and one-half of the models fall between 2.01 and  $2.80 \notin$ /mi.

Tables 4 and 5 detail the EDX calculations for an average model year 2002 car and light truck, respectively. The first three parts of the table itemize health-related criteria emissions

<sup>&</sup>lt;sup>1</sup> "T<sub>c</sub>" refers to metric tons expressed on a carbon-mass basis.

impacts for: (a) direct vehicle emissions; (b) fuel cycle emissions; and (c) emissions embodied in materials and vehicle assembly. Lifetime average (g/mi) emissions rates are multiplied by damage costs from Table 3 to obtain life cycle cost estimates in cents per mile. For the average car, the three criteria emissions components are  $0.40 \notin/mi$  (47%) at the vehicle,  $0.25 \notin/mi$  (29%) from the fuel cycle, and  $0.21 \notin/mi$  (24%) embodied, summing to  $0.86 \notin/mi$  (100% of life cycle criteria emissions impact as calculated here). The criteria emissions components for the average 2002 light truck are nearly equally distributed (48% at the vehicle, 29% from the fuel cycle, and 23% embodied), albeit with a sum total of  $1.21 \notin/mi-40\%$  higher than the criteria emissions total of the average 2002 car.

Greenhouse gas emissions calculations are shown in Tables 4(d) and 5(d). Emissions from each source, drawn from parts (a)-(c) of the table, are summed and then multiplied by the global warming potential (GWP) that represents the radiative forcing of each GHG species compared to that of CO<sub>2</sub> (Delucchi 1997b). The total lifetime average CO<sub>2</sub>-equivalent emission rate (e.g., 653 g/mi for the average car) is then multiplied by the quasi-damage cost chosen for GHG emissions. In earlier editions of the Green Book, the GHG impact and health-related (criteria emissions) impact were the same by definition, under our assumption that GHG emissions were as important as criteria emissions in determining the average vehicle's EDX. The past few years, however, we have maintained the MY1999 damage cost factor for GHG emissions so that its weighting now accounts for 56% of the EDX of both the average car and light truck. With this assumed GHG damage cost factor, GHG impacts total 1.12¢/mi for the average car and 1.55¢/mi for the average light truck. The GHG total breaks down as approximately 67% at the vehicle, 20% from the fuel cycle, and 13% embodied for the average car and nearly the same (68%, 20%, 12%, respectively) for the average light truck. The criteriaand GHG-related calculations are summarized in Tables 4(e) and 5(e), with resulting total EDXs of 1.98¢/mi and 2.76¢/mi, respectively, corresponding to Green Scores of 29 and 19.

Figure 2 illustrates how U.S. vehicles fall into two major classes: passenger cars (coupes, sedans, and station wagons) and light trucks (pickups, minivans, and sport utilities). The distributions are bimodal because of the different regulatory treatment of cars and light trucks. The EDX for the median passenger car is  $2.05 \notin/mi$ , while that for the median light-duty truck is  $2.80 \notin/mi$ , about 36% higher.

Most light trucks fall into the LDT2 category. For an LDT2, for example, the lowemission (LEV) NO<sub>x</sub> standard is 0.4 g/mi, half as stringent as the car standard of 0.2 g/mi. The differences in emissions are compounded by differences in fuel economy standards, which are 27.5 MPG for cars and 20.7 MPG for light trucks in 2002 (implying a 33% higher fuel consumption rate for the trucks). The mass disparity between the car and light truck classes serves to further reinforce the bimodality. Since light trucks account for nearly 50% of vehicle sales, the environmental degradation caused by MY2002 vehicles over their 12+ year lifetime will be dominated by light trucks.

#### **PUBLIC PRESENTATION OF RESULTS**

Representing a vehicle's environmental damage as a lifetime average external cost per mile, the EDX is an abstraction that may be difficult for many consumers to appreciate. Therefore, to facilitate communication and make it easier to compare vehicles, we derived from

the EDX two indicators to convey rankings in *ACEEE's Green Book*<sup>®</sup>. One is a Green Score on a higher-is-better scale of 0 to 100. The other is a set of class ranking symbols that compare vehicles within a given size class.

The Green Score allows comparisons both within and across classes. It is not tied to a particular model year, so it can accommodate updates to the methodology while maintaining a consistent scale for consumers. It also leaves room to reflect future improvements in vehicle environmental performance. To map the EDX from a  $[0,\infty]$  range inversely to the Green Score on a [0,100] range, we use a gamma function to spread out the scores for future "green" vehicles at the expense of less differentiation among current vehicles. Presently, in fact, the variability in EDX within most vehicle classes is relatively small. The mapping, shown in Figure 3, is:

Green Score = 
$$a \cdot \frac{e^{-EDX/c}}{(1 + EDX/c)^b}$$

with a = 100, b = 3 and c = 5.66/mi. A perfect score of 100 is unattainable since it would require an EDX of 0. Using the parameters shown, model year 2002 Green Scores range from 10 to 57, with an overall average of 22.

Table 6 presents EDX and Green Score results for a variety of past, present, and hypothetical future vehicles. A "pre-control" vehicle (e.g., a typical early 1960s car with no emissions controls, weighing 4,500 lb. and getting 14 MPG) would have an EDX of 7.47¢/mi and a score of 2. A roughly doubled-efficiency (53 MPG) 2,290 lb. gasoline vehicle that met the average Tier 2 NO<sub>x</sub> standard of 0.07 g/mi would have an EDX of 1.06¢/mi and a score of 50. An ultra-clean gasoline vehicle meeting the PNGV (1994) tripled-efficiency goal would have a score of 59. Green Scores could become much higher if low-carbon fuels become available, potentially exceeding 90 for ultralight fuel-cell vehicles as envisioned by Lovins (1995).

When car shopping, most consumers target a given vehicle class and are unlikely, for example, to consider a subcompact when looking for a minivan. To facilitate comparisons within classes, we developed the symbolic, five-tier class ranking scheme shown in Table 7. In assigning class rankings, we considered the number of vehicles in each class and natural breaks in the distribution rather than rigidly applying the cutpoints listed in the table. An additional constraint was that no vehicles that scored worse than the model year average (a Green Score of 22, corresponding to an EDX of 2.42 ¢/mi) could obtain the Superior ranking. Details of the EDX distributions and exact cutpoints used for each class are provided in Appendix B.

#### **AREAS FOR FUTURE WORK**

This methodology provides a flexible framework that can be refined and updated, as new data become available. The parameters and assumptions described in this document reflect updates made since the original 1998 edition. (Appendix C describes the updates made for this current edition.) Several areas for improvement are highlighted below and the authors look forward to receiving comments regarding other methodological issues to address.

#### **IN-USE EMISSIONS**

Characterization of in-use vehicle emissions is an ongoing area of effort. A multiyear time lag occurs from when a new vintage of vehicles is sold until in-use experience accumulates, data are gathered, and analysis is reported. Thus, it is necessary to rely on past data and modeling projections. Though not exactly following MOBILE 6, the EPA's proposed vehicle emissions model, we have attempted to keep this year's estimates generally consistent with its proposed assumptions. When the 2002 edition of ACEEE's Green Book® was released, EPA was in the process of finalizing MOBILE 6, and we expect to draw heavily on it as well as its supporting data and analyses for the next edition of our guide. Vehicles certified to the more stringent California standards and the Tier 1 Federal standards phased into the fleet since 1994 appear to have substantially better in-use performance than had been observed historically. The phase-in of the Supplemental Federal Test Procedure, which began in 2001 and requires better control of off-cycle emissions, should yield even greater improvements. ACEEE will continue to review data and adjust the in-use emissions parameters for each new model year. A greater commitment by government and industry to report extensive and realistic in-use emissions data will be most valuable for improving both government emissions analysis and our rating methodology.

#### MATERIALS USE AND MANUFACTURING IMPACTS

As noted earlier in this report, materials production and manufacturing (as well as endof-life) phases of the vehicle life cycle are poorly represented in the current methodology. The reason for this is a lack of data linked to makes and models. Room exists for further consultation with LCA experts, the industry, Federal and state agencies involved in industrial pollution issues, and other experts. Nevertheless, data limitations will remain a constraint unless an industry-wide system for gathering and reporting the relevant data is developed. Given sufficient research resources and opportunities for collaboration with academic, industry, and environmental experts, we hope to explore these issues further. If interest exists, we are open to holding a workshop or series of meetings that can lead to the development of improved characterizations of pre- and post-use phase impacts, including ways to rate material production, supply chain, assembly, recyclability and recycled content, and end-of-life management.

#### **CONSUMER RESPONSE STUDIES**

ACEEE's Green Book<sup>®</sup> is still a relatively new concept, the first edition having been released in March 1998. The understandability and usefulness of green rating information and how it is presented need to be investigated. It will be useful to solicit views and recommendations from market researchers and behavioral scientists who have experience in environmental ("green") purchasing generally, the automotive market, or both, as well as to perform market research on ACEEE's Green Book<sup>®</sup> itself. ACEEE will pursue such studies and will also coordinate with others in government, industry, and other organizations who are also interested in exploring consumer acceptance of new vehicle technologies and related topics regarding the potential for "green" buying in the automotive sector.

### CONCLUSION

Developing and refining ACEEE's Green  $Book^{\text{(*)}}$  involves exploring many issues related to the life cycle environmental impacts of vehicles and how they can be communicated to consumers. Our ratings can help foster a market for vehicle designs and technologies with reduced environmental burdens, which will be crucial for progress toward an environmentally sustainable transportation system. The authors welcome suggestions for improving ACEEE's *Green Book*<sup>(\*)</sup>: *The Environmental Guide to Cars and Trucks* in terms of both methodology and presentation.

	Phase of Product Life Cycle				
Environmental Concern	Materials Production	Product Manufacture	Product Distribution	Product Use	End of Life
Air Pollution	C	C		В	
Energy Consumption	C	C		А	
Greenhouse Gas Emissions	C	C		А	
Land Contamination					
Noise					
Water Pollution					
Worker/Community Health					
Other Ecosystem Damage					
Other Resource Consumption					

## Table 1. Life Cycle Assessment Matrix for Estimating Motor Vehicle Green Ratings

Status in the *ACEEE's Green Book*<sup>®</sup> methodology (blank cells indicate items not included):

A—Included explicitly, with good data quality and relatively high accuracy for discriminating among vehicles. B—Included explicitly, but with lower level of data quality and relatively high uncertainties.

C-Included only indirectly, with very aggregate or uncertain data.

Pollutant	Grams of Pollutant per kg of Vehicle <sup>a</sup>	Damage Cost <sup>ь</sup> (\$/kg Pollutant)	Cost \$/tonne of Vehicle
NO <sub>x</sub>	19.8	0.90	18
$SO_2$	24.3	4.25	103
$PM_{10}$	2.83	7.22	20
Subtotal			141
CO <sub>2</sub> °	5600	0.0175	98
TOTAL			239
Cents per pound of v	ehicle		10.9
Cents per pound of v (assuming 100,000 n	-		1.1×10 <sup>-4</sup>

## Table 2. Evaluation of Impacts from Vehicle Manufacturing (Embodied Emissions)

b. See discussion and Table 3, below.

c. Derived from DeLuchi (1991), Table 9, estimate of 55.9 g/mi for a 2,187 lb. car.

Marginal C	MARGINAL COST BY LOCATION OF EMISSIONS (1991\$/kg)				
Motor Vehicles <sup>a</sup>	Motor Refineries Electric				
0.03	0.006	0.003			
0.34	0.068	0.034			
4.50	0.90	0.45			
21.26	4.25	2.13			
36.12	7.22	3.61			
	Motor Vehicles <sup>a</sup> 0.03           0.34           4.50           21.26	Motor         Refineries           Vehicles a         and Factories b           0.03         0.006           0.34         0.068           4.50         0.90           21.26         4.25			

## Table 3. Damage Cost Estimates for Principal Air Pollutants

Notes:

a. Geometric mean of low and high health cost estimates from Delucchi (1997a), Table 1-A1.

b. Values for motor vehicles (a) reduced by a factor of 5.

c. Values for motor vehicles (a) reduced by a factor of 10.

#### Table 4. Environmental Damage Index (EDX) Calculation for an Average 2002 Car

#### Vehicle Attributes<sup>‡</sup>

<b>Emissions Standard</b>	LEV
Fuel Economy	28.3 MPG (unadjusted composite), 23.0 MPG (on-road)
Est. Curb Wt.	3,272 lb. (1,484 kg)

#### (A) Emissions at the Vehicle

Regulated	Emission	Implied	Real-World	Damage	Life Cycle
Emissions	Standard	Adjustment	Emissions	Cost	Cost
(by species)	(grams/mile)	Factor <sup>†</sup>	(grams/mile)	(\$/kg)	(cents/mile)
CO	3.4	1.65	5.6	0.03	0.017
HC	0.075	1.99	0.15	0.34	0.005
NO <sub>x</sub>	0.2	1.69	0.34	4.50	0.152
PM <sub>10</sub>	0.08	0.21	0.02	36.12	0.061
Fuel-Dependent	Emission		Emissions	Damage	Life Cycle
Emissions	Factor		Rate	Cost	Cost
(by species(	(grams/gallon)		(grams/mile)	(\$/kg)	(cents/mile)
Evaporative HC	10.2		0.44	0.34	0.015
SOx	1.62		0.07	21.26	0.149
$CH_4$	4.43		0.19	*	
N <sub>2</sub> O	3.25		0.14	*	
$\dot{CO}_2$	8200		356	*	

Subtotal (a): health-related pollution impacts at the vehicle (cents/mile)

0.399

### (B) Emissions from the Fuel Supply Cycle

Fuel-Dependent	Emission	Emissions	Damage	Life Cycle
Emissions	Factor	Rate	Cost	Cost
(by species)	(grams/gallon)	(grams/mile)	(\$/kg)	(cents/mile)
СО	6.25	0.27	0.007	0.0002
HC	6.13	0.27	0.068	0.002
NO <sub>X</sub>	8.50	0.37	0.90	0.033
$PM_{10}$	0.96	0.04	7.22	0.030
$SO_X$	9.88	0.43	4.25	0.182
$CH_4$	16.6	0.72	*	
N <sub>2</sub> O	0.18	0.01	*	
$CO_2$	2450	106	*	

Subtotal (b): health-related pollution impacts from fuel supply (cents/mile)

0.248

<sup>‡</sup> The Average MY2002 Car was selected as the actual light-duty vehicle most closely matching both fuel economy and vehicle weight estimates as identified in Hellman and Heavenrich (2001). This year, the Average Car is a 2002 Dodge Stratus Sedan, 2.4L 4-cyl, auto, with labeled fuel economy of 21/30 MPG (city/hwy) and inertial test weight (ITW) of 3500 lb. LEV vehicles were selected as the most representative emissions standard, given the introduction of the National Low-Emission Vehicle (NLEV) program in 2001.

<sup>†</sup> Ratio of estimated real-world emissions to emissions standard, resulting from the procedure described under "Vehicle Emissions" in the text.

\*Greenhouse gas with negligible health damage; these emissions are incorporated on the following page, in part (e).

## Table 4. EDX Calculation for an Average 2002 Car (continued)

Subtotal (c): health-related pollution impacts from production phase (cents/mile)

Species	Emissions Factor (grams/mile per tonne)	Emissions Rate (grams/mile)	Damage Cost (\$/kg)	Life Cycle Cost (cents/mile)
NO <sub>x</sub>	0.198	0.29	0.90	0.026
$PM_{10}$	0.0283	0.04	7.22	0.030
SO <sub>x</sub>	0.243	0.36	4.25	0.153
CO <sub>2</sub>	56.0	83.11	*	

## (C) Emissions Embodied in the Vehicle

## (D) Greenhouse Gas Emissions from all Sources

source: Species	At Vehicle (grams/mile)	Fuel Cycle (grams/mile)	Embodied (grams/mile)	Global Warming Potential (GWP)	CO <sub>2</sub> -Equiv. (Grams/Mile)
CO <sub>2</sub>	<u>(grains/ninc)</u> 355.90	106.33	<u>83.11</u>		545.34
HC	0.59	0.27	05.11	1	1.72
NO <sub>x</sub>	0.34	0.27	0.29	4	4.00
CO	5.61	0.27		5	29.43
$CH_4$	0.19	0.72		22	20.11
N <sub>2</sub> O	0.14	0.01		355	52.85
Sum weighted by	440.81	128.35	84.29	•	
GWP					
Total CO <sub>2</sub> -equivalent	GHG emissions, g	rams per mile:			653.45
Assumed damage cos	t factor for GHG er	nissions, per kg C	O <sub>2</sub> -equivalent:		\$0.0171
Subtotal (d): GHG in	mpacts (cents/mile	e)			1.117

## (E) Summary of EDX Calculation for an Average 2002 Car

Environmental Impact	Life Cycle Cost (cents/mile)
(a) At the vehicle health-related pollution	0.399
(b) Fuel cycle health-related pollution	0.248
(c) Embodied health-related pollution	0.210
Subtotal, health-related pollution (criteria emissions) impacts	0.857
(d) Greenhouse gas impacts	1.117
TOTAL Environmental Damage Index (EDX)	1.98

Corresponding MY2002 Green Score

29

0.210

# Table 5. Environmental Damage Index (EDX) Calculation for an Average 2002 LightTruck

Vehicle Attributes <sup>‡</sup>	
<b>Emissions Standard</b>	LEV
Fuel Economy	20.3 MPG (unadjusted composite), 16.3 MPG (on-road)
Mass	4,272 lb. (1,938 kg)

#### (A) Emissions at the Vehicle

Regulated	Emission	Implied	Real-World	Damage	Life Cycle
Emissions	Standard	Adjustment	Emissions	Cost	Cost
(by species)	(grams/mile)	Factor <sup>†</sup>	(grams/mile)	(\$/kg)	(cents/mile)
СО	4.4	1.44	6.3	0.03	0.019
HC	0.16	1.47	0.23	0.34	0.008
NO <sub>X</sub>	0.4	1.37	0.55	4.50	0.246
$PM_{10}$	0.1	0.21	0.02	36.12	0.076
<b>Fuel-Dependent</b>	Emission		Emissions	Damage	Life Cycle
Fuel-Dependent Emissions	Emission Factor		Emissions Rate	Damage Cost	Life Cycle Cost
-				U	•
Emissions	Factor		Rate	Cost	Cost
Emissions (by species)	Factor (grams/gallon)		Rate (grams/mile)	Cost (\$/kg)	Cost (cents/mile)
Emissions (by species) Evaporative HC	Factor (grams/gallon) 10.2		Rate (grams/mile) 0.63	Cost (\$/kg) 0.34	Cost (cents/mile) 0.021
Emissions (by species) Evaporative HC SO <sub>x</sub>	Factor (grams/gallon) 10.2 1.62		Rate (grams/mile) 0.63 0.10	Cost (\$/kg) 0.34 21.26	Cost (cents/mile) 0.021

Subtotal (a): health-related pollution impacts at the vehicle (cents/mile)

0.582

#### (B) Emissions from the Fuel Supply Cycle

	Emissions	Damage	Life Cycle
Factor	Rate	Cost	Cost
(grams/gallon)	(grams/mile)	(\$/kg)	(cents/mile)
6.25	0.38	0.007	0.0003
6.13	0.38	0.068	0.003
8.50	0.52	0.90	0.047
0.96	0.06	7.22	0.043
9.88	0.61	4.25	0.258
16.6	1.02	*	
0.18	0.01	*	
2450	151	*	
	(grams/gallon) 6.25 6.13 8.50 0.96 9.88 16.6 0.18	(grams/gallon)(grams/mile)6.250.386.130.388.500.520.960.069.880.6116.61.020.180.01	$\begin{array}{c ccccc} (grams/gallon) & (grams/mile) & (\$/kg) \\ \hline 6.25 & 0.38 & 0.007 \\ \hline 6.13 & 0.38 & 0.068 \\ 8.50 & 0.52 & 0.90 \\ 0.96 & 0.06 & 7.22 \\ 9.88 & 0.61 & 4.25 \\ 16.6 & 1.02 &* \\ 0.18 & 0.01 &* \end{array}$

<sup>‡</sup> The Average MY2002 Light Truck was selected as the actual light-duty truck most closely matching both fuel economy and vehicle weight estimates as identified in Hellman and Heavenrich (2001). This year, the Average Light Truck is a 2002 Ford F-150, 4.6L 8-cyl, auto, 2wd, with labeled fuel economy of 16/20 MPG (city/hwy) and inertial test weight (ITW) of 4500 lb. LEV vehicles were selected as the most representative emissions standard, given the introduction of NLEV in 2001.

<sup>†</sup>Ratio of estimated real-world emissions to emissions standard, resulting from the procedure described under "Vehicle Emissions" in the text.

\*Greenhouse gas with negligible health damage; these emissions are incorporated on the following page, in part (e).

## Table 5. EDX Calculation for an Average 2002 Light Truck (continued)

Species	Emissions Factor (grams/mile per tonne)	Emissions Rate (grams/mile)	Damage Cost (\$/kg)	Life Cycle Cost (cents/mile)
NO <sub>x</sub>	0.198	0.38	0.90	0.035
$PM_{10}$	0.0283	0.05	7.22	0.040
SOx	0.243	0.47	4.25	0.200
CO <sub>2</sub>	56.0	108.51	*	

## (C) Emissions Embodied in the Vehicle

Subtotal (c): health-related pollution impacts from production phase (cents/mile)	0.274
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## (D) Greenhouse Gas Emissions from all Sources

source:	At Vehicle	Fuel Cycle	Embodied	Global Warming	CO <sub>2</sub> -Equiv.
Species	(grams/mile)	(grams/mile)	(grams/mile)	Potential (GWP)	Grams/Mile
CO <sub>2</sub>	504.43	150.71	108.51	1	763.66
HC	0.86	0.38		2	2.48
NO <sub>x</sub>	0.55	0.52	0.38	4	5.81
CO	6.33	0.38		5	33.55
$CH_4$	0.27	1.02		22	28.50
N <sub>2</sub> O	0.20	0.01		355	74.90
Sum weighted by	616.94	181.92	110.05		
GWP					
Total CO <sub>2</sub> -equivalent	GHG emissions, g	rams per mile:			908.90
Assumed damage cost	factor for GHG er	nissions, per kg C	O <sub>2</sub> -equivalent:		\$0.0171
Subtotal (d): GHG ir	npacts (cents/mile	e)			1.554

## (E) Summary of EDX Calculation for an Average 2002 Light Truck

Environmental Impact	Life Cycle Cost (cents/mile)
(a) At the vehicle health-related pollution	0.582
(b) Fuel cycle health-related pollution	0.351
(c) Embodied health-related pollution	0.274
Subtotal, health-related pollution (criteria emissions) impacts	1.207
(d) Greenhouse gas impacts	1.554
TOTAL Environmental Damage Index (EDX)	2.76

Corresponding MY2002 Green Score

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Vehicle	Weight <sup>a</sup> (lb.)	Efficiency <sup>b</sup> (MPG)	Emissions Standard	EDX (¢/mi)	Green Score
Fuel cell vehicle, renewable hydrogen <sup>c</sup>	1690	80	ZEV	0.15	90
3x passenger car, ultra-clean PNGV <sup>d</sup>	2290	80	ULEV-2	0.79	59
Best 2002 vehicle: Honda Insight <sup>e</sup>	2250	66	SULEV	0.84	57
Contemporary hybrid: Toyota Prius	3000	58	SULEV	1.01	51
2x passenger car, Tier 2 <sup>f</sup>	2290	53	Tier 2	1.06	50
Well-rated gasoline car: Toyota Echo	2250	43	LEV	1.38	41
Average 2002 car <sup>g</sup>	3500	28	LEV	1.98	29
Average 2002 light truck <sup>h</sup>	4500	20	LEV	2.76	19
Average 1992 car <sup>i</sup>	3239	28	Tier 0	2.99	16
Worst 2002 vehicle: full-size pickup	5500	15	Tier 1	4.05	10
Pre-control car (1960s vintage) <sup>j</sup>	4500	14	None	7.47	2

# Table 6. Green Scores for Selected Model Year 2002 Vehicles plus Past and Future Vehicles

Notes:

- a. Inertial test weight (or curb weight plus 228 lb.).
- b. Composite unadjusted city/highway average gasoline equivalent MPG, based on 125,000 Btu/gallon.
- c. Assumes zero vehicle and fuel cycle emissions for hydrogen produced by solar-powered electrolysis, that curb weight is cut by half, and a 1%/yr decline in manufacturing emissions through 2010 (the assumed year of vehicle manufacture).
- d. Assumes all CO, HC, and NO<sub>x</sub> tailpipe emissions meet California's 120,000-mi ULEV-2 standard; (ULEV-2 reduces NO<sub>x</sub> to 0.05 g/mi); refer to Table A1d. PM emissions are determined by multiplying the ULEV PM total lifetime estimate (0.008 g/mi) by the ratio of the 120,000-mi ULEV-2 NO<sub>x</sub> standard to the 120,000-mi ULEV NO<sub>x</sub> standard (0.07/0.30). Evaporative hydrocarbon emissions are determined by multiplying our estimate of ULEV evaporative emissions, as noted in Delucchi (1997b) and shown in Table A2a, by the ratio of LEV to LEV II 3-day diurnal tests (0.5/2.0 g/test).
- e. SULEV certified with automatic CVT transmission.
- f. Vehicle efficiency and mass are based on Duleep (1997). Real world tailpipe emissions are assumed to be meeting the Tier 2 LDV Bin No. 5 emissions standard (corresponding to the manufacturer's average full life NO<sub>x</sub> standard of 0.07 g/mi), as noted in the Federal Register (2000). Evaporative emissions are determined by multiplying our estimate of Tier 1 evaporative emissions, as noted in Delucchi (1997b) and shown in Table A2a, by the ratio of Tier 2 to Tier 1 three-day diurnal tests (0.95/2.0 g/test).
- g. As defined in Table 4.
- h. As defined in Table 5.
- i. Assumes Tier 0 exhaust emissions standards and MY2000 (non-SFTP) Tier 1 evaporative emissions and real world emission factors (see DeCicco and Kliesch 2000, Tables A1a and A2a).
- j. Assumes vehicle emissions of 84 g/mi CO, 20 g/mi HC, and 4 g/mi NO<sub>x</sub> (Hwang 1997, 2), and the Tier 0 PM standard (0.20 g/mi).

Class Ranking	Symbol
Superior <sup>a</sup>	✓
Above Average	
Average	0
Below Average	$\nabla$
Inferior	×
	······································
	Superior <sup>a</sup> Above Average Average Below Average

# Table 7. Percentile Guidelines and Symbols for Within-Class Vehicle Rankings

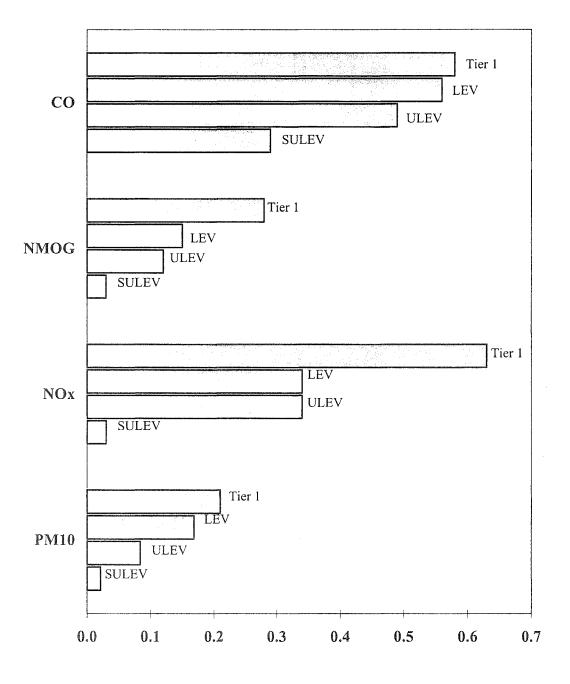
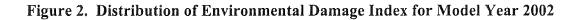
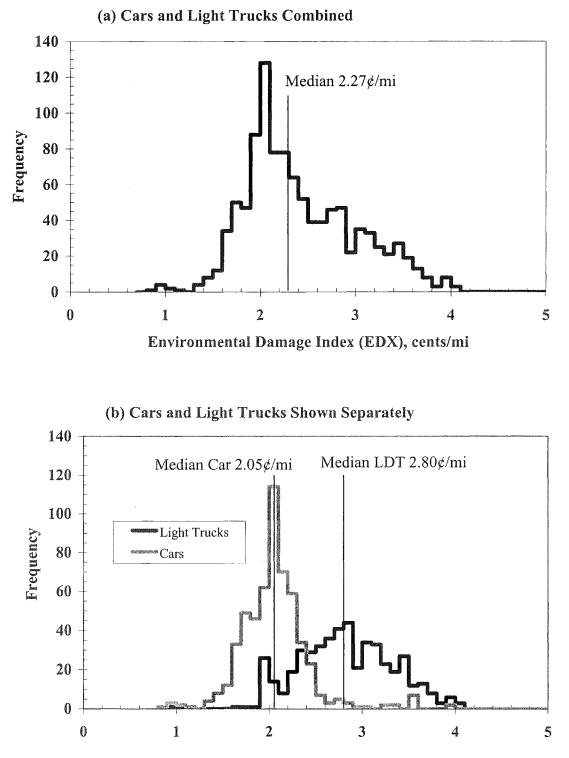


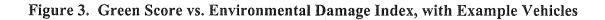
Figure 1. Lifetime Average In-Use Tailpipe Emissions for Gasoline Cars

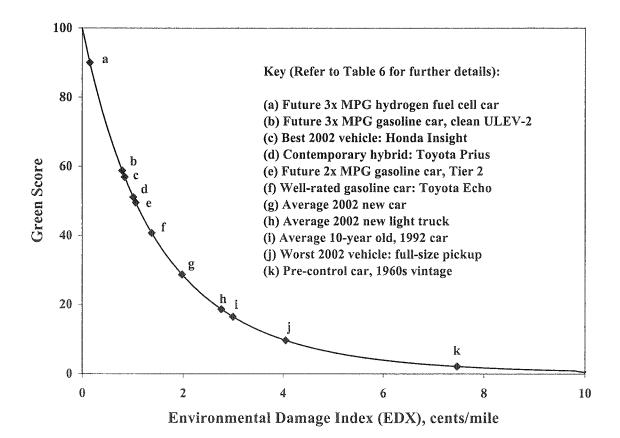
 $Emissions \ Rate \\ (NMOG \ and \ NO_x \ in \ g/mi, \ CO \ in \ 10 \ g/mi, \ PM_{10} \ in \ g/10 \ mi)$ 





Environmental Damage Index (EDX), cents/mile





33

#### Appendix A

#### **DETAILS OF EMISSIONS CHARACTERIZATION ESTIMATES**

#### Table A1. Lifetime Average Tailpipe Emissions Estimates

This multi-part table documents our estimates of tailpipe emissions from gasoline, diesel and compressed natural gas (CNG) vehicles according to emissions standards. All vehicles within a given light-duty class and fuel type are assumed to have the same real-world emissions. Real-world (in-use) emissions performance is known to differ significantly among models that meet the same nominal standard. However, an accepted procedure does not exist for measuring and estimating such differences for the purpose of discriminating among models.

Index to Subtables:

- a Tier 1 Gasoline Vehicles
- b Gasoline Transitional Low Emission Vehicles (TLEV)
- c Gasoline Low Emission Vehicles (LEV)
- d Gasoline Ultra-Low Emission Vehicles (ULEV)
- e Gasoline Super Ultra-Low Emission Vehicles (SULEV)
- f Tier 1 Diesel Vehicles
- g Ultra-Low Emission CNG Vehicles (ULEV)
- h Super Ultra-Low Emission CNG Vehicles (SULEV)

#### Load Scaling Factors

Weight Classif	ications for Federally Certified Vehicles	Median ITW		Ratio
LDV	All passenger cars	3500	а	1.00
LDT1	GVW 0-6000 lb. and LVW 0-3750 lb	3500	а	1.00
LDT2	GVW 0-6000 lb. and LVW 3751-5750 lb	4500	а	1.29
LDT3	GVW 6001-8500 lb. and ALVW 0-5750 lb	5275	b	1.51
LDT4	GVW 6001-8500 lb. and ALVW 5751-8500 lb	6000	а	1.71
HDT	(Class 2b) GVW 8501-10000 lb	6300	c, d	1.05

Weight Classific	ations for California Certified Vehicles	Median ITW		Ratio
PC	All passenger cars	3500	а	1.00
LDT1-CA	GVW 0-6000 lb, LVW 0-3750 lb	3500	а	1.00
LDT2-CA	GVW 0-6000 lb, LVW 3751-5750 lb	4500	а	1.29
MDV2-CA	GVW 6001-14000 lb, ALVW 3751-5750 lb	5275	b	1.51
MDV3-CA	GVW 6001-14000 lb, ALVW 5751-8500 lb	6000	а	1.71
MDV4-CA	GVW 6001-14000 lb, ALVW 8501-10000 lb	6300	c, d	1.05

VCW (Vehicle Curb Weight): The weight of the vehicle with all of its tanks full and components included but no passenger or luggage (load) adjustments.

GVW (Gross Vehicle Weight): The value specified by the manufacturer as a vehicle's maximum design loaded weight.

LVW (Loaded Vehicle Weight): The vehicle curb weight plus 300 lb. LVW = VCW + 300 lb.

ALVW (Average Loaded Vehicle Weight): The average of the vehicle's curb weight and gross vehicle weight: ALVW = (VCW + GVW) / 2

Notes: See the following page.

#### Table A1. Lifetime Average Tailpipe Emissions Estimates (continued)

All Tier 1, LEV, and ULEV HC and NO<sub>x</sub> emissions estimates for gasoline vehicles (LDV, LDT1, LDT2, LDT3, and LDT4) are derived from an EPA spreadsheet model incorporating proposed MOBILE 6 methodology. These estimates include off-cycle effects for HC and NO<sub>x</sub>; thus off-cycle adjustments are not necessary for these pollutants. Raw on-cycle CO emission rate data are also provided by EPA. Off-cycle CO adjustments are assumed to scale with vehicle weight (load). Emission rates for Class 2b trucks (HDTs) are scaled up from the basic rates as described below and shown in the subtables. PM emissions are estimated as described in the subtables.

#### Scaling Off-Cycle CO Emissions Factors, based on SFTP Implementation (gasoline)

Tier 1 Benefit	72%
LEV Benefit:	
LDV/LDT1	79%
LDT2	78%
LDT3	78%
LDT4	79%
A/C Penalty	(-) 20%

Scaling Emissions Factors from Cars to Light-duty Trucks (diesel) Source of emissions

Base In-Use	Scales with ratio of LDT Std : LDV Std
Off-cycle	Assumes 28% off-cycle, 78% in-use. See Table A1f

## Scaling Emissions Factors from LDT4s to Class 2b HDTs (gasoline and diesel)

Source of emissions

Lifetime Avg. Scales with ratio of HDT weight : LDV4 weight Estimate

Notes:

a. Median ITW for each weight class is derived from MY1999 data provided by EPA to ACEEE

- b. We assume the midpoint between LDT2 and LDT4
- c. Based on a review of industry HDT specifications, HDT weight is assumed to be 300 lb. greater than comparable LDT4s for the purpose of creating an HDT load-scaling factor.
- d. HDT emissions are scaled by load to LDT4 emissions. The ratio here is HDT weight/LDT4 weight.

## Table A1a. Tailpipe Emissions for a Gasoline Federally Certified (Tier 1) Vehicle (all rates in g/mi)

LDV	<b>CO</b> (a)	HC (b)	$NO_{x}(b)$	<b>PM</b> <sub>10</sub> (i)
Emissions Standard (c, g)	3.4	0.25	0.40	0.08
Base In-Use Estimate	4.2	0.27	0.56	
Off-Cycle Adjustment (d)	1.6			
Lifetime Avg Estimate	5.8	0.27	0.56	0.02
Ratio to Standard	1.71	1.08	1.41	0.26
LDT1	CO	HC	NOx	PM <sub>10</sub> (j)
Emissions Standard (g)	3.4	0.25	0.40	0.08
Base In-Use Estimate	4.2	0.28	0.58	
Off-Cycle Adjustment	1.6			
Lifetime Avg Estimate	5.8	0.28	0.58	0.02
Ratio to Standard	1.71	1.12	1.44	0.26
LDT2	CO	HC	NOx	PM <sub>10</sub> (j)
Emissions Standard (g)	4.4	0.32	0.70	0.08
Base In-Use Estimate	4.5	0.33	0.90	0.00
Off-Cycle Adjustment	1.9			
Lifetime Avg Estimate	6.4	0.33	0.90	0.02
Ratio to Standard	1.46	1.05	1.29	0.26
LDT3 / MDV2	СО	НС	NO,	<b>PM</b> <sub>10</sub> (h)
Emissions Standard (g)	4.4	0.32	0.70	0.1
Base In-Use Estimate	4.5	0.33	0.85	0.1
Off-Cycle Adjustment	2.1			
Lifetime Avg Estimate	6.6	0.33	0.85	0.02
Ratio to Standard	1.49	1.03	1.22	0.21
LDT4 / MDV3	CO (e)	HC	NO,	<b>PM</b> <sub>10</sub> (h)
Emissions Standard (g)	5.0	0.39	<u> </u>	0.12
Base In-Use Estimate	5.1	0.38	1.24	J, 1 W
Off-Cycle Adjustment	2.4	0.50		
Lifetime Avg Estimate	7.5	0.38	1.24	0.03
Ratio to Standard	1.50	0.99	1.12	0.21
Class 2b HDT (f)				
Load Scaling Factor: 1.05	СО	HC	NO	$PM_{10}$
Lifetime Avg Estimate	7.9	0.40	1.30	0.03

#### Table A1a. Tailpipe Emissions for a Gasoline Federally Certified (Tier 1) Vehicle (continued)

Notes:

- a. Raw CO emission rate data (including ZMLs) provided by personal communication with EPA. Deterioration rates interpolated from raw EPA data. Flex point selected as best approximation from raw data.
- b. Weighted average of RFG and Conventional gasoline values (33% RFG) from the EPA (Koupal 2001a) FER model.
- c. A PM<sub>10</sub> standard is not specified for gasoline vehicles; the diesel PM10 standard is shown.
- d. CO emission rates were provided by EPA for FTP (on-cycle) only (EPA 1999a). CO off-cycle adjustments are derived to account for both control improvement-related emissions reductions, and a modest CO increase due to air conditioning loading, as described in the text and Table A1. For HC and NO<sub>x</sub>, FER includes off-cycle effects, so off-cycle adjustment is not needed.
- e. CO FTP emission rates are provided by EPA for LDV/LDT1 and LDT2/LDT3 (EPA 1999a). Since no CO data was provided for LDT4s, we calculate LDT4 values as the FTP subtotal estimate of LDT3, multiplied by the ratio of the LDT4 standard to the LDT3 standard.
- f. Class 2b truck emissions are scaled from LDT4 emissions by a load-scaling factor. The Load Scaling Factor is the ratio of average Class 2b:LDT4 vehicle weight, 1.05, as described in Table A1.
- g. The 50,000-mile standard is shown unless otherwise noted. Federal LDT3 and California MDV2 50,000-mile standards are identical, as are LDT4 and MDV3 standards. The useful life standards differ slightly.
- For LDT3/MDV2 and LDT4/MDV3, there is no 50,000 mile standard, only a full-life, 120,000 mile standard. Since there is no 50,000 mile PM<sub>10</sub> standard, we adjust values by the ratio of the half-life to full-life standard for LDT2s (0.08 g/mi / 0.10 g/mi).
- i. Delucchi 1997b (GHG model Sheet H: Cell B21) estimates gasoline vehicle in-use  $PM_{10}$  emissions at 0.042 g/mi, based on a review of available measurements and comparison to EPA's Particulate emissions model (PART). For our calculation procedure, we represent these emissions by applying a downward adjustment factor to a "standard" in this case taken to be the same as the diesel  $PM_{10}$  standard. Based on recent evaluations of PM exhaust from vehicles with 3-way catalysts, we reduce this estimate by 50%.
- j. The ratio of actual to standard emissions is assumed to be the same for LDTs as for LDVs; the LDT standard is multiplied by this ratio to estimate actual emissions.

Table A1b. Tailpipe Emissions	or a Gasoline Transitional Low-Emission	Vehicle (TLEV)
(all rates in g/mi)		

LDV	CO (a)	HC (b)	$NO_{x}(c)$	PM <sub>10</sub> (d, e)
Emissions Standard	3.4	0.125	0.40	0.08
Base In-Use Estimate	4.2	0.21	0.56	
Off-Cycle Adjustment	1.6			
Lifetime Avg Estimate	5.8	0.21	0.56	0.02
Ratio to Standard	1.71	1.68	1.41	0.21
LDT1	СО	НС	NO	PM <sub>10</sub> (f)
Emissions Standard	3.4	0.125	0.40	0.08
Base In-Use Estimate	4.2	0.22	0.58	
Off-Cycle Adjustment	1.6			
Lifetime Avg Estimate	5.8	0.22	0.58	0.02
Ratio to Standard	1.71	1.75	1.44	0.21
LDT2	СО	НС	NOx	PM <sub>10</sub> (f)
Emissions Standard	4.4	0.16	0.70	0.1
Base In-Use Estimate	4.5	0.26	0.90	
Off-Cycle Adjustment	1.9			
Lifetime Avg Estimate	6.4	0.26	0.90	0.02
Ratio to Standard	1.46	1.61	1.29	0.21

Notes:

a. TLEV CO standard is the same as Tier 1 standard, so we use the Tier 1 estimate for TLEVs.

b. FER does not have an HC estimate for TLEV emissions. TLEV HC emissions are computed as an average of Tier 1 and LEV emissions levels at 50 ppm.

c. FER does not have a NO<sub>x</sub> estimate for TLEV emissions. TLEV NO<sub>x</sub> standard is the same as Tier 1 standards. We use the FER estimate for Tier 1 vehicles at 50 ppm.

d. A  $PM_{10}$  standard is not specified for gasoline vehicles; the diesel  $PM_{10}$  standard is shown. For TLEVs, there is no 50,000 mile standard, only a full-life 120,000 mile standard.

e. We maintain the same ratio (0.26 for 50,000 mile standards and 0.21 for full-life standards) of actual to standard PM10 emissions as used for Tier 1 vehicles (see Notes (h,i) in Table A1a)

f. The ratio of actual to standard emissions is assumed to be the same for LDTs as for LDVs; the LDT standard is multiplied by this ratio to estimate actual emissions.

## Table A1c. Tailpipe Emissions for a Gasoline Low-Emission Vehicle (LEV) (all rates in g/mi)

LDV	<b>CO</b> (a)	HC (b)	$NO_{x}(b)$	<b>PM<sub>10</sub></b> (f, g)
Emissions Standard	3.4	0.075	0.20	0.08
Base In-Use Estimate	4.2	0.15	0.34	
Off-Cycle Adjustment (c)	1.4			
Lifetime Avg Estimate	5.6	0.15	0.34	0.02
Ratio to Standard	1.65	1.99	1.69	0.21
LDT1	CO	нс	NOx	<b>PM</b> <sub>10</sub> (h)
Emissions Standard	3.4	0.075	0.20	0.08
Base In-Use Estimate	4.2	0.16	0.35	
Off-Cycle Adjustment	1.4			
Lifetime Avg Estimate	5.6	0.16	0.35	0.02
Ratio to Standard	1.65	2.11	1.75	0.21
LDT2	СО	HC	NO	<b>PM</b> <sub>10</sub> (h)
Emissions Standard	4.4	0.10	0.40	0.1
Base In-Use Estimate	4.5	0.18	0.51	
Off-Cycle Adjustment	1.7			
Lifetime Avg Estimate	6.2	0.18	0.51	0.02
Ratio to Standard	1.41	1.81	1.27	0.21
LDT3 / MDV2	СО	НС	NOx	PM <sub>10</sub> (h)
Emissions Standard	4.4	0.16	0.40	0.1
Base In-Use Estimate	4.5	0.23	0.55	
Off-Cycle Adjustment	1.8			
Lifetime Avg Estimate	6.3	0.23	0.55	0.02
Ratio to Standard	1.44	1.47	1.37	0.21
LDT4 / MDV3 (d)	СО	НС	NO,	PM <sub>10</sub> (h)
Emissions Standard	5.0	0.195	0.60	0.12
Base In-Use Estimate	5.1	0.27	0.72	
Off-Cycle Adjustment	2.0			
Lifetime Avg Estimate	7.1	0.27	0.72	0.03
Ratio to Standard	1.43	1.38	1.20	0.21
Class 2b HDT (e)				
Load Scaling Factor: 1.05	СО	HC	NO,	$PM_{10}(h)$
Lifetime Avg Estimate	7.5	0.28	0.76	0.03

#### Table A1c. Tailpipe Emissions for a Gasoline Low-Emission Vehicle (LEV) (continued)

Notes:

- a. Raw CO emission rate data (including ZMLs) provided by personal communication with EPA. Deterioration rates interpolated from raw EPA data. Flex point selected as best approximation from raw data.
- b. Weighted average of RFG and Conventional gasoline values (33% RFG) from the EPA (Koupal 2001a) FER model.
- c. CO emission rates were provided by EPA for FTP (on-cycle) only (EPA 1999a). CO off-cycle adjustments are derived to account for both control improvement-related emissions reductions, and a modest CO increase due to air conditioning loading, as described in the text and Table A1. For HC and NO<sub>x</sub>, FER includes off-cycle effects, so off-cycle adjustment is not needed.
- d. CO FTP emission rates are provided by EPA for LDV/LDT1 and LDT2/LDT3 (EPA 1999a). Since no CO data was provided for LDT4s, we calculate LDT4 values as the FTP subtotal estimate of LDT3, multiplied by the ratio of the LDT4 standard to the LDT3 standard.
- e. Class 2b truck emissions are scaled from LDT4 emissions by a load-scaling factor. The Load Scaling Factor is the ratio of average Class 2b:LDT4 vehicle weight, 1.05, as described in Table A1a.
- f. A  $PM_{10}$  standard is not specified for gasoline vehicles; the diesel  $PM_{10}$  standard is shown. For LEVs, there is no 50,000-mile standard, only a full-life 120,000 mile standard.
- g. We maintain the same ratio (0.26 for 50,000 mile standards and 0.21 for full-life standards) of actual to standard PM10 emissions as used for Tier 1 vehicles (see Notes (h,i) in Table A1a)
- h. The ratio of actual to standard emissions is assumed to be the same for LDTs as for LDVs; the LDT standard is multiplied by this ratio to estimate actual emissions.

LDV	<b>CO</b> (a)	HC (b)	$NO_{x}(b)$	PM <sub>10</sub> (e, f
Emissions Standard	1.7	0.04	0.20	0.04
Base In-Use Estimate	3.6	0.12	0.29	
Off-Cycle Adjustment (c)	1.3			
Lifetime Avg Estimate	4.9	0.12	0.29	0.008
Ratio to Standard	2.87	2.88	1.45	0.21
LDT1	СО	нс	NOx	PM <sub>10</sub> (g)
Emissions Standard	1.7	0.04	0.20	0.04
Base In-Use Estimate	3.6	0.12	0.30	
Off-Cycle Adjustment	1.3			
Lifetime Avg Estimate	4.9	0.12	0.30	0.008
Ratio to Standard	2.87	3.09	1.50	0.21
LDT2	СО	НС	NOx	PM <sub>10</sub> (g)
Emissions Standard	2.2	0.05	0.40	0.05
Base In-Use Estimate	3.6	0.13	0.47	
Off-Cycle Adjustment	1.5			
Lifetime Avg Estimate	5.2	0.13	0.47	0.011
Ratio to Standard	2.35	2.67	1.19	0.21
LDT3 / MDV2	СО	НС	NO,	PM <sub>10</sub> (g)
Emissions Standard	4.4	0.10	0.40	0.05
Base In-Use Estimate	3.6	0.18	0.51	
Off-Cycle Adjustment	1.7			
Lifetime Avg Estimate	5.3	0.18	0.51	0.011
Ratio to Standard	1.20	1.76	1.28	0.21
LDT4 / MDV3 (d)	СО	HC	NO,	PM <sub>10</sub> (g)
Emissions Standard	5.0	0.117	0.60	0.06
Base In-Use Estimate	4.1	0.19	0.73	
Off-Cycle Adjustment	1.8		100 mga	
Lifetime Avg Estimate	6.0	0.19	0.73	0.013
Ratio to Standard	1.20	1.65	1.22	0.21

## Table A1d. Tailpipe Emissions for a Gasoline Ultra-Low-Emission Vehicle (ULEV) (all rates in g/mi)

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#### Table A1d. Tailpipe Emissions for a Gasoline Ultra-Low-Emission Vehicle (ULEV) (continued)

Notes:

- a. Raw CO emission rate data (including ZMLs) provided by personal communication with EPA. Deterioration rates interpolated from raw EPA data. Flex point selected as best approximation from raw data.
- b. Weighted average of RFG and Conventional gasoline values (33% RFG) from the EPA (Koupal 2001a) FER model.
- c. CO emission rates were provided by EPA for FTP (on-cycle) only (EPA 1999a). CO off-cycle adjustments are derived to account for both control improvement-related emissions reductions, and a modest CO increase due to air conditioning loading, as described in the text and Table A1. For HC and NO<sub>x</sub>, FER includes off-cycle effects, so off-cycle adjustment is not needed.
- d. CO FTP emission rates are provided by EPA for LDV/LDT1 and LDT2/LDT3 (EPA 1999a). Since no CO data was provided for LDT4s, we calculate LDT4 values as the FTP subtotal estimate of LDT3, multiplied by the ratio of the LDT4 standard to the LDT3 standard.
- e. A  $PM_{10}$  standard is not specified for gasoline vehicles; the diesel  $PM_{10}$  standard is shown. For LEVs, there is no 50,000-mile standard, only a full-life 120,000 mile standard.
- f. We maintain the same ratio (0.26 for 50,000 mile standards and 0.21 for full-life standards) of actual to standard PM10 emissions as used for Tier 1 vehicles (see Notes (h,i) in Table A1a)
- g. The ratio of actual to standard emissions is assumed to be the same for LDTs as for LDVs; the LDT standard is multiplied by this ratio to estimate actual emissions.

## Table A1e. Tailpipe Emissions for a Gasoline Super-Ultra-Low-Emission Vehicle (SULEV) (all rates in g/mi)

LDV	СО	NMOG	NOx	$PM_{10}$
Emissions Standard (a)	1.0	0.01	0.02	0.01
Lifetime Avg Estimate	2.9	0.03	0.03	0.002
Ratio of Actual to Standard (b)	2.87	2.88	1.45	0.21

Notes:

a. The LDV SULEV standard is adopted from the LEV II 120,000-mile exhaust standard

b. The ratio of actual to standard emissions is assumed to be the same for SULEV LDVs as it is for ULEV LDVs.

### Table A1f. Tailpipe Emissions for a Tier 1 Diesel Vehicle (Tier 1-D)

(all rates in g/mi)

LDV	<b>CO</b> (a)	NMHC (a)	$NO_{x}(a)$	PM <sub>10</sub> (e)
Emissions Standard	3.4	0.25	1.0	0.08
Base In-Use Estimate	1.4	0.47	1.05	
Off-Cycle Adjustment (b)	0.5	0.18	0.41	
Lifetime Avg Estimate	1.9	0.65	1.46	0.17
Ratio to Standard	0.57	2.61	1.46	2.1
LDT1				
Load Scaling Factor: 1.00	CO	NMHC	NOx	$\mathbf{PM}_{10}(\mathbf{f})$
Emissions Standard	3.4	0.25	1.0	0.08
Base In-Use Estimate (c)	1.4	0.47	1.05	
Off-Cycle Adjustment	0.5	0.18	0.41	
Lifetime Avg Estimate	1.9	0.65	1.46	0.17
Ratio to Standard	0.57	2.61	1.46	2.1
LDT2				
Load Scaling Factor: 1.29	СО	NMHC	NOx	$PM_{10}(g)$
Emissions Standard	4.4	0.32	0.97	0.08
Base In-Use Estimate (c)	1.6	0.67	1.21	
Off-Cycle Adjustment	0.6	0.26	0.47	
Lifetime Avg Estimate	2.2	0.93	1.68	0.17
Ratio to Standard	0.50	2.91	1.73	2.1
LDT3 / MDV2				
Load Scaling Factor: 1.51	CO	NMHC	NO	$\mathbf{PM}_{10}\left(\mathbf{g}\right)$
Emissions Standard	4.4	0.32	0.98	0.1
Base In-Use Estimate (c)	1.6	0.67	1.22	
Off-Cycle Adjustment	0.6	0.26	0.48	
Lifetime Avg Estimate	2.2	0.93	1.70	0.17
Ratio to Standard	0.50	2.91	1.73	1.68
LDT4 / MDV3				
Load Scaling Factor: 1.71	CO	NMHC	NOx	$PM_{10}(g)$
Emissions Standard	5.0	0.39	1.53	0.12
Base In-Use Estimate (c)	1.8	0.82	1,91	
Off-Cycle Adjustment	0.7	0.32	0.74	
Lifetime Avg Estimate	2.5	1.13	2.65	0.20
Ratio to Standard	0.50	2.91	1.73	1.68
Class 2b HDT (d)				
Load Scaling Factor: 1.05	СО	NMHC	NOx	$\mathbf{PM}_{10}$
Lifetime Avg Estimate	2.6	1.19	2.78	0.21

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#### Table A1f. Tailpipe Emissions for a Tier 1 Diesel Vehicle (Tier 1-D) (continued)

Notes:

- a. EPA (1999c). EPA assumes the same diesel emission factors as Mobile 5b. HC, CO, and NO<sub>x</sub> ZML and Deterioration rates located on pp. 19, 21, 23.
- b. The Supplemental Federal Test Procedure (SFTP) Final Rule (Federal Register 1996) assumes apportions emissions as 28% off-cycle and 72% on-cycle. We adopt this apportionment because EPA does not provide estimates for off-cycle diesel LDVs and LDTs.
- c. EPA (1999c). All LDTs are assumed to have the same rate, i.e., no distinction is made between LDT1, LDT2, LDT3, and LDT4. We assume that the stated MOBILE 6 rates apply to LDT2s and multiply the FTP subtotal estimate by the ratio of LDT3 and 4 standards to the LDT 2 standard. LDT1 standards are the same as LDVs, so we assume the same emission rates. Standards are at 50,000 miles, with the exception of NO<sub>x</sub> LDT2-4, for which only 120,000-mile standards exist.
- d. Class 2b truck emissions are scaled from LDT4 emissions by a load-scaling factor. The Load Scaling Factor is the ratio of average Class 2b:LDT4 vehicle weight, 1.05, as described in Table A1.
- e. Delucchi does not estimate  $PM_{10}$  emissions from light-duty diesel vehicles. Wang 1996 (Table 10, p. 43) estimates the ratio of (0.12 g/mi / 0.03 g/mi) = 4 for diesel-to-gasoline  $PM_{10}$  emissions; we apply the ratio to the Delucchi 1997b (Sheet H: cell B21) estimate of 0.042 g/mi for gasoline vehicles, to obtain 0.17 g/mi  $PM_{10}$  for diesel LDVs.
- f. The off-cycle emission rates for LDVs are multiplied by the load-scaling factor to obtain LDT rates, as described in the Table A1.
- g. Diesel PM<sub>10</sub> emissions were estimated by applying the LDV actual-to-standard ratio to the PM standard for these classes. Since there is no 50,000 mile PM standard for LDT2/MDV3 or LDT4/MDV3, we adjust values by the ratio of the half-life to full-life standard for LDT2s (0.08 g/mi : 0.10 g/mi).

## Table A1g. Tailpipe Emissions for a CNG Ultra-Low-Emission Vehicle (ULEV)

(all rates in g/mi)

LDV	CO	NMOG	NOx	$PM_{10}$
Emissions Standard (a, b)	1.7	0.04	0.20	0.040
Lifetime Avg Estimate (c)	3.1	0.03	0.25	0.001
Ratio of Actual to Standard	1.82	0.80	1.24	0.025
LDT1	СО	NMOG	NOx	$PM_{10}$
Emissions Standard (a, b)	1.7	0.04	0.20	0.040
Lifetime Avg Estimate (c)	3.1	0.03	0.25	0.001
Ratio of Actual to Standard (d)	1.82	0.80	1.24	0.025
LDT2	СО	NMOG	NOx	$\mathbf{PM}_{10}$
Emissions Standard (a, b)	2.2	0.05	0.40	0.050
Lifetime Avg Estimate (c)	4.0	0.04	0.50	0.001
Ratio of Actual to Standard (d)	1.82	0.80	1.24	0.025
LDT3 / MDV2	СО	NMOG	NOx	$PM_{10}$
Emissions Standard (a, b)	4.4	0.10	0.40	0.050
Lifetime Avg Estimate (c)	8.0	0.08	0.50	0.001
Ratio of Actual to Standard (d)	1.82	0.80	1.24	0.025
LDT4 / MDV3	СО	NMOG	NOx	PM <sub>10</sub>
Emissions Standard (a, b)	5.0	0.117	0.60	0.060
Lifetime Avg Estimate (c)	9.1	0.09	0.74	0.002
Ratio of Actual to Standard (d)	1.82	0.80	1.24	0.025

Notes:

a. The 50,000-mile standard.

b. A PM<sub>10</sub> standard is not specified for CNG vehicles; the diesel PM<sub>10</sub> standard is shown.

c. GREET v.1.5 (Wang 1999). Worksheet Vehicles: G 56-60. Assumes "near term technology."

d. The ratio of actual to standard emissions is assumed to be the same for LDTs as it is for LDVs; the LDT standard is multiplied by this ratio to estimate actual emissions.

LDV	СО	NMOG	NOx	$\mathbf{PM}_{10}$
Emissions Standard (a)	1.0	0.01	0.02	0.010
Lifetime Avg Estimate	1.8	0.01	0.02	0.000
Ratio of Actual to Standard	1.82	0.80	1.24	0.025
MDV2	СО	NMOG	NO,	<b>PM</b> <sub>10</sub> (c)
Emissions Standard (b)	2.2	0.05	0.2	0.05
Lifetime Avg Estimate	4.0	0.04	0.25	0.001
Ratio of Actual to Standard	1.82	0.80	1.24	0.025
MDV3	СО	NMOG	NOx	<b>PM</b> <sub>10</sub> (c)
Emissions Standard (b)	2.5	0.059	0.3	0.06
Lifetime Avg Estimate	4.6	0.05	0.37	0.002
Ratio of Actual to Standard	1.82	0.80	1.24	0.025

#### Table A1h. Tailpipe Emissions for a CNG Super-Ultra-Low-Emission Vehicle (SULEV) (all rates in g/mi)

Notes:

a. The LDV SULEV standard is adopted from the LEV II 120,000-mile exhaust standard.

b. All SULEV standards are for 120,000 miles.

c. A  $PM_{10}$  standard is not specified for CNG vehicles; the diesel  $PM_{10}$  standard is shown.

d. The ratio of actual to standard emissions is assumed to be the same for MDVs as it is for LDVs; the MDV standard is multiplied by this ratio to estimate actual emissions.

	Gasoline	Diesel	CNG		
Pollutant (vehicle standard)	(g/gal)	(g/gal)	(g/gal)	Notes	
HC evap (Tier1)	13.9	0	0	(b)	
HC evap (TLEV, LEV, ULEV)	10.2	0	0	(c)	
HC evap (SULEV)	2.55	0	0	(d)	
HC evap (PZEV SULEV)	0	0	0	(d)	
HC evap (HDT)	20.9	0	0	(e)	
SO <sub>x</sub>	1.6	2.6	0.037	(f)	
$CH_4$	4.4	0.47	45.6	(f)	
N <sub>2</sub> O	3.3	0.35	2.59	(f)	
$CO_2$ , g/gal	8200	9890	6250	(f)	
CO <sub>2</sub> , g/MJ	62.2	67.6	47.4	(g)	

#### Table A2a. Fuel Consumption-Dependent Emission Factors: Vehicle In-Use Emissions

**Emission Factors (a)** 

Notes:

Emission factors are derived from Delucchi (1997b), with spreadsheet references given in brackets [], except as otherwise noted.

- a. Gasoline and CNG values are per gallon of gasoline equivalent ("gge," 125,000 Btu/gal); diesel values are per gallon of diesel (138,700 Btu/gal).
- b. Delucchi gives 0.47g/mi for evaporative NMOG [H:B15] for a 29.5 MPG vehicle [C:B16], which implies the 13.9 g/gal value used here.
- c. The EPA and CARB evaporative emissions standards are the same in g/test, but the CARB test is more stringent (EPA 1997). Therefore, we estimate evaporative emissions for CARB-certified gasoline vehicles by scaling the Delucchi-derived Tier 1 estimates downward by the ratio of CARB to Tier 1 estimates as given in Mobil 5a (Newell 1997): (0.28 g/mi / 0.38 g/mi )\* 13.9 g/gal = 10.2 g/gal. For diesel and CNG, we assume zero evaporative emissions, as in Delucchi (1997b).
- d. Gasoline vehicles with the PZEV credit are modeled with zero evaporative emissions. Evaporative emissions levels for non-PZEV gasoline SULEV vehicles are estimated by multiplying our estimate of gasoline ULEV evaporative emissions, as noted in Delucchi (1997b), by the ratio of LEV to LEV II 3-day diurnal tests (0.5/2.0 = 2.55 g/test). All SULEV CNG vehicles are assumed to have zero evaporative emissions.
- e. HDT evaporative emissions are estimated as the product of the Tier 1 emissions estimate multiplied by the ratio of Tier 1: HDT evaporative standards (3.0 g/test : 2.0 g/test). The test procedures are the same for LDTs and HDTs.
- f. Emissions estimates for CH4, N2O, and  $CO_2$  were derived as follows: For gasoline, the values assume standard (not reformulated) gasoline [H: 48-62], and converted from g/mi to g/gal using Delucchi's model vehicle assumption of 29.5 MPG. The same procedure was followed for CNG vehicles. For diesel, since Delucchi does not estimate light-duty diesel emissions, we use his heavy-duty diesel g/mi estimates [H: 87-94] and convert them to g/gal using his modeled heavy-duty diesel vehicle fuel economy of 5.9 MPG. SO<sub>x</sub> emission factors are based on the sulfur content of the fuel, as given in Delucchi (1997b).
- g.  $CO_2$  results are also shown in terms of a common energy unit, grams per megajoule (g/MJ). (1055 MJ = 1 MBtu).

	Gasoline	Diesel	CNG		Electricity
Pollutant	(g/gal)	(g/gal)	(g/gal)	Notes	(g/kWh) (i)
NMOG	6.1	1.6	1.0	(a)	0.010
$CH_4$	16.6	13.4	41.8	(b)	0.008
CO	6.3	5.1	3.8	(c)	0.095
N <sub>2</sub> O	0.18	0.11	0.05	(d)	0.027
NO <sub>x</sub>	8.5	6.4	6.9	(e)	2.031
SO <sub>x</sub>	9.9	5.6	2.0	(f)	2.114
$PM_{10}$	1.0	0.7	0.5	(g)	0.070
CO <sub>2</sub>	2450	1470	1190	(h)	647

#### Table A2b. Fuel Consumption-Dependent Emission Factors: Upstream Emissions from Fuel Production, Distribution, and Vehicle Refueling

Notes:

All values are from the Delucchi (1997b) GHG Model, with spreadsheet references given in brackets []. Values given in g/MBtu (grams per million Btu) were converted to g/gge (grams per gallon of gasoline equivalent) using a higher heating value of 125,000 Btu/gal for gasoline.

- a. NMOG: Table 10f [K: 224]
- b. CH<sub>4</sub>: Table 10b [K: 124]
- c. CO: Table 10d [K: 184]
- d. N<sub>2</sub>O: Table 10c [K: 154]
- e. NO<sub>x</sub>: Table 10e [K: 214]
- f. SO<sub>x</sub>: Table 10g [K: 274]
- g. PM<sub>10</sub>: Table 10h [K: 304]
- h. CO<sub>2</sub>: Table 10a [K: 94]

i. National average generation mix, as detailed in Table A2c on the following page.

#### Table A2c. Emission Factors for Electric Vehicle Recharging

#### Key Assumptions and Parameters:

	Foss	sil Fuel Res	ource and Tech	nology		
			Natural Gas	Natural Gas	Electricity	
	Coal	Oil	Boiler	Turbine	Nuclear	
Generation Mix (a)	56.5%	2.2%	7.1%	1.4%	18.6%	Average Net
Generation Efficiency	34.5%	34.5%	33.0%	33.0%		Efficiency:
Distribution Efficiency	92.0%	92.0%	92.0%	92.0%	92.0%	31.2%
Emission Rates						
(g/MBtu input)	_					
NMOG	1.36	2.30	0.64	1.92		
$CH_4$	0.91	0.85	0.13	10.89		
CO	11.34	15.15	18.10	49.90		
N <sub>2</sub> O	4	2	2	2		
NO <sub>x</sub>	306	126	155	124		
SO <sub>x</sub>	341	197	0	0		
$PM_{10}$	11.30	5.60	0.14	1.90		
CO <sub>2</sub> (kg/MBtu)	95.3	75.0	53.5	53.5		

#### **Resulting Estimates:**

Emissions per unit of			Natural Gas	Natural Gas	National	Average
delivered power (g/MBtu)	Coal	Oil	Boiler	Turbine	Average	g/kWh
NMOG	4.3	7.2	2.1	6.3	2.8	0.010
$CH_4$	2.9	2.7	0.4	35.9	2.2	0.008
CO	35.7	47.7	59.6	164	27.8	0.095
N <sub>2</sub> O	12.6	6.3	6.6	6.6	7.8	0.027
NO <sub>x</sub>	964	398	511	408	595	2.031
SO <sub>x</sub>	1073	621	0.9	0.9	620	2.114
$PM_{10}$	35.6	17.6	0.5	6.3	20.6	0.070
CO <sub>2</sub> (kg/MBtu)	300	236	176	176	190	647

#### Nuclear Power Externality Cost

Damage cost (c/kWh)	0.61	(b)
Generation share	18.6%	(c)
Cost (c/kWh)	0.11	
Non-nuclear electricity cost	0.57	(d)
Overall external electricity cost c/kWh)	0.68	

Notes:

Source: Delucchi (1997b) GHG Model, Sheets D, J. DOE (1997) Electric Power Annual 1996, Vol. I, table 8.

- a. National average generation mix. The remainder is from renewable sources which are assumed to have zero or negligible emissions of the pollutants considered.
- b. From Ottinger et al. (1991), "Environmental Costs of Electricity," p. 34 ("starting point" values), but counting only routine operations and decommissioning costs.
- c. From DOE (1998). Annual Energy Outlook, Table A8, p. 112.
- d. Derived from average g/kWh emission rates as given above, and damage costs as noted in Table 3.

		Production Fraction of Production Energy by Fuel Energy <i>fraction of oil*</i> Natural						
	Content	Energy			•		Natural	
Material	Fraction	(Btu/lb)	Coal	Oil	residual	distillate	Gas	Electricity
Plain Carbon Steel	45.1%	13,315	0.59	0.06	78.2%	21.8%	0.23	0.13
Iron	14.6%	8,445	0.65	0.06	78.2%	21.8%	0.25	0.04
High Strength Steel	7.5%	20,876	0.59	0.06	78.2%	21.8%	0.23	0.13
Plastics, Composites	7.1%	61,433	0	0.28	74.3%	25.7%	0.70	0.02
Fluids, Lubricants	5.7%	0	0	0	90.9%	9.1%	0	0
Aluminum	5.0%	44,352	0.04	0.05	50.0%	50.0%	0.60	0.31
Rubber	4.3%	38,307	0.20	0.30	78.0%	22.0%	0.41	0.10
Glass	2.7%	8,408	0.02	0.18	66.7%	33.3%	0.75	0.05
Other (Lead)	2.6%	6,273	0.37	0.03	50.0%	50.0%	0.30	0.30
Copper	1.6%	46,303	0.56	0.19	50.0%	50.0%	0.13	0.11
Other Steel	1.5%	13,315	0.59	0.06	78.2%	21.8%	0.23	0.13
Stainless Steel	1.0%	22,220	0.63	0.06	78.2%	21.8%	0.20	0.11
Powdered metal	0.7%	3,926	0.03	0.38	66.7%	33.3%	0.29	0.29
Zinc die cast	0.6%	32,743	0.35	0	50.0%	50.0%	0.54	0.10
Sodium	0.0%	15,658	0.26	0.01	63.6%	36.4%	0	0.73
Titanium	0.0%	60,498	0.03	0.18	50.0%	50.0%	0.10	0.69
Sulfur	0.0%	• 443	0.60	0	63.6%	36.4%	0.30	0.10

### Table A3a. Emissions from Vehicle Manufacture and Assembly:

Vehicle Composition and the Energy Associated with Materials Production

Notes:

Source: DeLuchi (1991), Table P.4, for a typical light-duty gasoline vehicle.

\* From Manufacturing Energy Consumption Survey (MECS), DOE (1991)

		Residual	Distillate	Natural					
Material	Coal	Oil	Oil	Gas	Electricity				
Plain Carbon Steel	3,543	282	79	1,381	781				
High Strength Steel	924	73	20	360	204				
Stainless Steel	140	10	3	44	24				
Other Steel	118	9	3	46	26				
Iron	801	58	16	308	49				
Plastics, Composites	0	907	314	3,053	87				
Fluids, Lubricants	0	0	0	0	0				
Rubber	329	385	109	675	165				
Aluminum	89	55	55	1,331	687				
Titanium	0	0	0	0	0				
Glass	5	27	14	170	11				
Copper	415	70	70	96	81				
Zinc die cast	69	0	0	106	20				
Powdered metal	1	7	3	8	8				
Other (Lead)	60	2	2	49	49				
Sodium	0	0	0	0	0				
Sulfur	0	0	0	0	0				
TOTAL	6,493	1,888	689	7,629	2,193				
Electricity use in vehicle a	ssembly (use of	other fuels assur	ned negligible)		5,000				
Total embodied electricity	(Btu per pound	of vehicle)	Total embodied electricity (Btu per pound of vehicle)						

# Table A3b. Emissions from Vehicle Manufacture and Assembly:Energy for Materials Production by Fuel (Btu per pound of vehicle)

	Energy	Btu/lb. of			
FUEL					
(units of energy content)	Content (a)	vehicle (b)	NO <sub>x</sub>	$SO_2$	$PM_{10}$
Coal (MBtu/ton)	28	6,493			
Emission factor, lb/ton (c)			14.4	34.2	
g/MBtu			233.28	554.04	
Embodied emissions, g/lb			1.5	3.60	
Residual oil (MBtu/gal)	0.147	1,888			
Emission factor, lb/1000 gal (c)			42	3.254	
g/MBtu			129.31	10.02	
Embodied emissions, g/lb			0.24	0.019	
Distillate oil (MBtu/gal)	0.138	689			
Emission factor, lb/1000 gal (c)			20	0.288	
g/MBtu			65.94	0.95	
Embodied emissions, g/lb			0.0456	0.0007	
Natural Gas (Btu/cf)	1,020	7,629			
Emission factor, lb/Mcf (c)			555	0.6	
g/MBtu			246.81	0.27	
Embodied emissions, g/lb			1.9	0.002	
Electricity (Btu/kWh)	3,412	7,193			
Emissions factor, g/MBtu (d)	- ,		740	1200	26
Embodied emissions, g/lb			5.3	8.6	0.2
Total Embodied Emissions					
grams per pound of vehicle			9.02	12.25	0.19
grams per kilogram of vehicle			19.84	26.95	0.41
adjusted for sulfur reductions (e)			19.84	24.26	0.41
adjusted for toxics release (f)			19.84	24.26	2.83
g/mi/kg, over a 100,000 mile vehicle li	fetime		1.98E-004	2.43E-004	2.83E-005

## Table A3c. Emissions from Vehicle Manufacture and Assembly:

Emission Factors and Summation of Embodied Emissions by Fuel

#### Notes:

a. Babcock and Wilcox (1978); 1 MBtu =  $10^6$  Btu, cf = cubic foot.

b. From Table A3b.

Electric Power Annual 1994 Vol. 2, Table A.3 (DOE 1995), assuming sulfur contents of 0.9% for bituminous c. coal, 2.0% for residual oil, and 0.2% for distillate.

d. Energy Innovations (1997).

e. The SO<sub>2</sub> estimate is reduced 10% to reflect improved SO<sub>x</sub> controls from implementation of the 1990 Clean Air Act Amendments.

f. By adding 2.42 g of toxics per kg of vehicle mass, as derived from Keoleian et al. (1997) and described in the text.

à.

#### Appendix **B**

#### VEHICLE INCLUSION AND CLASSIFICATION

The foundation for inclusion and classification of vehicles in ACEEE's Green  $Book^{\circledast}$  is the EPA database of models certified as meeting the applicable regulatory standards in the United States in a given model year. ACEEE provides ratings only for vehicles offered for general sale by established automakers having a mass-production track record. Concept vehicles, prototypes, and pre-market test products not yet offered for general sale will not be listed; neither will aftermarket devices or conversion vehicles, or other vehicles not certified under U.S. safety and emissions regulatory programs. Makes and models not included in the applicable government certification databases are not eligible for inclusion in ACEEE's Green  $Book^{\circledast}$ . Although ACEEE will attempt to rate all vehicles eligible as noted here, ACEEE cannot assure the listing of all vehicles that might be deemed eligible.

Classification is important to the presentation of environmental rating information, since the market is segmented into classes and most consumers compare a given model with others in its class. Yet no classification scheme is perfect. Class boundaries based on well-defined dimensions can result in seemingly arbitrary class distinctions among vehicles that fall near the boundaries. The market is, moreover, continuously evolving. A notable class that is important today, minivans, did not even exist 20 years ago. Today's most rapidly growing segment, luxury sport utility vehicles, is a far cry from the utilitarian jeeps and work vehicles of the past. The lines between station wagons, minivans, and sport utilities can be quite fuzzy. These segments have been in flux, with emerging "crossover vehicles" such as Chrysler's PT Cruiser and Subaru's Forester (the latter classified by EPA as a Special Purpose Vehicle but being similar to a Midsize Wagon with 4-wheel drive). Because crossover vehicles don't fit exactly into the designated *Green Book* vehicle classes, they have been listed in the class to which they are most related, or that best reflects their position in the market.

The starting point for our classification scheme is the one used by EPA in its databases and as used in the annual *Fuel Economy Guide* (DOE 2001). This publication is generally released in October of the calendar year proceeding the nominal model year; for example, DOE (2001) is the *Model Year 2002 Fuel Economy Guide*. It defines car classes based on interior volume, with a body style distinction separating wagons from coupes and sedans, and it defines light truck classes based on body styles.

#### **Passenger Cars**

For passenger cars, we use a slight aggregation of the EPA size classes. The EPA classification is based on the sum of passenger and luggage volume, with the specific volume cut-off for each class as specified in the *Fuel Economy Guide*. We combine Minicompacts and Subcompacts into a single class which we term Subcompact Cars. We combine Midsize Station Wagons and Large Station Wagons into a single class, which we term Midsize Wagons. The resulting classes are: Two Seaters, Subcompact Cars, Compact Cars, Midsize Cars, Large Cars, Small Wagons, and Midsize Wagons.

#### **Light-Duty Trucks**

For light trucks, we significantly modify the EPA size classes, disaggregating vehicles further than is done in the *Fuel Economy Guide*. Wishing to better represent the characteristics of the vehicles from a market perspective, we adopt a classification similar to those in consumer guides such as *The Truck, Van and 4x4 Book* (Gillis 1998) and *Consumer Reports* (1997).

**Pickups.** EPA classes divide pickups into Small and Standard based on Gross Vehicle Weight Ratings. These definitions lead to trucks such as the Ford Ranger and Ford F-150 being classified together. To separate these clearly different market segments but still maintain a simple rating system, we classify pickups by their wheelbase (a specification routinely reported by manufacturers). We use the roughly bimodal distribution of pickups by wheelbase to classify pickups as either Compact (Chevrolet S10, Ford Ranger and Dodge Dakota) or Standard (Chevrolet Silverado, Ford F-150, and Dodge Ram). In addition, we do not classify four-wheel drive (4WD) and two-wheel drive (2WD) pickups separately as in the EPA classification.

**Vans.** The *Fuel Economy Guide* divides vans into Passenger and Cargo without clear distinctions. It also has separate classes for 2WD and 4WD Special Purpose Vehicles, which incorporate many models having consumer characteristics similar to passenger vans or sport utilities. In this case, we largely abandon the EPA classifications. We again use wheelbase as a determinant and use the roughly bimodal distribution to classify vans as either Minivans or Large Vans. This classification is also consistent with the consumer guides. The only model that does not fit clearly into either category is the Chevrolet Astro and GMC Safari twin. Based on *The Truck, Van and 4x4 Book* and *Consumer Reports*, we classify it as a Minivan. EPA classifies the Chrysler Town & Country, Dodge Caravan, Ford Windstar, Chevrolet Venture and Oldsmobile Silhouette as Special Purpose Vehicles and not as vans. We classify them all as Minivans.

**Sport Utility Vehicles.** Most sport utility vehicles are classified by EPA as Special Purpose Vehicles (2wD or 4wD). We use a classification scheme more representative of market segments, distinguishing, for example, between vehicles such as the Chevrolet Tracker and the GMC Yukon. Again, wheelbase provides a good determinant. The three-class division (Compact, Midsize, and Large) used in *The Truck, Van and 4x4 Book* appears well suited for classifying sport utility vehicles. Examples of Small Utilities include the Chevrolet Tracker, Ford Escape, and Toyota RAV4. Medium Utilities include the Chevrolet Blazer and Jeep Grand Cherokee. Large Utilities, typically built on Standard Pickup frames, include the Chevrolet Suburban and Ford Expedition. We avoid a classification distinction between 4wD and 2wD, listing these drivetrain variants together within a given utility vehicle size class.

#### Distributions of EDX by Vehicle Class

The distributions of EDX for all cars, all light trucks, and the overall model year 2002 light-duty fleet is given in Figure 2. Table B1 identifies the EDX cutpoints used to determine the symbolic within-class rankings assigned to vehicles in *ACEEE's Green Book*<sup>®</sup>, based on the criteria shown in Table 7.

	Class Ranking Upper Limits (EDX, ¢/mi) <sup>a</sup> Above Below					
Vehicle Class	Superior	Average	Average	Average	Inferior	
Percentile Guideline	95% +	80%-95%	35%-80%	∇ 15%–35%	0-15%	
Two Seaters	1.10	1.92	2.27	2.65	>2.65	
Subcompact Cars	1.56	1.75	2.14	2.28	>2.28	
Compact Cars	1.46	1.71	2.05	2.21	>2.21	
Midsize Cars	1.76	1.96	2.18	2.33	>2.33	
Large Cars	1.98	2.05	2.27	2.47	>2.47	
Small Wagons	1.70	1.90	2.10	2.30	>2.30	
Midsize Wagons	1.66	1.90	2.16	2.30	>2.30	
Compact Pickups	1.95	2.20	2.77	2.95	>2.95	
Standard Pickups	2.42	2.84	3.19	3.50	>3.50	
Compact SUVs	1.87	1.98	2.29	2.48	>2.48	
Midsize SUVs	2.37	2.54	2.83	3.11	>3.11	
Large SUVs	2.42	3.20	3.60	3.76	>3.76	
Minivans	2.25	2.37	2.62	2.84	>2.84	
Large Vans	2.42	2.89	3.30	3.45	>3.45	

## Table B1. Cutpoints Used to Determine Class Rankings for Model Year 2002 Vehicles

Notes:

a. A vehicle is assigned a given class ranking if its environmental damage index (EDX) is less than the cutpoint for the ranking and, for a Superior ranking, if its Green Score is no less than the overall 2002 average of 22 (corresponding to the MY2002 combined car-truck average EDX of 2.42¢/mi).

#### Appendix C

#### SUMMARY OF REVISIONS FOR 2002

Only a few changes and additions to our environmental rating methodology were made in updating the 2001 edition (DeCicco and Kliesch 2001) for application in *ACEEE's Green Book*<sup>®</sup>: *The Environmental Guide to Cars and Trucks—Model Year 2002.* The methodological framework used for MY2002 is the same as was used for MY2001, but we modified some assumptions and numerical parameters based on reviewer comments and analysis of new data. Key changes for 2002 include:

- Modest revisions to HC and NO<sub>x</sub> emission factors of gasoline vehicles, based on recent EPA analysis.
- Updated estimates of lifetime average sulfur content of gasoline due to the ongoing Tier 2 sulfur phase-down.
- Revised assumptions to sulfur content of gasoline consumed by LEV and TLEV-certified vehicles, to now be evaluated at the expected lifetime average national sulfur level.
- Substitution of estimated curb weight for inertial test weight in the determination of manufacturing-related criteria and GHG emissions.

#### REVISIONS TO GASOLINE VEHICLE HC AND NO<sub>x</sub> Emission Factors

This year, we again modeled HC and  $NO_x$  emissions estimates with software developed at EPA (Koupal 2001a). As stated earlier in this report, this spreadsheet model is used to estimate HC and  $NO_x$  emissions, reflecting fuel effects and the other in-use effects for each vehicle class and standard.

After consultation with EPA, it was determined that an updated version of the model (Koupal 2001a) would be necessary to account for new modeling assumptions that yield a modest drop in HC and NO<sub>x</sub> emission factors of gasoline vehicles. A decrease in NO<sub>x</sub> emission factors was primarily a result of new data, which significantly increased the data set size. Hydrocarbon emission factors were revised to split start and running emission from composite emissions, and both HC and NO<sub>x</sub> estimates were revised for reduced after-repair emissions from on-board diagnostics (Koupal 2001b). The net effect of the change in the model was a modest drop in HC and NO<sub>x</sub> emissions factors (between 0-5% for HC, and 0-15% for NO<sub>x</sub>, depending on emission certification and weight class).

#### GASOLINE SULFUR

In line with the Tier 2 sulfur phase-down, we updated the time window for measuring lifetime average sulfur levels faced by new MY2002 vehicles. This update reduced the lifetime average "Federally-certified" sulfur level from 80 ppm (for MY2001) to 50 ppm (for MY2002);

all values are rounded to the nearest 10 ppm. The lifetime average "California-certified" sulfur level of 30 ppm has not significantly changed for MY2002, since current levels in regions requiring California fuel have a smaller differential with future sulfur level estimates. The national average sulfur level fell from 70 ppm to 50 ppm (again, rounded to the nearest 10 ppm). The change in gasoline sulfur levels for the various regions is summarized below.

Region	MY2001 Sulfur Level (ppm)	MY2002 Sulfur Level (ppm)
National Average	70	50
LEV-Mandate States	30	30
Remaining 45 States	80	50

Estimated Lifetime Average Gasoline Sulfur Levels for MY2001 and 2002 Vehicles

#### GASOLINE SULFUR CONSUMPTION OF LEV- AND TLEV-CERTIFIED VEHICLES

Due to the 2001 introduction of the National Low-Emission Vehicle (NLEV) program, a large majority of LEV- and TLEV-certified vehicles are currently available for sale nationwide. In order to account for the fact that these vehicles are consuming fuel with national average sulfur levels, we revised our assumptions about the sulfur content of gasoline consumed by TLEV and LEV-certified vehicles.

Last year, emission factors for all California-certified gasoline vehicles (TLEV through SULEV) were determined using a lifetime average sulfur level of gasoline from LEV States: California, Maine, Massachusetts, New York, and Vermont. Emission factors for Federally-certified gasoline vehicles were computed using an estimated lifetime average sulfur level of the remaining states. This year, since ULEV and SULEV-certified vehicles were still principally available in limited areas of the country, they continued to be evaluated at the LEV-state sulfur level of 30 ppm. LEV- and TLEV-certified vehicles were evaluated at the national average sulfur level of 50 ppm, to more accurately depict sulfur levels of gasoline consumed by these vehicles. Tier 1-certified vehicles were evaluated at the average sulfur level of the remaining states, which dropped to 50 ppm as well.

The net effect of incorporating revisions of HC and  $NO_x$  emission factors, as well as the sulfur-level consumption assumptions for LEV and TLEV vehicles, was a very modest (less than 1%) decrease in EDX (i.e., the fleet appeared slightly greener than it would under the old emission factors).

#### ESTIMATING MANUFACTURING IMPACTS WITH CURB WEIGHT

Previous editions of *ACEEE's Green Book*<sup>®</sup> used inertial test weight (ITW) as the vehicle mass unit in estimating the environmental impacts of the materials production and manufacturing phases of vehicle life. (For further information on this methodological approach, see "Manufacturing Impacts" above.) For MY2002, we replaced the use of ITW with an

estimated vehicle curb weight, based on information in EPA's certification database. According to EPA data, the difference between mean ITW and mean curb weight of MY2002 vehicles was 228 lb (ITW = curb + 228). Based on this information, an estimated curb weight was calculated for each vehicle listing and used in determining its embodied emissions. The overall impact of this change was a reduction in total vehicle EDX of less than 2 percent (i.e., a slight greening of the fleet).

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